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1 **Diving into the past – A paleo data-model comparison workshop on the Late**

2 **Glacial and Holocene**

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ABSTRACT

41

42 Workshop title: Workshop on the comparison of paleoclimate data and simulations over time
43 periods up to the last glacial cycle
44

45 What: An international group of approximately 30 scientists with background and expertise in
46 global and regional climate modelling, statistics, and climate proxy data discussed state-of-the-art,
47 progress, and challenges in comparing global and regional climate simulations to paleoclimate
48 data and reconstructions. The group focused on achieving robust comparisons in view of the
49 uncertainties associated with simulations and paleo data.
50

51 When: 16–18 April 2018
52

53 Where: Hamburg, Germany
54

55 Understanding changes in the climate of the late Pleistocene and the Holocene has long been
56 a research topic. Studies rely on different sources of information, ranging from terrestrial and
57 marine archives to a hierarchy of climate modelling activities. In contrast to the climate of the last
58 millennium, novel approaches are necessary to bridge the different temporal and spatial represen-
59 tations of the various archives and of the climate models, and to achieve a robust understanding of
60 climate variability and climate processes on centennial-to-millennial timescales.

61 On the one hand, paleoclimate archives typically have a coarser temporal and spatial resolu-
62 tion on longer, e.g., glacial time scales than on shorter, late Holocene time scales. They also
63 commonly have poorer age constraints and are more uncertain. However, larger climate forcing
64 occurred, giving a better signal-to-noise-ratio for these longer time scales. On the other hand,
65 climate modelling approaches based on comprehensive Earth System Models (ESMs) need to

66 take into account additional components and processes within the Earth System that are either not
67 present or of secondary importance within the late Holocene, such as the emergence and vanishing
68 of vast ice sheets or continental uplift. Indeed the climate modelling community has yet to prove
69 the feasibility of transient fully coupled ESM simulations over a complete glacial cycle.

70 Addressing these issues requires expert knowledge from different fields, including critical as-
71 sessment of paleoclimate data quality, technical and statistical tools to compare and analyze
72 archives, and the exploitation of presently available and upcoming transient simulations with com-
73 prehensive ESMs. Experts of the respective fields gathered in Hamburg for a three-day workshop¹
74 to discuss long-standing research questions, the development of methods for comparing model
75 output and paleo data, and guidance for a community-wide effort on studying the Late Glacial
76 and Holocene. The workshop was embedded in the German climate modelling initiative PalMod,
77 which aims at performing transient simulations of the last glacial cycle using a suite of state-of-
78 the-art ESMs.

79 **1. The backbone State-of-the-art of Glacial and Holocene paleoclimate research**

80 Introductory talks and discussions highlighted the already existing simulations over time periods
81 from the last 1,000 to 130,000 years as well as the many efforts of synthesizing proxy records. De-
82 spite the availability of these paleo data products, validating the climate simulations is challenging
83 and seldom done.

84 Uncertainty emerged as a dominant topic for comparison of paleoclimate data and ESM output.
85 Paleo data uncertainties concern dating, the relationship between the proxy sensor and environ-
86 mental fields, and measurement. Often, researchers reduce these into a single error term. On

¹The workshop was organized and supported by the Helmholtz Center Geesthacht. Further support came from the University of Hamburg and PalMod, the German Climate Modelling initiative (www.palmod.de). PalMod is part of the Research for Sustainability initiative (FONA; www.fona.de) funded by the German Federal Ministry of Education and Research (BMBF).

87 the other hand, ESM uncertainties include initial and boundary conditions as well as structural
88 uncertainties that encapsulate the irreducible difference between model and reality.

89 Discussions noted the need for systematic strategies for model-data comparisons to account for
90 all these uncertainties. Bayesian frameworks offer a rigorous approach to draw inferences about
91 the past given paleo data, model output, and specification of these uncertainties. There are also
92 recent applications of data assimilation to combine empirical data and simulations for obtaining
93 state estimates including transient paleo reanalyses.

94 Better mechanistic understanding of proxy systems can reduce the uncertainty on the proxy side,
95 and improved reconstructions of boundary conditions may reduce the simulation uncertainty. One
96 talk proposed developing new methods, which are less sensitive to the uncertainties.

97 Working groups subsequently focused on (i) Holocene climate, (ii) late-glacial and deglaciation
98 climate, and (iii) metrics and tools for model-data comparisons. Flexible and active exchanges
99 between those breakout groups led to lively discussions.

100 **2. Holocene paleo-data-simulation mismatches**

101 The Holocene discussion group identified discrepancies between paleo data and simulations,
102 e.g., (1) the disagreement between simulated and reconstructed temperature trends and (2) incon-
103 sistent warming patterns. For example, the PMIP3 simulations give a homogeneous mid-Holocene
104 warming over Europe while pollen-based reconstructions indicate a dipole-like pattern with warm-
105 ing over Northern Europe and cooling over Southern Europe. Working hypotheses for the mis-
106 match between patterns may be the coarse resolution of ESMs, or that the pollen-data represents
107 environmental variables different from the simulated meteorological variables used for compari-
108 son.

109 Part of the discussion focused on the potential gains from transient ESM simulations, proxy sys-
110 tem models, and regional climate models. Transient Holocene simulations are an ongoing commu-
111 nity effort, and a growing number of them is available. Those model results can clarify the role of
112 internal climate variability for Holocene temperature trends and large-scale patterns. Methods for
113 comparisons need to be able to take into account seasonal biases in the proxy archives. Using the
114 output of transient simulations to drive proxy system models of e.g. tree-rings and sediments can
115 reduce the uncertainty due to calibration and non-climatic processes in the comparison between
116 individual paleoclimate records and the simulated climate.

117 Regional climate models complement these approaches to reduce mismatches. To date, few
118 regional simulations exist for the Holocene. The group plans time-slice simulations of the mid-
119 Holocene (6 kyr BP) for the European CORDEX domain and greater Greenland and a series of
120 comparisons with pollen, tree ring and isotope data. The expectation is that the increased model
121 resolution can reduce the disagreements between the simulations and the paleo data.

122 **3. A feature-matching algorithm for the deglaciation**

123 The aim of the deglaciation working group was: What can we devise that will allow someone to
124 quantitatively compare a transient deglacial simulation and paleoclimate data? Potential strategies
125 need to satisfy three requirements: 1) they quantitatively compare the transient characteristics of
126 both the paleoclimate data and the simulations, 2) they work with already existing data records
127 and simulations, and 3) they can become publicly available within a short time-frame.

128 To this end, the group outlined a feature-matching algorithm and corresponding metrics that
129 compare the spatial and temporal progression of large-scale climate changes of the last deglacia-
130 tion, like the Bølling-Allerød or Younger Dryas. The method shifts simulated time series in time
131 to match the paleoclimate data optimally with respect to a pre-defined metric. It then evaluates a

132 global diagnostic of choice at this optimal shift. Secondary adjustments are made to proxy time se-
133 ries at every location where data is available, constrained by local age uncertainties. Three metrics
134 evaluate the global shift of the timing of the simulated and reconstructed events, the spatial pro-
135 gression of the signal in time, and the overall multivariate pattern and strength of the signal. Each
136 of the methods steps requires a penalty term to safeguard against overfitting. Initial tests of the
137 methodology at the workshop used the TRACE-21ka simulation (Liu et al. 2009) and paleoclimate
138 data from Shakun et al. (2012).

139 **4. Towards a framework for comparing paleo data and simulations**

140 One line of thinking among participants was that comparisons of model and data should measure
141 the discrepancy between corresponding probability distributions to account for uncertainties in
142 both products. Thus, a third, method-oriented group worked on formalizing this idea while also
143 developing a concept for an easy-to-use toolbox. In this context, strategies for comparisons have
144 to deal with the various sources of uncertainty, design suitable metrics to compare the resulting
145 probability distributions, and lead to guidelines for the planned toolbox.

146 Due to the uncertainty in upscaling climate field reconstructions from individual paleo records,
147 the group deemed it preferable to do site-by-site comparisons of paleoclimate records and simu-
148 lation output rather than comparisons of gridded products. The downside of this approach is the
149 non-uniform spatio-temporal coverage of paleo data and the correlations between proxy samples.
150 To avoid misleading results when calculating summary statistics, a multivariate evaluation is nec-
151 essary. If paleoclimate data alone is insufficient to infer parameters like correlation structures,
152 additional sources of information can help, such as multi-model reference ensembles, and large
153 ensembles with simplified models.

154 So far, paleoclimatology only uses few of the metrics for the comparison of probability distri-
155 butions that are available in the literature. Mathematical theory advises the use of proper score
156 functions. These can either summarize the discrepancy between all the information contained in
157 the corresponding probability distributions, or focus on specific properties like the change of the
158 mean climate state between two time-slices or the climate variability at different periods.

159 **5. Future directions**

160 The paleo community and, in turn, PalMod has to face the issue of developing easy-to-use meth-
161 ods for the challenging task of model-data comparison. Obviously, one workshop cannot solve all
162 long-standing questions, but the spirit of the interdisciplinary meeting fostered collaborations and
163 refreshed momentum to develop concepts for a more sophisticated data-model comparison suited
164 for paleoclimatology. This dedication resulted in a variety of concrete initiatives.

165 The workshop highlighted the need for a toolbox for interactive model-data comparisons. The
166 methods-oriented group and the deglaciation group will cooperate on a cookbook for robust com-
167 parisons between simulations and paleo-observations. Concepts and issues identified by the groups
168 will feed into the toolbox and the cookbook. An initial version of the toolbox has to include at
169 least computational methods (a) to import simulation output and paleo data, (b) to account for the
170 non-uniform spatio-temporal coverage of paleo data, (c) to consider published uncertainty esti-
171 mates, plus a set of well-established metrics and examples of publicly available simulations and
172 paleo data syntheses. There are plans for subsequent expansions.

173 Moreover, the Holocene working group initiated new regional climate simulations to assist in de-
174 veloping new model-data comparison approaches for addressing urgent questions on the Holocene
175 time scale. The development of the deglaciation groups feature-matching algorithm is ongoing. It
176 will finally become part of the toolbox and the cookbook.

177 The discussions initialized at the Hamburg meeting will continue within the years to come and
178 we invite all interested colleagues to contribute.

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