Diatoms—the silica-shelled unicellular phytoplankton—act as major exporters of organic carbon from the surface to the deep ocean and sediments and, hence, influence ocean nutrient cycles and atmospheric CO₂ levels (1, 2). However, silicate concentrations, for which diatoms have an obligate demand, vary widely over the nutrient-rich regions of the oceans (3). This is largely due to processes decoupling silicon cycling from that of other nutrients and carbon in surface waters of the Antarctic Zone (AZ), the southernmost belt of the Antarctic Circumpolar Current (ACC) (4). Thus, Si concentrations decline across the AZ from >70 mmol Si·m⁻³ in upwelling waters along its southern boundary (the Antarctic Divergence) (5) to <5 mmol Si·m⁻³ along the Antarctic Polar Front (APF) (6). The corresponding decline in nitrate is much smaller, from 30 to 23 mmol N·m⁻³ (7). The resulting Si/N export ratio of 9/1 is much higher than the average diatom Si/N ratio of ~1/1 (7, 8). The paradox (9) can partly be explained by increasing Si/N ratios with iron deficiency recorded in many species (10–13) in addition to the exceptionally thick frustules of some ACC diatom species (14), which can reach Si/N ratios of >4:1 in Fragilariopsis kerguelensis (15).

A portion of the silica shells (frustules) sinking out of the northward-propagating surface Ekman layer dissolves in the southward-propagating deep water and is returned as Si to the surface in an evolutionary arms race | top-down control | geo-engineering

**Significance**

Silica-shelled diatoms dominate marine phytoplankton blooms and play a key role in ocean ecology and the global carbon cycle. We show how differences in ecological traits of dominant Southern Ocean diatom species, observed during the in situ European Iron Fertilization Experiment (EIFEX), can influence ocean carbon and silicon cycles. We argue that the ecology of thick-shelled diatom species, selected for by heavy silicon sequestration, can result in the sequestering relative to other nutrients in the Southern Ocean and underlying sediments to the detriment of diatom growth elsewhere. This evolutionary arms race provides a framework to link ecological and biogeochemical aspects of the ocean.
upwelling water along the Antarctic Divergence (1). This vertical recycling loop between surface and deep water supports growth of thick-shelled diatoms in the surface and functions as a global ocean silicon trap in the deep-water column. Another portion, mainly comprising robust frustules of comparatively few species, of which Fragilaria kerguelensis and Thalassiothrix antarctica are particularly common (16–18), is buried as diatom ooze in sediments underlying the iron-limited ACC, which functions as a major global silicon sink (19), accounting for 42–48% of the total marine silica removal (20). In contrast, the sediments underlying productive regions in the ACC, where phytoplankton blooms fertilized by iron input from land masses (21, 22), shelf sediments or dust occur regularly (23), have 10-fold higher carbon contents (>2% C of dry matter) (24), and are dominated by spores of the ubiquitous diatom genus Chaetoceros (25, 26).

The massive removal of silicon relative to nitrogen from the surface layer by the diatoms of the low-productive, iron-limited AZ ecosystem implies that, in addition to the heavy silicification of ACC diatoms (14), a significant proportion of their nitrogen demand will have to be provided by a highly efficient recycling system in the surface layer (27). In contrast to phytoplankton, copepod-dominated zooplankton stocks of high-nutrient, low-chlorophyll (HNLC) regions of the oceans are comparatively large (28, 29). In fact, their grazing pressure was considered to control phytoplankton biomass in HNLC regions before iron limitation was firmly established (30). It has since been hypothesized that copepod feeding and defecation are part of the recycling system (31) and that the phytoplankton species that accumulate biomass in the face of heavy grazing pressure will have evolved some form of defense (32), most likely the heavily silicified frustules characteristic of ACC diatoms (14).

As the Si-depleted northern ACC surface layer is the major source of nutrients upwelling in low latitudes (4), Si retention in the ACC constrains diatoms from forming blooms over large, nutrient-rich areas of the ocean (3) with far-reaching repercussions on food webs and ocean carbon sequestration. A better understanding of the deep water silicon trap and sedimentary sink is necessary to explain functioning of the glacial Southern Ocean (33) and its impact on CO$_2$ drawdown, but also to predict the response of Southern Ocean biota to large-scale and long-term artificial iron fertilization (34). Ocean iron fertilization experiments provide the necessary conditions for the quantitative investigation of these mechanisms because they simulate the effect of natural iron input on pelagic ecosystems with their full complement of grazers and pathogens (34).

Results and Discussion

The Experiment. The European Iron Fertilization Experiment (EIFEX) was carried out during RV Polarstern cruise ANT-XXI/3 in late austral summer [11 February (day −1) to 20 March (day 36) 2004] in the 60-km diameter, clockwise rotating core of a mesoscale, vertically coherent eddy extending to the seafloor at ~3,700-m depth and enclosed in a meander of the APF centered at 49°S, 2°E in the Atlantic sector of the Southern Ocean (35). The circular patch of initially 167 km$^2$ had spread to 798 km$^2$ by day 19 and completed four rotations within the eddy by day 36.

In-stations were placed in the least diluted region (the hot spot) of the patch, hence sampled the same water mass throughout. Out-stations were always located within the closed core of the eddy, well away from the patch (35). Vertical coherence of the deep-water column with the overlying surface layer was confirmed by the trajectories of four autonomous APEX floats positioned between 200- and 1,000-m depth, as well as two independent models based on hydrographical profiles to the seafloor and on satellite altimetry (35).

Here, we show that the strong biogeochemical response to iron addition (35) was closely linked to temporal changes in the populations of dominant diatom species in surface, subsurface, and deeper layers. Standing stocks (carbon per square meter) are derived from trapezoidal integration of measurements carried out on six to nine discrete water samples taken from standard depths at 10- to 20-m intervals in the 100-m mixed layer (35), 50-m intervals in the 200- to 350-m depth layer, and larger intervals for the deep-water column down to the seafloor. In addition to full (living) cells, intact empty and broken diatom frustules, empty and damaged tintinnid loricae and copepod fecal pellets were counted under a light microscope to assess species-specific diatom and tintinnid mortality and grazing by the copepod assemblage. Empty frustules and loricae can be caused by (i) cell death, (ii) sexual reproduction, (iii) viral infection, or (iv) protozoan and metazoan grazing, whereas broken frustules and damaged loricae are due to handling by copepod mandibles (36). Stocks of particulate organic carbon (POC) and nitrogen (PON), chlorophyll $a$ (Chl $a$), and biogenic silica (BSi) were highly correlated ($P < 0.05$) with total plankton, phytoplankton, and diatom carbon (DC), respectively, as estimated from organism counts (Figs. 1 and 2B).

**Fig. 1.** Chl $a$, phytoplankton carbon (PPC), and integrated stocks of plankton composition in the 100-m surface layer inside and outside the fertilized patch. (A) PPC calculated from biovolume data (blue circles) and Chl $a$ stocks (red circles). The full and open circles are for inside and outside the fertilized patch, respectively. Total plankton carbon (bars) and particulate organic carbon (POC) (stars) stocks inside (B) and outside (C) the patch with the contributions of diatoms, nondiatom phytoplankton (largely nanoflagellates: solitary Phaeocystis cells and Prorocentrum spp.), bacteria, protozooplankton (largely heterotrophic dinoflagellates, ciliates, and acantharians), small copepods <1 mm (including nauplii, small copepodites, and Oithona), and large copepodites and adult copepods >1 mm.
the core were 24.5–25 mmol N m\(^{-3}\), 1.7–1.8 mmol P m\(^{-3}\), and 14–19 mmol Si m\(^{-3}\), respectively, which, together with the hydrographical properties, demonstrated that the core originated from the AZ (35). Chl \(a\) within the core was patchy, ranging between 0.7 and 1.2 mg m\(^{-3}\), which is twofold to fivefold higher than average AZ concentrations (37). Phytolankton carbon (PPC) was dominated by large, spiny, heavily silicified (thick-shelled) diatoms typical of the AZ with nanoflagellate species contributing <30%. Heterotrophic biomass exceeded that of autotrophs and comprised bacteria (26%), protozooplankton (22%), and metazooplankton dominated by copepods (52%), including all larval stages (Fig. 1 B and C). The initial small salp population declined during the experiment to negligible biomass (38). \(^{15}\)N and \(^{13}\)C isotope ratios of suspended organic particles indicated that community biomass was primarily based on recycled nitrogen (39).

**Trends outside the patch.** Outside the patch, dissolved inorganic carbon (corrected for air-sea exchange), nitrate, and phosphate declined by 1, 0.4, and 0.04 mmol m\(^{-3}\), respectively (35), but silicate decreased considerably by 8 mmol m\(^{-3}\) over 5 wk. This decoupling of silicate and nitrate is reflected in the decline of the already low Si* values [Si \(- nitrate\) concentrations (4) from \(-6\) to \(-14\) mmol m\(^{-3}\) (Fig. 2A). The decrease of Si* cannot be explained by horizontal mixing inside the eddy (35) and only partly by local patchiness (Fig. S1) and thus is largely due to silicate uptake, which must have been matched by an equally high sedimentation rate, as BSi levels in the mixed layer remained stable at 0.2 mol Si m\(^{-2}\) (Fig. 2B). The substantial diatom growth was apparently supported by ammonium remineralized from the dissolved organic nitrogen (DON) pool, which declined linearly from \(-4\) to \(-2\) mmol N m\(^{-3}\) during the experiment (35). However, after about 3 wk, rising ammonium concentrations (from \(<0.5\) to \(>0.7\) mmol N m\(^{-3}\)) suggest that the system switched to net heterotrophy due to iron limitation of autotrophs [low photochemical efficiency (Fv/Fm) ratio of 0.32] compounded by mounting grazing pressure. This scenario is supported by low autotrophic/heterotrophic biomass ratios between 0.4 and 0.5 (Fig. 1C) and by low primary and bacterial production rates (35). Copepods comprised >50% of heterotrophic biomass, but, although fecal pellet stocks increased sevenfold during the 5 wk, the contribution of whole pellets and recognizable remnants to vertical flux was minor as the bulk were fragmented in the surface layer and stocks in the subsurface layer were only a small fraction of surface stocks (Fig. S2). In contrast, the stocks of empty and damaged tintinnid loricae, of similar size to pellet fragments, hence with similar sinking rates, did not differ between layers (Fig. S2), indicating that sinking and not destruction was the major loss term here. We conclude that copepods will have played a key role in maintaining the silica-sinking, nitrogen-recycling ecosystem.

**Trends inside the patch.** Inside the fertilized patch, stocks of Chl \(a\), POC, and BSi increased linearly following iron addition due to the growth of various large diatom species whose biomass accounted for 97% of the 100-m depth-integrated Chl \(a\) increase (76–286 mg Chl \(a\) m\(^{-3}\)) from day \(-1\) to day 21 (Figs. 1 A and B and 2B). The subsequent decline was due to mass mortality and sinking of some diatom species, which was partially compensated by continued accumulation of other species, reflected in the uninterrupted linear decline of Si* until the end of the experiment (Fig. 2A). Nitrate and DON concentrations declined by 1.6 and 1.8 mmol M\(^{-3}\), respectively, whereas the corresponding decline in Si was 11 (from 19 to 8) mmol M\(^{-3}\) with an uptake ratio of Si/(DON + nitrate) of 3.2 and Si/nitrate of 6.9. This indicates that diatoms were responsible for new production and that the species involved were heavily silicified. Inside the patch, BSi/DC, BSi/POC, and BSi/PON ratios increased from 0.5 to 0.8, 0.2 to 0.5, and 1.3 to 2.7, respectively (Fig. 2B and C). Similar ratios were found in iron-limited waters outside the patch (Fig. 2C). Assuming a diatom C/N ratio of 5, based on the slope of the linear regression between POC and PON inside the patch, the BSi/DN (diatom nitrogen) ratio increased from 2.5 to 4, implying that several of the dominant diatom species had Si/N ratios well above 4 to compensate for weaker silification of other dominant species. The differential accumulation of BSi in the surface layer was due to a combination of sinking out of less-silicified species and increasing sinking velocity of one of the most silicified species. Our results support the finding that diatom community composition largely determines community silification in the Southern Ocean (40). Although silica export did not increase in-patch compared with out-patch, iron-induced export of carbon with the sinking frustules amounted to 0.9 mol (10.8 g) C m\(^{-2}\) during the flux event (35).

The populations of 45 of the 55 diatom taxa recorded during EIFEX increased their abundance inside the patch, indicating that artificial iron fertilization can stimulate growth of a broad range of diatom species. Maximum species-specific accumulation rates of the dominant species ranged between 0.03 and 0.10 d\(^{-1}\) (0.04–0.13 d\(^{-1}\) corrected for dilution) with highest rates associated with largest size and lowest mortality. The species-specific contribution to bloom biomass also depended on cell size, initial cell abundance (which varied by two orders of magnitude), and timing of the decline phase. As a result, the EIFEX bloom was highly diverse with 21 species contributing >85% of bloom biomass. The species composition of nondiatom phytoplankton changed over the experiment, but, in contrast to the diatoms, their biomass remained more or less constant (Fig. 1B), apparently kept in check by grazing pressure of protozooplankton and copepods. The same trends and checks applied to the protozooplankton (Fig. S3), including specialized diatom grazers (e.g., *Protothecidae sp.*), which are known to be preferred food of copepods (32). Tintinnid ciliates, despite protection by their loricae, were subject to heavier grazing pressure than diatoms as
Biomass of large (>1 mm) copepods increased until the end of the experiment, due to a combination of growth (development of larval stages) and upward migration from below 160 m. Total ingestion by copepods inside the fertilized patch over 36 d, estimated from fecal pellet production rates (Fig. S4A), amounted to 20 g C m$^{-2}$, of which 15 g C m$^{-2}$ was attributed to phytoplankton carbon indicated by gut evacuation experiments (Fig. S4B). Total primary production measured with the $^{14}$C-method amounted to 50 g C m$^{-2}$ over 36 d (35). Given the large uncertainties associated with all of the above rate measurements, the budget between primary production (50 g C m$^{-2}$), heterotrophic carbon consumption (~12 g C m$^{-2}$ (microzooplankton grazing) + 15 g C m$^{-2}$ (copepod grazing) + ~12 g C m$^{-2}$ (nitrate-based bacterial carbon demand) ~ 40 g C m$^{-2}$, and carbon exported (10.8 g C m$^{-2}$) is reasonably well balanced. Although crushed and intact diatom frustules were prominent in pellets as well as in copepod guts (41), the relative grazing pressure on diatom populations estimated from ratios of full to empty and broken frustules was much lower than on other protists, exemplified here by tintinnid ciliates (Fig. 3D). Trends in $^{15}$N and $^{13}$C isotope ratios of suspended particulates indicated that the initial biomass increase was based primarily on nitrate uptake; following the flux event, recycled nitrogen contributed a larger fraction to community biomass (39).

**Silica and Carbon Sinking Species.** The species comprising the diverse assemblage of large diatoms typical of the ACC differed in their impact on magnitude and composition of the vertical flux. This is illustrated by temporal trends in surface and subsurface layers of stocks and relative proportions of full, empty, and broken frustules of three representative species (Fig. 3). These different behavior patterns are of relevance to the silicon paradox. The surface-layer stocks of *Fragilariopsis kerguelensis* doubled inside the patch after 3 wk and maintained the new level for the next 2 wk. Stocks remained more or less constant outside the patch, whereas most other diatom species declined significantly. The contribution of *F. kerguelensis* to total diatom biomass outside the patch rose from 10% to 21% over the 5 wk, implying its superior survival ability under conditions of iron limitation but sufficient silicate and heavy copepod grazing pressure (Fig. 1C).

In the subsurface layers below and outside the patch, stocks were fairly constant and at similar levels throughout (Fig. 3D), implying a steady, downward flux of largely empty chains and solitary frustules. This is consistent with the distribution of *F. kerguelensis* stocks in the deep-water column (Fig. 4A), which are threefold higher below 250 m than the deficit between peak and minimum stocks in the surface layer inside and outside the patch. The near constancy of the ratios of empty to broken frustules in the surface layers inside (2.1 ± 0.4) and outside the patch (2.0 ± 0.5), also recorded in a previous experiment (EisenEx) conducted in spring (36), provides further support for controlled, low-level, quasiconstant mortality in this species with sinking out of empty frustules and recycling of cyttoplasm in the surface layer (Fig. S5).

The boom-and-bust behavior of a group of disparate species exemplified by *Chaetoceros dichaeta* (Fig. 3B) contrasted with the persistent strategy of *Fragilariopsis kerguelensis*. The former species underwent mass mortality in the surface layer, signaled by a sudden increase in empty frustules, followed by a fivefold population decrease due to sinking. The flux event triggered by mass mortality of *C. dichaeta* transported ~10.8 g C m$^{-2}$ as rapidly sinking aggregates (Fig. S6 A–C) into the deep-water column during the last 10 d (35). Sticky, autolyzed cytoplasm, stretched into mucoid sheets along the spines of various species, entangled chains with one another into millimeter-sized aggregates (Fig. S6 A and B). The process was accompanied by increased levels of transparent exopolymer particles (Fig. S7) in ambient water. Chains of various species were entrained in the

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**Fig. 3.** Stocks of three representative diatom species and total tintinnids in surface and subsurface layers. Integrated stocks of full (red filled circles), intact empty (black open circles), and broken diatom frustules and damaged tintinnid loricae (blue triangles) of (A) *Fragilariopsis kerguelensis*, (B) *Chaetoceros dichaeta*, (C) *Thalassiothrix antarctica*, and (D) total tintinnids. In each block, the left and right panels are integrated stocks inside and outside the patch, and upper and lower panels in the surface (SL: 0–100 m) and subsurface (SSL: 200–300 m) layers, respectively. Tintinnid stocks are shown for the SL only, and SSL values are in Fig. S2. Full frustules and loricae contain plasma. Empty and broken frustule and loricae numbers are presented as carbon equivalents for comparison with biomass in full frustules and living tintinnids, respectively; bars represent SEs. Note similarity between *F. kerguelensis* stocks inside and outside the patch in SL and SSL in contrast to the differences in other species. This is supported by a one-sample one-sided t test applied to these data (Table S1).

Illustrated by the low ratio of full to empty intact and damaged loricae (Fig. 3D). The total consumption by microzooplankton (protozooplankton plus small copepods) estimated by the serial dilution method amounted to 17 g C m$^{-2}$ in 36 d, of which about 30% was provided by bacteria exploiting the DON pool (35). Bacterial biomass was stable but declined at the end of the experiment (Fig. 1B). The total bacterial carbon demand over the duration of EIFFEX based on measured bacterial production and respiration amounted to 23 g C m$^{-2}$ of which about one-half was based on prefertilization DON (35), hence independent of primary production.
and have been reported from the ACC and, concentrations in the patch after day 36 (35). Mass sinking | vol. 110 | veg-

Thalassiosira spp. was only observed under the patch during the flux event, whereas they were at low levels outside it (Figs. 3B and C and D and Fig. S6 D and E). However, frustule stocks in the deep-water column under the patch were lower than the surface deficit (unlike *F. kerguelensis*) and the bulk was found in the upper 1,000 m (Fig. 4C). The depth distribution inferred here from discrete 12-L water samples differed from transmissometer profiles, which recorded a rapid increase in particle stocks in the entire deep-water column during the flux event, albeit with fairly uni-

form depth distribution (Fig. S8). The discrepancy can be attributed to undersampling by Niskin bottles of large, rapidly sinking aggregates in which they were packed.

The behavior of *Fragilariopsis kerguelensis* and *Chaetoceros dicaeta* reflect two distinctly different life cycle strategies characteristic of the pelagic realm: (i) maintenance of relatively constant stocks in the surface layer (persistence strategy) and (ii) cycles of biomass buildup during favorable growth conditions, followed by mass mortality and rapid population decline (boom-
arctic *C. dicaeta*, (E and F) *Thalassiothrix antarctica*. The surface deficit was estimated as the difference between peak stocks and the stocks present at the station of the deep profile. Left panels, inside the patch; right panels, outside the patch. The deep in-patch and out-patch profiles were taken on days 36 and 34, re-

spectively, 6–8 d after the flux event commenced. The higher values of A and B in the deepest layer are partly due to resuspension of sediment in the nepheloid layer. The unexpected low biomass of *C. dicaeta* in deep layers under the patch is due to undersampling by Niskin bottles of large, rapidly sinking aggregates in which they were packed.

The common feature of the remaining, heterogeneous group of largely centric colonial species (in particular *Corethron inerme* and *Protopisca alata*), but exemplified here by the heavily silici-

fied pennate *Thalassiothrix antarctica* (Fig. 3C), was the low mortality rate (the ratio of full to empty and broken frustules increased from initially 1.9 to 17.6 by the end of the experi-

ment), which resulted in (i) stable or growing populations until the end of the experiment, (ii) the highest accumulation rates during the growth phase, and (iii) low concentrations in the subsurface and deep layers (Figs. 3C and 4 E and F). The low sinking losses indicate that living cells maintain neutral buoy-

ancy despite massive ballast in the thick cell walls of some species. Because grazing pressure on these species was low, it is likely that a substantial portion of their biomass will have eventually sunk out, indicated by satellite images of fading Chl a concentrations in the patch after day 36 (35). Mass sinking events of *T. antarctica* have been reported from the ACC and, presumably because of its thick cell walls, it is a major constituent of AZ sediments (16, 17).

Zonally persistent species like *Fragilariopsis kerguelensis* are apparently geared to the copepod-dominated recycling system, which also recycles iron (52). It follows that high sedimentary silica accumulation rates are a proxy for iron-limited diatom plankton assemblages rather than for high surface productivity, contrary to the prevailing view (33, 53). As the bulk of the frustules of carbon-sinking species are not buried (e.g., *Pseudo-nitzschia* and veget-

tative cells of *Chaetoceros* and *Thalassiosira* except *T. lentiginosa*), they fuel the water column silicon trap, which is partially depleted by burial of exceptionally robust frustules of *F. kerguelensis* and a few other species such as *Thalassiosira lentiginosa*, *Thalassiothrix antarctica*, and *Thalassionema nitzschioides* (16, 25, 45).

During the Last Glacial Maximum (LGM), natural iron fertil-

ization extended the current range of carbon-sinking species from the Antarctic Peninsula across the entire South Atlantic sector of the ACC (54). It is likely that artificial iron fertilization will have a similar effect, i.e., it will extend populations of carbon-sinking species over a larger area that will sink more carbon per silicon than is currently the case. Nevertheless, the upper limit of carbon sequestration will be determined by how much of the upwelling silicate is taken up and exported by carbon-sinking species before exhaustion by silica-sinking species. Given their persistent life cycle strategy geared to the surface recycling sys-

tem and the silicon-sequestering circulation pattern of the ACC, silica sinkers will always be present in the ACC. Thus, the sedi-

mentary band dominated by *Fragilariopsis kerguelensis* moved north in the LGM (54), implying that its northern boundary will have coincided with the zone of summer surface silicate limitation as is the case today along the APF (18). Indeed, we suggest that such a vertical silicon retention loop, maintained by diatoms and copepods strikingly similar to those of the AZ (14), could
also be responsible for silicon trapping and burial in the HNLC region of the subarctic North Pacific (4).

Materials and Methods

Water samples were taken with 12-L Niskin bottles mounted on a CTD rosette equipped with a profiling transmitter and treated according to standard protocols for the various measurements. Prokaryotic abundance was determined by enumerating DAPI-stained cells under the epifluorescence microscope. All eukaryotic organisms were identified as far as possible to the species level and counted by light microscopy. Larger protozoa and copepods <1 mm (including larvae) were concentrated by passing 12 to 24 through 20-μm mesh gauze. Diatoms and other suspended particles in the deep-water column below 200 m were concentrated over 10-μm mesh gauze. Zooplankton >1 mm were sampled with vertical net tows taken between 0- and 160-m depth to include vertically migrating populations. All planktonic cells were converted into carbon units using standard protocols. Detailed methods are described in SI Materials and Methods.

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