

Predicting the HMA-LMA status in marine sponges by machine learning

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Submitted to Journal:
Frontiers in Microbiology

Specialty Section:
Microbial Symbioses

ISSN:
1664-302X

Article type:
Original Research Article

Received on:
02 Feb 2017

Accepted on:
12 Apr 2017

Provisional PDF published on:
12 Apr 2017

Frontiers website link:
www.frontiersin.org

Citation:
Moitinho-silva L, Steinert G, Nielsen S, Hardoim CC, Wu Y, McCormack GP, López-legentil S, Marchant R, Webster N, Thomas T and Hentschel_humeida U(2017) Predicting the HMA-LMA status in marine sponges by machine learning. *Front. Microbiol.* 8:752. doi:10.3389/fmicb.2017.00752

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Provisional

Predicting the HMA-LMA status in marine sponges by machine learning

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Keywords: Marine sponges, Microbiome, 16S rRNA gene, Microbial diversity, Symbiosis, Random Forest

6. Running title

Predicting the HMA-LMA status in sponges

35 **Abstract**

36 The dichotomy between high microbial abundance (HMA) and low microbial abundance
37 (LMA) sponges has been observed in sponge-microbe symbiosis, although the extent of this
38 pattern remains poorly unknown. We characterized the differences between the microbiomes
39 of HMA (n=19) and LMA (n=17) sponges (575 specimens) present in the Sponge
40 Microbiome Project. HMA sponges were associated with richer and more diverse
41 microbiomes than LMA sponges, as indicated by the comparison of alpha diversity metrics.
42 Microbial community structures differed between HMA and LMA sponges considering
43 Operational Taxonomic Units (OTU) abundances and across microbial taxonomic levels,
44 from phylum to species. The largest proportion of microbiome variation was explained by the
45 host identity. Several phyla, classes, and OTUs were found differentially abundant in either
46 group, which were considered “HMA indicators” and “LMA indicators”. Machine learning
47 algorithms (classifiers) were trained to predict the HMA-LMA status of sponges. Among nine
48 different classifiers, higher performances were achieved by Random Forest trained with
49 phylum and class abundances. Random Forest with optimized parameters predicted the HMA-
50 LMA status of additional 135 sponge species (1,232 specimens) without *a priori* knowledge.
51 These sponges were grouped in four clusters, from which the largest two were composed of
52 species consistently predicted as HMA (n=44) and LMA (n=74). In summary, our analyses
53 shown distinct features of the microbial communities associated with HMA and LMA
54 sponges. The prediction of the HMA-LMA status based on the microbiome profiles of
55 sponges demonstrates the application of machine learning to explore patterns of host-
56 associated microbial communities.

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59 Introduction

60 Sponges (Porifera) represent one of the oldest, still extant animal phyla. Fossil evidence
61 dating back 600 million years ago shows their existence in the Precambrian (Yin et al., 2015)
62 long before the radiation of all other animal phyla. Sponges are globally distributed in all
63 aquatic habitats from warm tropical reefs to the cold deep sea and are even present in
64 freshwater lakes and streams (Van Soest et al., 2012). As sessile filter feeders, sponges are
65 capable of pumping seawater at rates up to thousands of litres per kilogram of sponge per day
66 (Vogel, 1977; Weisz et al., 2008). Small particles are retained from the incoming seawater
67 and transferred into the mesohyl interior where they are digested by phagocytosis (Bell, 2008;
68 Southwell et al., 2008; Maldonado et al., 2012).

69 Sponges are associated with microbial communities, with representatives of 41 different
70 prokaryotic phyla thus far recovered from sponges, from which 13 phyla were shared among
71 the 81 host species surveyed (Thomas et al., 2016). The sponge-associated microorganisms
72 carry out functions related to nutrient cycling including carbon, nitrogen, and possibly sulfur
73 and vitamin metabolism (Taylor et al., 2007; Bayer et al., 2008; Hentschel et al., 2012) as
74 well as to secondary metabolism and chemical defense (Wilson et al., 2014). Sponge species
75 were observed to harbour dense communities of symbiotic microorganisms in their tissues,
76 while others were found essentially devoid of microorganisms (Reiswig, 1974). They were
77 firstly termed “bacterial sponges” and “non-symbiont harbouring, normal sponges” (Reiswig,
78 1981) and later the terms high microbial abundance” (HMA) and “low microbial abundance”
79 (LMA) (Hentschel et al., 2003) were used. Bacterial densities in HMA sponges are two to
80 four orders of magnitude higher than in LMA sponges (Hentschel et al., 2006). In HMA
81 sponges, microbial biomass can comprise up to one third of the total sponge biomass
82 (Vacelet, 1975). HMA microbiomes are exceedingly complex, and LMA microbiomes are
83 largely restricted to Proteobacteria as well as Cyanobacteria (Hentschel et al., 2006; Weisz et
84 al., 2007a; Kamke et al., 2010; Gloeckner et al., 2012; Schmitt et al., 2012; Giles et al., 2013).
85 Functional gene content (Bayer et al., 2014), pumping rates (Weisz et al., 2008), and carbon
86 and nitrogen compounds exchange (Ribes et al., 2012) were found to differ in respect to the
87 HMA-LMA dichotomy. However, how the documented HMA-LMA status of sponges may
88 impact the animal’s physiology and metabolism as well as the surrounding environment is
89 only beginning to be elucidated. The largest effort to characterize the HMA or LMA status of
90 sponges thus far was performed by Gloeckner et al. (2014), who inspected 56 sponge species
91 by transmission electron microscopy (TEM) and diamidino-2-phenylindole (DAPI) counting.
92 Considering that more than 8,500 formally described sponge species exist and that the true
93 diversity is still much higher (Van Soest et al., 2012), a comprehensive survey of the HMA-
94 LMA pattern would be a difficult and laborious undertaking.

95 Machine learning deals with the creation and evaluation of algorithms designed to recognise,
96 classify, and predict patterns from existing data (Tarca et al., 2007). In supervised machine
97 learning, the algorithms (classifiers) learn rules from features of labelled objects, known as
98 training data, to infer the objects’ labels (Sommer and Gerlich, 2013). Ultimately, these rules
99 can be applied to predict the labels of unobserved objects. Supervised machine learning has
100 been applied to predict biological features of different dimensions, ranging from molecular
101 biology to macro ecology (Lawler et al., 2006; Petersen et al., 2011). Despite this, few

102 publications have explored the power of machine learning to predict host characteristics based
103 on microbiome patterns, such as the recent predictions made for human health and ethnicity
104 (Mason et al., 2013; Walters et al., 2014). The present study was aimed to compare alpha and
105 beta diversities between HMA and LMA sponge samples, to identify differently abundant
106 prokaryotic taxa in HMA and LMA sponge species, and to predict the HMA-LMA status of
107 sponges by machine learning. We demonstrate here that machine learning algorithms allow
108 the accurate classification of the HMA-LMA status of marine sponges based only on the
109 taxonomic profiles of samples' microbiomes.

110

111 **Materials and Methods**

112 *Data collection and determination of HMA-LMA status*

113 Sponge-associated microbial community data were retrieved from the Sponge Microbiome
114 Project dataset (Moitinho-Silva et al., in preparation). Briefly, sample processing and
115 sequencing were performed by the Earth Microbiome Project (www.earthmicrobiome.org,
116 Gilbert et al. (2014)). Amplicon data analysis was conducted by Moitinho-Silva et al. (in
117 preparation). The dataset consists of V4 hypervariable region of 16S rRNA gene sequences
118 clustered at 97% similarity into Operational Taxonomic Units (OTU) and their taxonomic
119 classification. In this study, samples annotated as diseased or as part of stress experiments
120 were excluded, as were samples with less than 23,450 sequences, which corresponded to the
121 first quartile of sequence counts per sample. Samples obtained from taxonomically identified
122 sponge species with at least three replicates were used for the analyses. To account for
123 difference in sequencing depth, the OTU abundance matrix was rarefied to 23,455 sequences
124 per sample.

125 Classification of sponge species as either HMA or LMA was based on an electron
126 microscopical survey (Gloeckner et al., 2014). Additionally, six species were classified in this
127 study based on transmission electron microscopy (TEM). Altogether, 575 samples,
128 representing 36 sponge species of known HMA-LMA status (n=19 for HMA and n=17 for
129 LMA), were used for diversity and composition comparisons and as the machine learning
130 training data (Supplementary Table 1). A total of 1232 samples, representing 135 sponge
131 species of unknown HMA-LMA status, were then queried by machine learning approach
132 (Supplementary Table 2). Samples ids are provided in Supplementary Table 3.

133 *Transmission electron microscopy (TEM)*

134 Additional sponge samples were collected by SCUBA diving and processed for TEM by four
135 different laboratories. *Ircinia variabilis* specimens (n=3) were collected in March 2010, at 8
136 to 12 m depth at Mar Menuda (Tossa de Mar, Mediterranean Sea; 41°43'13.62"N,
137 2°56'26.90"E). *Petrosia ficiformis* specimens (n=3) were collected in December 2011, at 8 to
138 11 m depth, at La Depuradora (L'Escala, Mediterranean Sea; 42°7'29"N, 3°7'57"E). These
139 samples were processed for TEM as described in Erwin et al. (2012). *Rhopaloeides odorabile*
140 specimens (n=3) were collected in June 1999 from 8 m depth at Davies Reef (Northeast
141 Australian Shelf – Great Barrier Reef; 18°50'33.48"S, 147°37'37.08"E). The samples were
142 processed for TEM following Webster and Hill (2001). *Dysidea fragilis* and *Halichondria*

143 *panicea* samples (n=3) were collected at Coranroo (Co Clare, West Coast of Ireland,
144 53°8'29"N, 09°0'34"W) in 2012 and 2014, respectively. These were processed for TEM
145 following Stephens et al. (2013). *Erylus formosus* specimens (n=2) were collected from Bocas
146 del Toro (Panama) in 2012. In the present study, the same *E. formosus* individuals were used
147 for TEM analysis and for amplicon sequencing. After collection, samples were fixed in 4%
148 paraformaldehyde followed by post-fixation in a 2% solution of osmium tetroxide in 0.1 M
149 cacodylate buffer/11% sucrose. The samples were dehydrated in a graded ethanol series and
150 embedded in LR White resin. Ultrathin sections were prepared with an ultramicrotome
151 (Reichert Ultracut S, Leica, Austria). To obtain contrast the sections were double stained
152 with uranyl acetate replacement stain followed by lead citrate staining. TEM images were
153 taken with a Tecnai G2 Spirit BioTwin TEM (80 kV, FEI, USA) at the Central Microscopy of
154 University of Kiel (Germany).

155 ***Experimental design used in diversity analyses***

156 Alpha and beta diversities were compared between HMA and LMA samples (HMA-LMA
157 status, fixed effect, 2 levels), taking into account the collection site (geographic region,
158 random effect, 9 levels) and the sponge species (host identity, random effect, 36 levels).
159 Samples were assigned into geographic regions based on their coordinates following Large
160 Marine Ecosystems of the World definitions (<http://www.lme.noaa.gov/>) (Supplementary
161 Table 1). The host identity factor was nested in the interaction between HMA-LMA status and
162 geographic region.

163 ***Statistical analysis of alpha diversity***

164 Rarefaction curves were constructed to investigate the recovery of OTUs as a function of
165 sequencing depth with mothur v. 1.37.6 (Schloss et al., 2009). Alpha diversity indices were
166 obtained from the OTU abundance matrix. OTU counts, the Chao, and ACE estimators
167 (O'Hara, 2005; Chiu et al., 2014) were considered indicators of community richness. Inverted
168 Simpson (InvSimpson), Shannon, and Pielou's evenness indices were considered as indicators
169 of community diversity. Calculation of alpha diversity indices was performed with the R
170 package vegan v. 2.3-5 (Oksanen et al., 2016). The effect of HMA-LMA status on the alpha
171 diversity was examined using likelihood ratio tests that compared two linear models with
172 mixed effects: one with the HMA-LMA factor, i.e. the full model, and another without the
173 HMA-LMA factor, i.e. the null model. For this purpose, linear mixed models were fitted by
174 maximum likelihood with the function *lmer* of the R package lme4 (Bates et al., 2015) with
175 the parameter REML set to false. Cell mean parameterization and confidence intervals were
176 obtained from the full model. For each model, residuals vs fits and normal quantile plots were
177 inspected to verify that assumptions of normality, constant variance, and linear relationship
178 were kept. P-values were calculated with ANOVA function based on χ^2 statistic with an alpha
179 level of 5%.

180 ***Statistical analysis of beta diversity***

181 Distance-based multivariate analysis of the microbial communities was carried out at the
182 OTU level as well as the taxonomic levels of phylum, class, order, family, genus, and species.
183 For each taxonomic level, OTU abundances were grouped according to Greengenes

184 classification. Inferences on community structure were based on Bray-Curtis dissimilarities of
185 samples. Patterns between microbial community structures of sponge samples were inspected
186 using Nonmetric Multidimensional Scaling (NMDS) performed with the vegan package. The
187 effects of factors in the described experimental design were tested with PERMANOVA
188 (Anderson, 2001), using square root transformed data and type III sum of squares. Estimates
189 of components of variation were calculated in PERMANOVA. Because differences between
190 groups in PERMANOVA could be due to location, dispersion, as well as location and
191 dispersion (Anderson et al., 2008); homogeneity of multivariate dispersions was examined
192 with PERMDISP (Anderson, 2006). P-values of PERMANOVA and PERMDISP were
193 calculated using 999 permutations. Distance-based multivariate statistics were performed with
194 PERMANOVA v. 1.0.1 implemented in PRIMER v. 6.1.11 (PRIMER-E, UK) with an alpha
195 level of 5%.

196 *Statistical analysis of taxa abundances in HMA and LMA species*

197 The detection of microbial taxa that were differentially abundant between HMA and LMA
198 sponges was conducted at the host species level because analysis of microbial diversities
199 indicated that this factor was responsible for a large part of the variation observed (see Table
200 1 and Supplementary Table 4). Therefore, microbial abundances of samples in phylum, class,
201 and OTU abundance matrices were averaged by sponge species. Generalized linear model
202 was separately fitted to each taxon using negative binomial distribution with the R package
203 Mvabund (Wang et al., 2012), given a mean-variance relationship was observed. Univariate
204 log-likelihood ratio statistic and P-value were calculated after 999 bootstraps. Mean and
205 confidence interval estimates are presented in percentages for taxa with significant effects
206 (alpha level of 5%).

207 *Prediction of HMA-LMA status by machine learning*

208 The capability of machine learning algorithms to classify unknown species into HMA or
209 LMA sponges was evaluated. The training dataset was built on microbial community features
210 from sponge samples of known HMA-LMA status (in Supplementary Table 1). Because
211 specific sets of features can impact the accuracy of the classification, prediction performance
212 was evaluated using the phylum, class, and OTU abundance matrices. Classifiers and their
213 default parameters (listed in Supplementary Table 7) were chosen based on their availability
214 on the Scikit Learn python package v. 0.17.1 (Pedregosa et al., 2011). The performance of
215 each classifier was evaluated using a Leave One Out (LOO) per sponge species fashion, i.e.
216 for each species, its samples were left out of the training set and the classifier was trained
217 based on the remaining samples of other species. According to this procedure, each classifier
218 predicted the HMA-LMA status of species for which samples were not present in the training
219 set. The performance score was measured as the percentage of correctly classified samples.
220 Further, parameter tuning was conducted on the classifier and datasets that presented the best
221 performance, which were the Random Forest classifier and the abundance matrices on the
222 phylum and class levels.

223 Random Forest is a nonparametric machine learning method consisting of a collection of tree-
224 structured classifiers (Breiman, 2001; Chen and Ishwaran, 2012). Each tree is grown on
225 replicates of the training set obtained by sampling. Here, we used bootstrapping as the

226 sampling with replacement method. The result of Random Forest was obtained by averaging
227 the probabilistic prediction of the classifiers as implemented in Scikit Learn (Pedregosa et al.,
228 2011). The effect of different numbers of trees in the forest (ranging from 10 to 100) on the
229 performance of Random Forest was compared. The maximum depth of the tree was set to
230 'None' and features when looking for the best split was set to 'auto'. Random Forest
231 classification that presented higher performance was achieved with 50 trees in the forest. This
232 parameter was used to predict the HMA-LMA status of sponge species without microscopical
233 classification, i.e. unlabelled (Supplementary Table 2).

234 Classification results were summarized as percentage of species samples classified as HMA,
235 where the values ranged from 0% to 100%. The proportion of samples classified as LMA was
236 deduced from this percentage. Results obtained from phylum and class abundance matrices
237 were clustered by affinity propagation (AP). AP clusters data points based on subsets of
238 representative examples, which are identified among all data points (Frey and Dueck, 2007).
239 Pairwise similarity was measured as negative squared Euclidean distances (Frey and Dueck,
240 2007). Exemplary preferences were set to the median, which is expected to result in a
241 moderate number of clusters in comparison to a small number of clusters that result when the
242 exemplary preferences are set to their minimum. Clusters were joined by exemplar-based
243 agglomerative clustering (Bodenhofer et al., 2011). AP and exemplar-based agglomerative
244 clustering were conducted using the R package apcluster v. 1.4.3 (Bodenhofer et al., 2011).

245

246 **Results**

247 ***HMA-LMA classification based on electron microscopy***

248 Based on TEM observations, we report the HMA-LMA status of five additional sponge
249 species that were not covered by Gloeckner et al. (2014). *Ircinia variabilis*, *Petrosia*
250 *ficiformis* and *Rhopaloeides odorabile* were classified as HMA sponges due to the presence of
251 abundant and morphologically distinct microbial cells in the mesohyl (Figure 1). *I. variabilis*
252 and *P. ficiformis* exhibited particularly high density of microbial cells in their mesohyl. The
253 sponge species *Dysidea fragilis* and *Halichondria panicea* were classified as LMA because
254 their mesohyl were largely devoid of microbial cells. In addition, the contradictory
255 classification of *Erylus formosus* as being LMA (Gloeckner et al. 2014) or HMA (Easson and
256 Thacker, 2014) was revisited and based on the present TEM images documenting large
257 amounts of microorganisms (Figure 1), *E. formosus* was clearly identified as an HMA sponge.

258 ***Alpha and beta diversities in HMA and LMA sponges***

259 Rarefaction curves indicated a broad gradient of sampling depths and number of OTUs (16S
260 rRNA gene sequences clustered at 97% similarity) obtained for HMA and LMA samples
261 (Supplementary Figure 1). When rarefaction curves were constructed based on the OTU table
262 rarefied to 23,455 sequences per sample, the curves were more heterogeneous in LMA than
263 HMA sponge samples. Alpha diversity measures were calculated from OTU abundances of
264 sponge samples. All metrics were statistically significantly greater (ANOVA, $P \leq 0.001$,
265 Supplementary Table 4) in the HMA than in the LMA group. The HMA microbiomes were
266 1.4x to 1.8x richer than the LMA microbiomes as measured by OTU counts and the

267 estimators Chao and ACE (Figure 2 A-C). The diversity of HMA microbiomes was 1.5x and
 268 1.6x greater than LMA microbiomes according Shannon and Pielou's evenness measures
 269 respectively, while being 5.6x greater according to Inverted Simpson index (InvSimpson)
 270 (Figure 2 D-F).

271 A clear separation between the structures of microbial communities in HMA and LMA
 272 samples was observed in the Nonmetric Multidimensional Scaling (NMDS) plot (Figure 3). A
 273 significant proportion of variation in the community structures (PERMANOVA, pseudo-
 274 $F_{1,523} = 5.5$, $P = 0.002$) was explained by the HMA-LMA status, while controlling for the
 275 effects of geographic region, the interaction of region and HMA-LMA status, and the host
 276 identity (Table 1). As suggested by the NMDS plots, at least part of the observed differences
 277 between HMA and LMA samples was due to the difference in dispersion between groups,
 278 where HMA samples were less dispersed than LMA samples according to PERMDISP test
 279 ($t = 14.9$, $P = 0.001$, Supplementary Figure 2). A significant effect of geographic region on
 280 microbial community structure was observed (pseudo- $F_{8,523} = 1.5$, $P = 0.012$), as well as of the
 281 interaction between HMA-LMA status and geographic region (pseudo- $F_{5,523} = 1.7$, $P = 0.003$),
 282 and host identity (pseudo- $F_{37,523} = 12.2$, $P = 0.001$) (Table 1). It is noteworthy, that the host
 283 identity explained most of the variation, followed by the HMA-LMA status, the interaction
 284 between geographic region and HMA-LMA status, and, lastly, geographic region alone
 285 (Table 1).

286 The effect of HMA-LMA status was observed when OTU abundances were grouped by
 287 microbial taxonomic levels (Table 2). This result is particularly remarkable considering the
 288 increasing number of sequences that cannot be assigned to a given taxon when deeper
 289 classification levels are considered. For instance, 5.75% of sequences were grouped as
 290 unclassified at phylum level and 98.24% were grouped as unclassified at the species level.

291 ***Identification of HMA and LMA indicator taxa***

292 The taxa that differed in abundance between HMA and LMA sponges (P -value < 0.05) were
 293 inspected with phylum, class and OTU datasets (Figure 4). Other taxonomic levels were not
 294 included due to the large proportion of sequences assigned to unclassified taxa ($> 50\%$). On
 295 the phylum level, 14 phyla were significantly more abundant in HMA and 19 were more
 296 abundant in LMA species (Supplementary Table 5). Because these numbers included many
 297 low abundance phyla, we further considered only those that differed on average $> 0.25\%$.
 298 Accordingly, the phyla *Chloroflexi*, *Acidobacteria*, and *Actinobacteria* were more abundant in
 299 HMA sponges, followed by *PAUC34f*, *Gemmatimonadetes*, *BR1093*, *Poribacteria*, *AncK6*,
 300 *Nitrospirae*, and *Spirochaetes* (Figure 4A). The phyla *Proteobacteria*, *Bacteroidetes*,
 301 *Planctomycetes*, and *Firmicutes* were more abundant in LMA sponges. The classes *SAR202*,
 302 *Anaerolineae*, and *Acidimicrobiia* were more abundant in HMA sponges, followed by
 303 *PAUC34f* unclassified at class level, *Acidobacteria.6*, *Sva0725*, *Gemm.2*,
 304 *Deltaproteobacteria*, and others (Figure 4B, Supplementary Table 5). The classes
 305 *Alphaproteobacteria*, *Betaproteobacteria*, *Flavobacteriia*, *Planctomycetia*, *Actinobacteria*,
 306 and *Saprospirae* were more abundant in LMA sponges (Figure 4B). Microbiomes of LMA
 307 sponges were enriched in *Proteobacteria* unclassified at the class level over HMA
 308 microbiomes. A total of 2,322 OTUs were found to be differentially abundant between HMA

309 and LMA groups (Supplementary Table 6). The taxonomic classification of the most
310 abundant OTUs enriched in either HMA or LMA sponges corresponded to the results
311 obtained for phylum and class level (Figure 4C). The two abundant OTUs assigned to the
312 family *Synechococcaceae* represent a remarkable exception to the above pattern. Despite the
313 fact that neither the phylum *Cyanobacteria* nor the class *Synechococcophycideae* was
314 enriched in either group, the cyanobacterial Otu0000007 was more abundant in HMA
315 sponges, while the cyanobacterial Otu0000002 was more abundant in LMA sponges.
316 Similarly, despite the fact that *Thaumarchaeota* was not enriched in either of the groups, the
317 thaumarchaeal Otu0000168 was more abundant in the LMA sponges. The large confidence
318 intervals observed for some OTUs' means indicate that these OTUs are not evenly distributed
319 among the sponge species within HMA or LMA groups. For example, Otu0000094, which
320 had a mean in LMA sponges of 5.0% (95% confidence interval: 1.3%, 19.9%), were found in
321 only 4 of the 17 LMA species with most of its sequences (19,738 out of 19,769 total)
322 recovered from *Iotrochota birotulata*.

323 **Prediction of the HMA-LMA status by machine learning**

324 To select the supervised machine learning algorithm (classifier) that was most appropriate to
325 the task of predicting the HMA-LMA status, the performance of several classifiers were
326 compared. Random Forest resulted in higher weighted means of correctly classified samples
327 per species when training and validation was carried out with phylum (96.90% \pm 5.75,
328 weighted mean \pm weighted standard deviation) and class abundances (94.75% \pm 12.27)
329 (Supplementary Table 7, Figure 5A). On the other hand, AdaBoost performed better with
330 OTU abundances (91.35% \pm 19.63). Although AdaBoost performance was also high for
331 phylum and class datasets ($>$ 91% weighted mean), it resulted in higher weighted standard
332 errors, when compared to Random Forest (Supplementary Table 7). Thus, Random Forest was
333 preferred over AdaBoost. Due to the overall low predictive value obtained for OTU
334 abundance information (mean performance of 71.2%) in comparison to phylum (84.7%) and
335 class (82.9%), we decided to perform downstream analysis based on the latter two datasets.
336 The number of trees in the forest was further optimized for the Random Forest classifier.
337 Highest overall performance, i.e. mean of performance for phylum and class datasets, was
338 obtained for 50 trees in the forest (Figure 5B). Optimized Random Forest performance on
339 phylum and class datasets resulted, respectively, in 98.3% \pm 4.2 and 98.6% \pm 3.8 of correctly
340 classified samples clearly demonstrating that most samples for all species were correctly
341 classified (Figure 5C).

342 Prediction of the HMA-LMA status of 135 sponge species without a priori knowledge was
343 performed using Random Forest with optimized parameters. Four clusters were obtained by
344 affinity propagation based on prediction results on phylum and class abundance information
345 (Figure 6). Cluster 1 contained 44 species that were largely classified as HMA (84-100% of
346 samples). Cluster 2 contained 9 species that were inconsistently classified as LMA (55-84%
347 of samples). This included *Tedania* sp., for which 56% of samples were classified as LMA.
348 Cluster 3 contained 8 species that were inconsistently classified as HMA (59-83% of
349 samples). Cluster 4 grouped 74 species that were largely classified as LMA (86-100% of
350 samples). Cluster 1 was grouped together with Cluster 3, while Cluster 2 was paired with
351 Cluster 4 by exemplar-based agglomerative clustering (Supplementary Figure 3).

352 To visualize the relationship between the structures of microbial communities from classified
353 and predicted sponges, NMDS was conducted with the phylum, class, and OTU abundance
354 matrices (Figure 7). Generally, samples from species in Clusters 1 and 3 closely localized
355 with samples of HMA sponges. Likewise, samples from species in Clusters 2 and 4 closely
356 localized with samples from LMA sponges. The distinction between the groups was clearer in
357 the NMDS plots produced from the phylum and class datasets than in the plot produced from
358 the OTU dataset. Nevertheless, NMDS plots from all three datasets suggest a bimodal pattern
359 of structures of microbial communities in sponges, as displayed by the density of samples
360 along the first NMDS dimension (x axis).

361

362 Discussion

363 *Microbial diversity in HMA and LMA sponges*

364 Studies that have characterized sponge microbial diversity in the context of the HMA-LMA
365 dichotomy have so far been restricted to a handful of samples and/or species (e.g. Blanquer et
366 al., 2013; Giles et al., 2013). In surveys that incorporated a larger number of species, the
367 HMA-LMA dichotomy was only a minor part of the investigation (Schmitt et al., 2012;
368 Easson and Thacker, 2014). Here, the microbiomes of 575 samples representing 36 species of
369 known HMA-LMA status were characterized and statistically analysed. The HMA-LMA
370 status was predicted by machine learning for another 114 of 135 sponge species with high
371 confidence (representing 1,094 samples). This effort represents the largest investigation of the
372 HMA-LMA dichotomy so far and was possible due to the recent release of the Sponge
373 Microbiome Project dataset (Moitinho-Silva et al., in preparation).

374 All alpha diversity metrics considered in this study showed that HMA sponges are generally
375 significantly associated with richer, more diverse microbial communities than LMA sponges.
376 Similar findings were previously reported based on different culture-independent techniques
377 of community analysis, such as denaturing gradient gel electrophoresis (DGGE) (Weisz et al.,
378 2007b; Bjork et al., 2013), terminal restriction fragment length polymorphism (T-RFLP)
379 (Erwin et al., 2015), 16S rRNA gene cloning and Sanger sequencing (Giles et al., 2013), 454
380 pyrosequencing (Bayer et al., 2014; Moitinho-Silva et al., 2014), and Illumina sequencing
381 (Easson and Thacker, 2014). However, it should be noted that some studies have found
382 exceptions to this pattern (Blanquer et al., 2013; Easson and Thacker, 2014). Our data
383 supports the increasing body of evidence showing that HMA sponges are associated with
384 more diverse microbial communities than LMA sponges.

385 Our analysis further shows that microbial communities associated with HMA sponges are not
386 only structurally distinct from those of LMA sponges, but also display smaller variation
387 within their microbiomes than their LMA counterparts. The strongest driving force for the
388 observed patterns was host identity, which explained the largest portion of the structural
389 variation of microbiomes, while a smaller effect was due to the HMA-LMA status, the
390 interaction of the HMA-LMA status and geographical region, and region alone (Table 1).
391 These results extend previous studies that have shown the general effects of the HMA-LMA
392 dichotomy (e.g. Bayer et al., 2014; Erwin et al., 2015), host identity (Hardoim et al., 2012;

393 Pita et al., 2013; Easson and Thacker, 2014; Reveillaud et al., 2014; Steinert et al., 2016;
394 Thomas et al., 2016), and geographic region (Burgsdorf et al., 2014; Luter et al., 2015) on
395 sponge microbiomes. For the first time, these factors were ranked (Table 1). Furthermore, as
396 demonstrated for the HMA-LMA dichotomy, we have shown that such effects are observed at
397 different taxonomic scales, e.g. when OTU abundances are grouped at the phylum, class, or
398 order level.

399 Moitinho-Silva et al. (2014) proposed that the aspect of host specificity is most appropriately
400 addressed when considering the different OTU abundances in sponges. Subsequently, Bayer
401 et al. (2014) introduced the term “indicator species” for certain phyla, i.e. *Chloroflexi*,
402 *Poribacteria*, and *Actinobacteria* that were overrepresented in HMA over LMA sponges. In
403 the present study, we confirm that even more taxa are differentially abundant in HMA and
404 LMA sponges. Our analysis identifies additional phyla (e.g. *Acidobacteria*, *PAUC34f*,
405 *Gemmatimonadetes*), classes (e.g. *SAR202*, *Anaerolineae*, *Acidimicrobiia*), and OTUs (e.g.
406 Otu0000007, Otu0000004, Otu0000008) that are more abundant in HMA than LMA sponges
407 and can thus be considered as “HMA indicators” (Figure 4). For the LMA sponges, we
408 confirm the previously reported enrichment of *Proteobacteria* (Blanquer et al., 2013; Giles et
409 al., 2013) and identify additional clades at the phylum (e.g. *Bacteroidetes*, *Planctomycetes*,
410 *Firmicutes*), class (e.g. *Alphaproteobacteria*, *Betaproteobacteria*, *Flavobacteriia*) and OTU
411 (e.g. Otu0000168, Otu0000002, Otu0000094) levels that can now also considered “LMA
412 indicators” (Figure 4).

413

414 **Prediction of the HMA-LMA status by machine learning**

415 The high classification performance (> 98% correctly classified samples) of the Random
416 Forest algorithm trained with phylum and class abundances suggests that these taxonomic
417 levels are good proxies to resolve the HMA-LMA dichotomy in sponges. Several predictions
418 of the HMA-LMA status made by Random Forest were in agreement with previous studies.
419 For instance, the predicted HMA sponges *Geodia barretti* and *Rhabdastrella globostellata*
420 were previously described to harbour the HMA-indicator phyla *Poribacteria* and *Chloroflexi*
421 (Radax et al., 2012; Steinert et al., 2016). Similarly, a dense microbial population was
422 visualized by TEM in the mesohyl of the predicted HMA sponge *Stelletta maori* (Schmitt et
423 al., 2011). Moreover, the microbiome of the predicted LMA sponges *Ianthella basta* and
424 *Stylissa massa* were dominated by the LMA-indicator phylum *Proteobacteria* (Luter et al.,
425 2010; de Voogd et al., 2015). Together with these results, further support to the predictions
426 made by the Random Forest is provided by the co-localisation of classified and predicted
427 samples in NMDS plots (Figure 7). Why the classifiers showed less performance when
428 trained on OTU abundances rather than phylum and class datasets remains unclear. It is
429 conceivable that OTU abundances are less informative due to the large number of low
430 abundance OTUs. OTUs that were more abundant in either the HMA or LMA groups may not
431 be relevant for the classification by machine learning, since they were not necessarily present
432 or evenly distributed in all species within the group in which they were more abundant (e.g.
433 Otu0000094). In conclusion, the machine learning results suggested that the HMA-LMA
434 dichotomy is a general pattern best resolved at phylum and class levels.

435 Our machine learning predictions on sponge species, whose HMA-LMA status was
436 previously unknown, supports the hypothesis that the HMA-LMA dichotomy is a continuum
437 with a highly bimodal distribution (Figure 7) (Gloeckner et al., 2014). Altogether, 118 of the
438 135 species were consistently predicted either HMA or LMA sponges, forming the two large
439 clusters 1 and 4 (Figure 6). Species that fell into clusters 2 and 3 behaved atypically with
440 respect to the HMA-LMA dichotomy. Altogether, 90% of all species included in this study
441 were either classified as HMA or LMA by TEM (Figure 1 and Gloeckner et al. (2014)) or
442 predicted by machine learning as HMA or LMA (Figure 6), and the remaining 10% were
443 inconsistently classified. Considering the large number of species included in this study
444 (n=135), we posit that this pattern is representative of the HMA-LMA dichotomy in the
445 natural environment. Since most collection efforts have so far explored tropical and temperate
446 regions, further efforts should be directed to explore the HMA-LMA dichotomy in other
447 marine environments, such as the deep-sea or polar waters. With respect to the species
448 included in this study, their predicted HMA-LMA status provides *a priori* information on
449 their microbiome structure, thus providing a basis for the selection of the appropriate sponges
450 and for future investigations related to their sponge microbiomes.

451 Most sponge genera were composed of either the HMA or LMA phenotype. For example, the
452 genera *Agelas*, *Aplysina* and *Ircinia* contained only HMA sponge species, while the genera
453 *Axinella*, *Cliona*, and *Suberites* were exclusively LMA sponges. Exceptionally, our analysis
454 indicated some genera containing both HMA and LMA species. For instance, *Xestospongia*
455 *bocatorensis* was predicted as LMA, while *Xestospongia testudinaria* and *Xestospongia muta*
456 were characterized HMA species (Gloeckner et al., 2014). Similarly, *Cinachyrella alloclada*
457 was predicted as HMA, while *Cinachyrella levantinensis* and *Cinachyrella* sp. were predicted
458 as LMA sponges. In addition, the *Haliclona* spp. were predicted as LMA, although TEM
459 observations indicated *Haliclona sarai* as HMA (Marra et al., unpubl. data). The inference of
460 the evolutionary history of the HMA-LMA dichotomy from our results is limited by the
461 occurrence of polyphyletic clades in *Porifera*, including the genera *Xestospongia* and
462 *Haliclona* (Redmond et al., 2011; Redmond et al., 2013). Therefore, future investigations
463 focusing on the phylogenetic and evolutionary aspects of the HMA-LMA dichotomy are
464 recommended.

465

466

467 **Conclusion**

468 The Sponge Microbiome Project was queried to explore the HMA-LMA dichotomy in the
469 largest currently available dataset on sponge microbiomes. Our results strongly support
470 previous findings that showed a higher diversity and different microbial community structures
471 in HMA compared to LMA sponges. A number of clades (phyla, classes, OTUs) that may be
472 considered as HMA or LMA indicators were identified for future explorations of so far
473 uncharacterized sponge species. Machine learning algorithms were trained on microbial
474 community data to recognize and “learn” the HMA-LMA dichotomy. The performance of the
475 Random Forest algorithm trained with phylum and class abundances showed the excellent
476 predictive value of these taxonomic levels with regard to the HMA-LMA status.

477 Consequently, Random Forest predicted the HMA-LMA status for 118 of 135
478 uncharacterized sponge species with high confidence. This study demonstrated the usefulness
479 of machine learning tools to address biological questions related to host-associated microbial
480 communities.

481

482 **Acknowledgements**

483 We would like to thank Dr. Rodrigo Costa, Dr. Micha Ilan, and Tal Idan for valuable
484 discussions during the planning of this project. We thank Dr. Robert W. Thacker for kindly
485 providing *E. formosus* specimens for microscopic analysis. We are thankful for access to the
486 core facilities of the Central Microscopy (University of Kiel, Germany). NSW was funded
487 through an Australian Research Council Future Fellowship FT120100480. CCPH had a post-
488 doctoral fellowship from CAPES (Coordenação de Aperfeiçoamento de Pessoal de Nível
489 Superior)/CIMAR.

490

491 **Data accessibility**

492 All amplicon data and metadata are public at the European Nucleotide Archive (accession
493 number: ERP020690). Quality-filtered, demultiplexed fastq files are available at
494 <http://qiita.microbio.me> (Study ID: 10793). OTU abundance matrix and OTU taxonomic
495 information is available in Moitinho-Silva et al. (in preparation).

496

497 **Author Contributions**

498 L.M.-S. and U. H. designed the study. G.P.McC., S.L.-L., and N.W collected samples. Y.-
499 C.W., G.P.McC., S.L.-L., and N.W performed microscopic research. L.M.-S., G.S., S.N.,
500 C.C.P.H., R.M., and T.T. performed bioinformatics analysis. L.M.-S. and U.H. wrote the
501 manuscript. All authors contributed to the writing of the manuscript.

502

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697 **Tables**

698 Table 1. The effect of HMA-LMA status, geographic region, and host identity on microbial
699 communities based on OTU abundances.

Source	df	MS	Pseudo-F	P(per m)	Var comp*
HMA-LMA status	1	41,74 4	5.5289	0.002	34.238
Geographic region	8	15,26 6	1.4992	0.012	13.223
HMA-LMA status x geographic region*	5	15,39 8	1.6644	0.003	17.741
Host identity (HMA-LMA status x geographic region)	37	20,92 5	12.249	0.001	41.229
Residual	52 3	1,708. 3			41.332

700 PERMANOVA analysis was performed with Bray-Curtis dissimilarities between samples
701 obtained from square root transformed OTU abundances.

702 *Term has one or more empty cells.

703 **Estimates of components of variation are shown in squared units of Bray-Curtis
704 dissimilarity.

705

706 Table 2. The effect of HMA-LMA status on microbial communities at different taxonomic
707 ranks.

Level	MS	Pseudo-F	P(perm)	Unclassified sequences*
Phylum	21,816	12.172	0.001	5.75
Class	25,402	10.755	0.001	20.27
Order	184,850	8.7579	0.001	54.64
Family	31,065	10.029	0.001	64.11
Genus	33,377	9.9077	0.001	89.34
Species	33,061	9.6671	0.001	98.24

df: 1, Res: 224, Total: 250

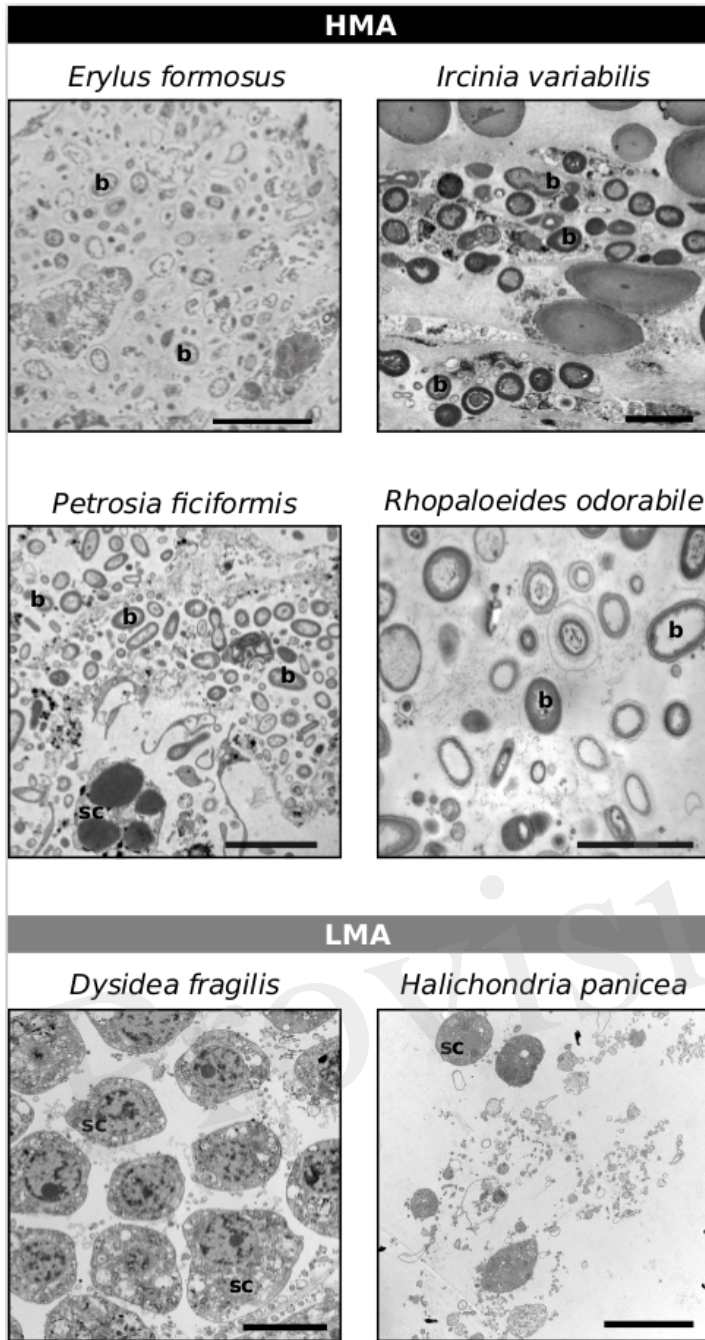
PERMANOVA analysis was performed with Bray-Curtis dissimilarities between samples obtained from square root transformed abundances. See Table 1 for full model.

□ Percentage of sequences that fell in “unclassified” taxon during the taxonomic grouping of OTU abundances.

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710 **Figures**

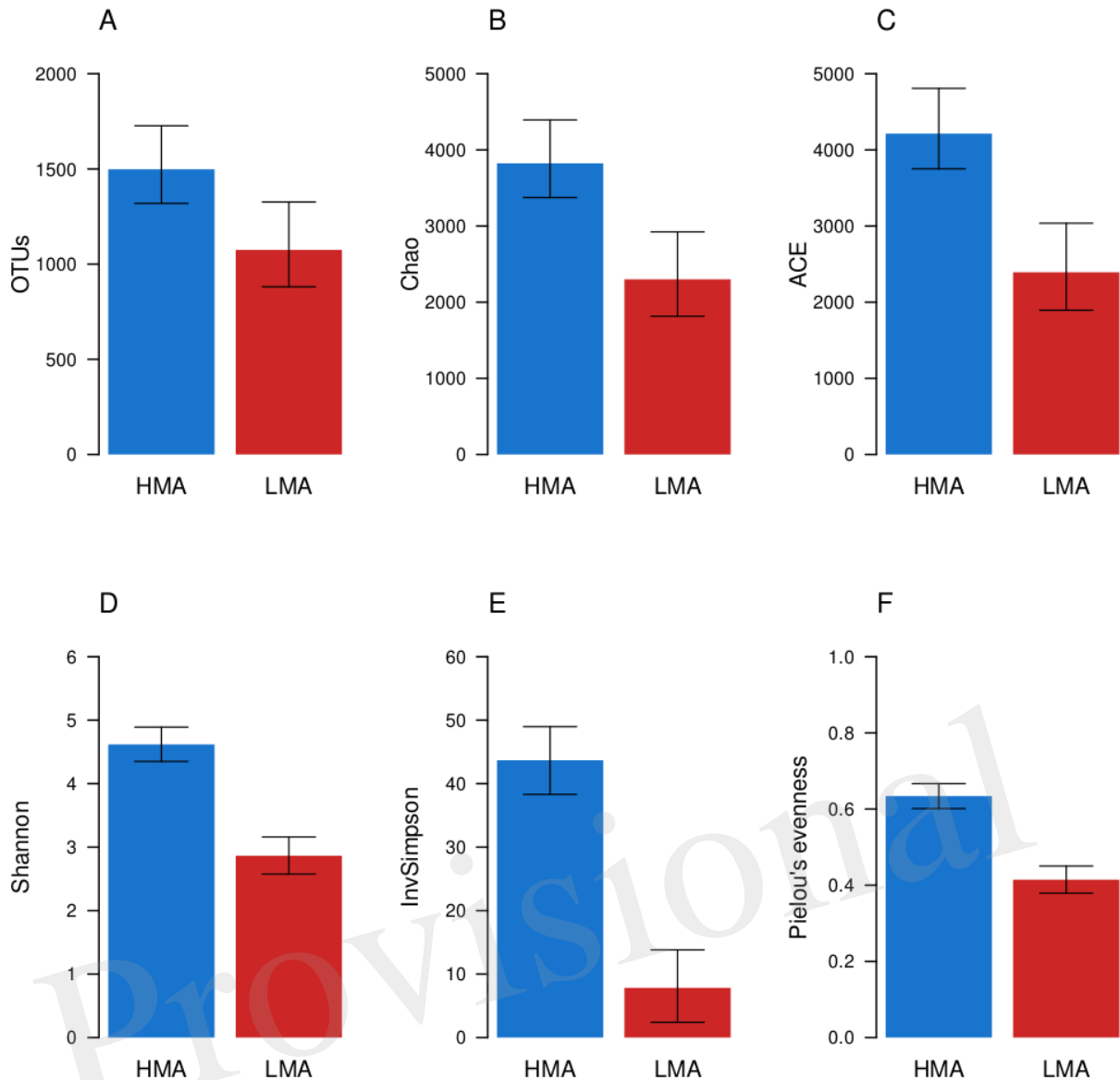


711

712 Figure 1. Classification of the HMA-LMA status of sponges based on transmission electron
 713 microscopy. Scale bars represent 5 μm , but vary in length. Abbreviations are: bacteria (b) and
 714 sponge cell (sc).

715

Predicting the HMA-LMA status in sponges



716

717 Figure 2. Alpha diversity of HMA and LMA sponge samples. Richness (A-C) and diversity
718 (D-F) metrics were calculated for each sample (n=575) using rarefied OTU abundances.
719 Estimated mean and 95% confidence intervals were obtained from linear mixed models of
720 alpha diversity metrics. The effect of HMA-LMA status was tested with Likelihood ratio
721 tests. In this procedure, two linear models with mixed effects were compared, the full model
722 and the null model. All metrics were significantly greater (ANOVA, $P \leq 0.001$) in the HMA
723 than in the LMA group.

Predicting the HMA-LMA status in sponges

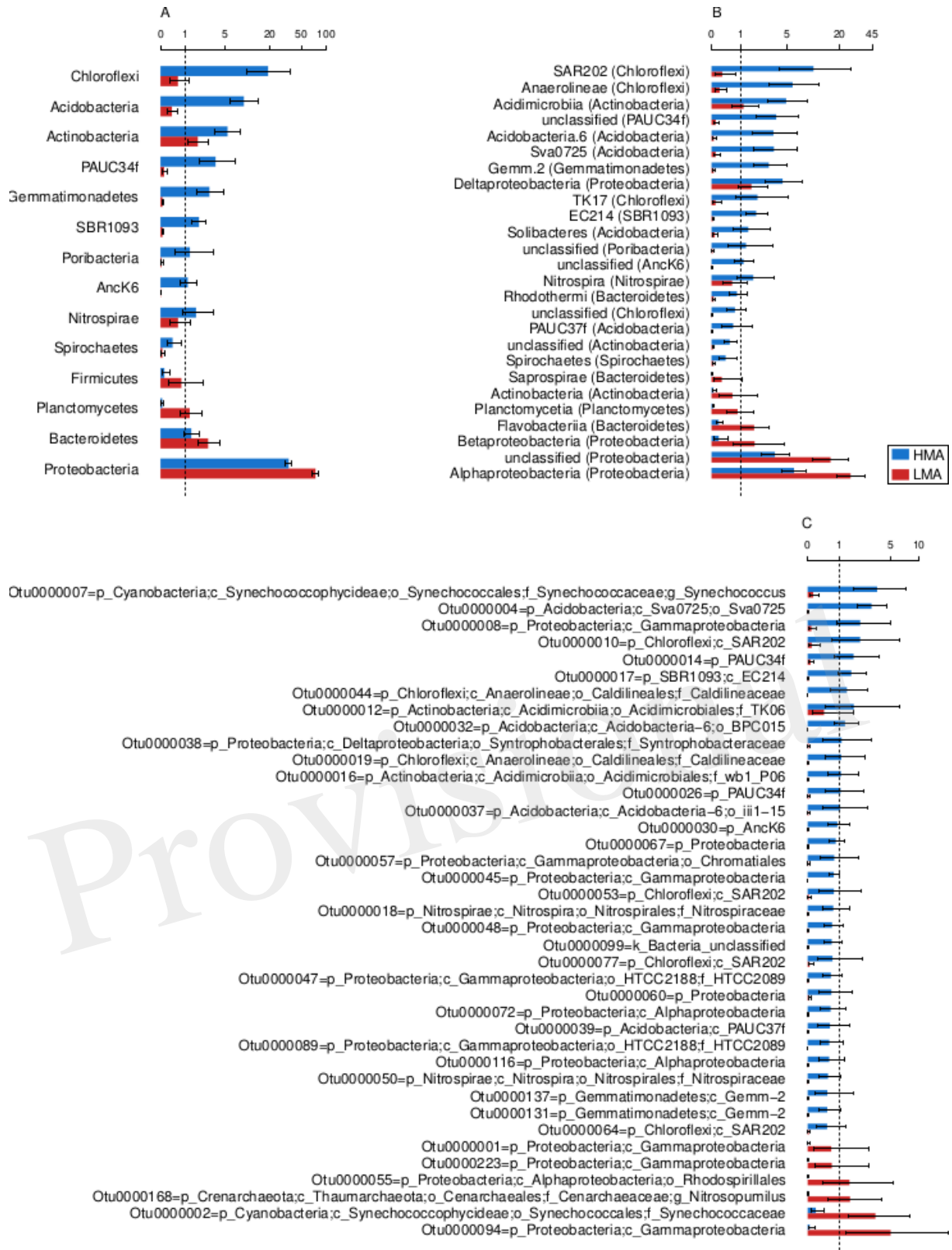


724

725 Figure 3. Beta diversity of microbial communities associated with HMA and LMA sponge
 726 samples. NMDS was conducted from Bray-Curtis dissimilarities between samples based on
 727 OTU abundances. The three plots displayed represent the same analysis, where sample
 728 symbols and colours stand for **(A)** HMA-LMA status, **(B)** geographic region, and **(C)** host
 729 identity.

730

Predicting the HMA-LMA status in sponges



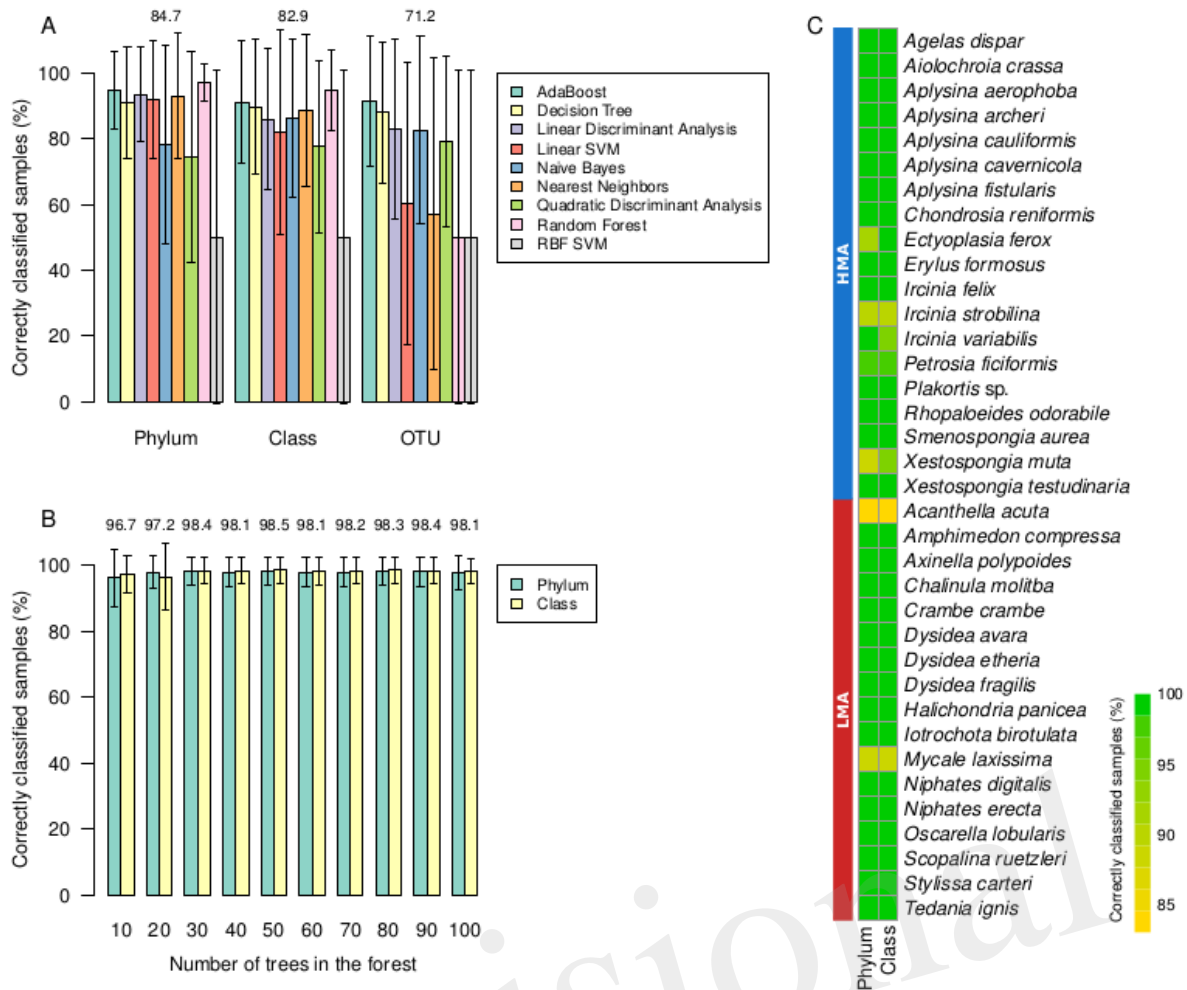
Predicting the HMA-LMA status in sponges

732 Figure 4. Selection of differentially abundant bacterial and archaeal taxa in the microbiomes
733 of HMA and LMA sponge species. Estimated mean and 95% confidence intervals were
734 obtained from negative binomial generalised linear models (HMA=19, LMA=17) and
735 converted to percentages. **(A)** Phyla and **(B)** classes that differed in more than 0.25% of their
736 mean relative abundance per group are displayed. **(C)** The cut-off for OTUs was 0.5%
737 difference. The shown taxa resulted in P-values < 0.05. Classification of OTUs is shown
738 down to their deepest taxonomic level.

739

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Predicting the HMA-LMA status in sponges

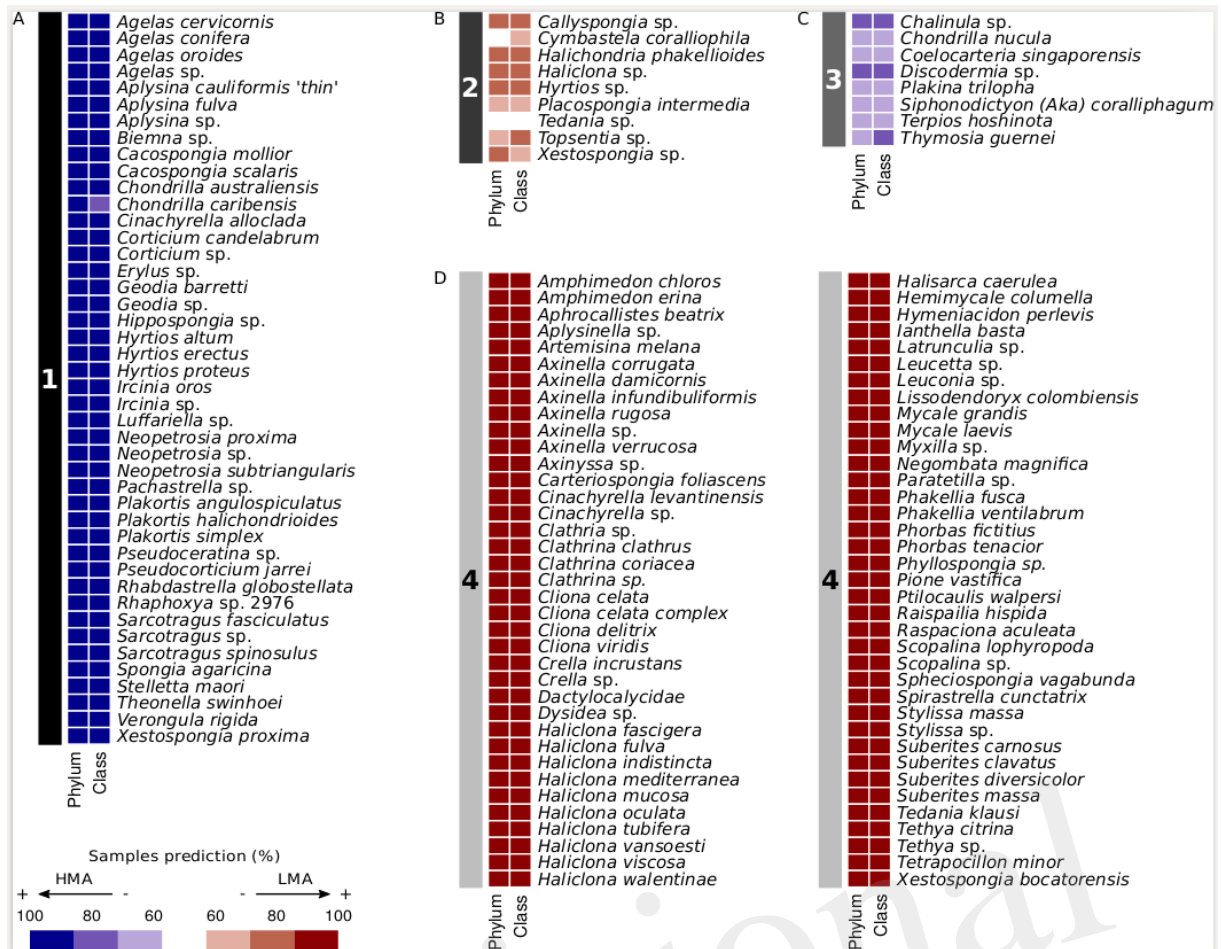


740

741 Figure 5. Selection and standardization of classifiers. **(A)** Performance of classifiers training
 742 on phylum, class, and OTU abundances. Percentage of correctly classified samples per
 743 species were averaged according to training tables. Weighted means were used due to the
 744 difference in number of HMA (n=19) and LMA (n=17) sponges. Error bars represent
 745 weighted standard deviations. **(B)** Performance of Random Forest for phylum and class
 746 datasets according to number of trees in the forest. Mean of weighted averages are displayed
 747 at the top of bars. **(C)** Performance of Random Forest (number of trees in the forest=50) on
 748 classification of known HMA and LMA sponge species.

749

Predicting the HMA-LMA status in sponges

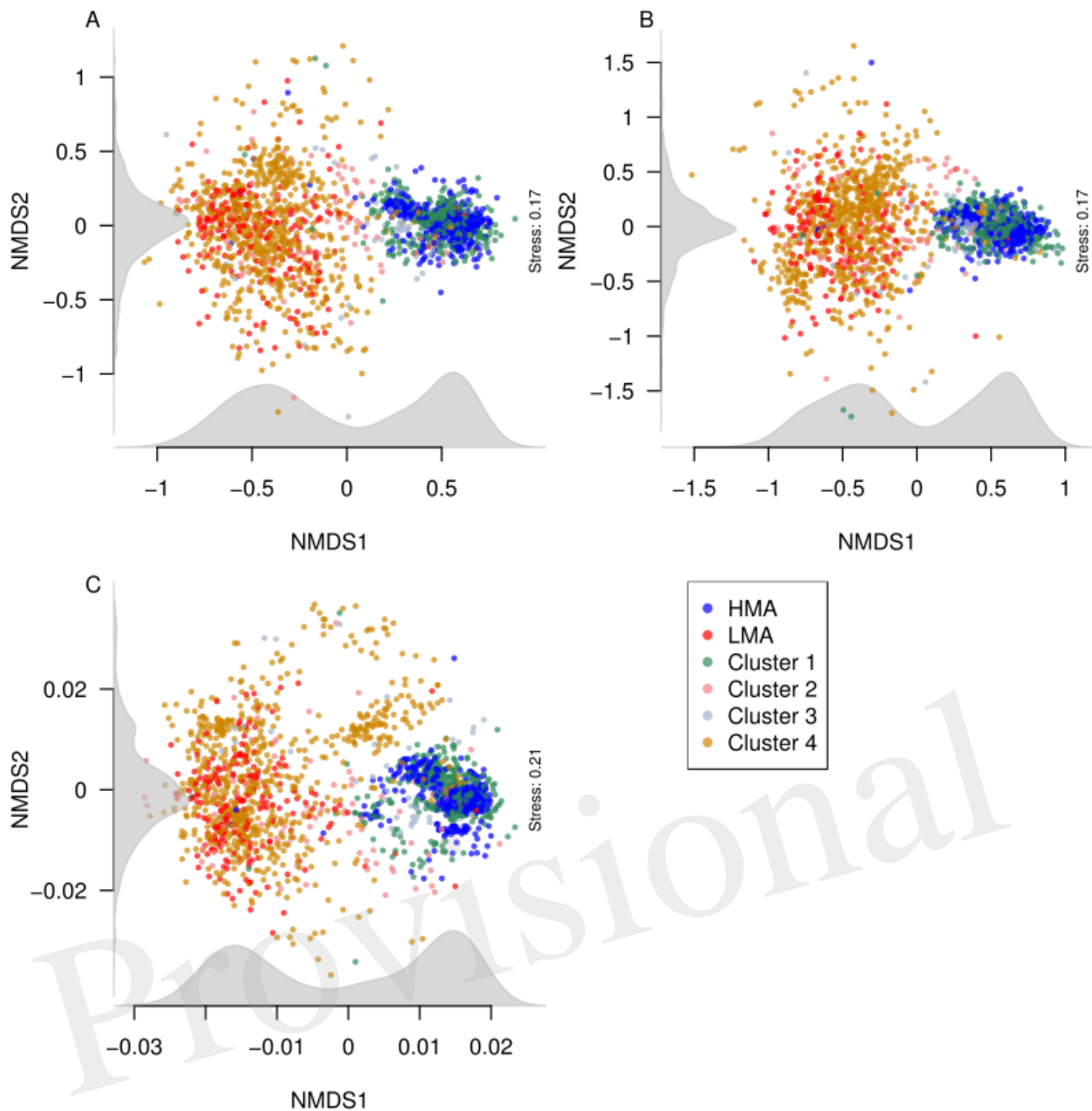


750

751 Figure 6. Random Forest predictions of HMA-LMA status of previously uncharacterized
 752 sponge species (n=135). Prediction of samples were carried out by Random Forest (number of
 753 trees in the forest=50) based on phylum and class abundances. Clustering of the classifier
 754 results (left numbered panel, A-D) were performed with affinity propagation. Colour scheme
 755 of right panels represents percentage of samples predicted as either HMA or LMA.

756

Predicting the HMA-LMA status in sponges

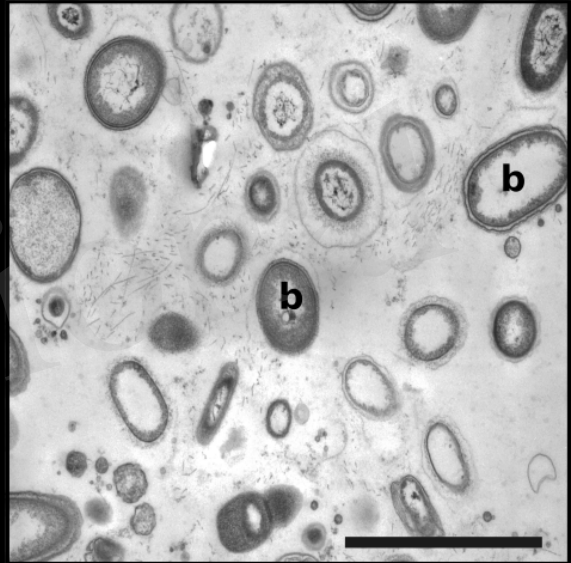
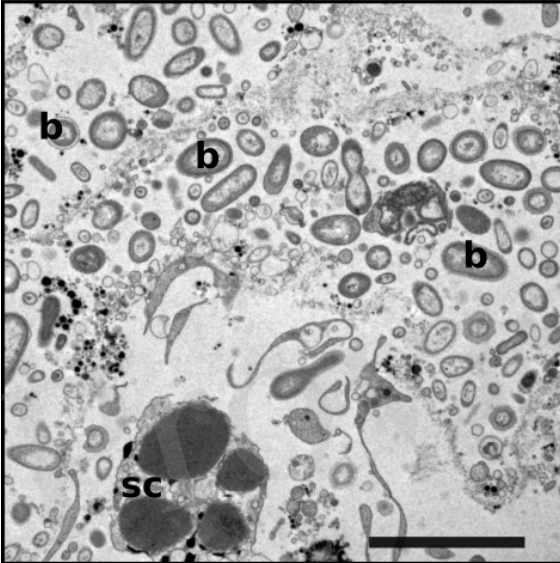
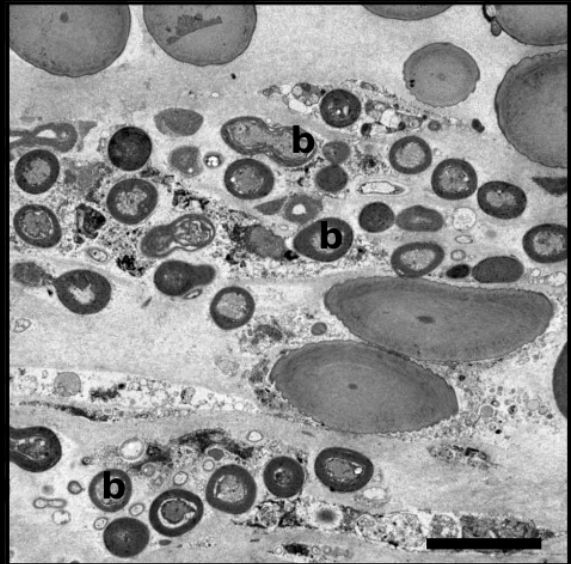
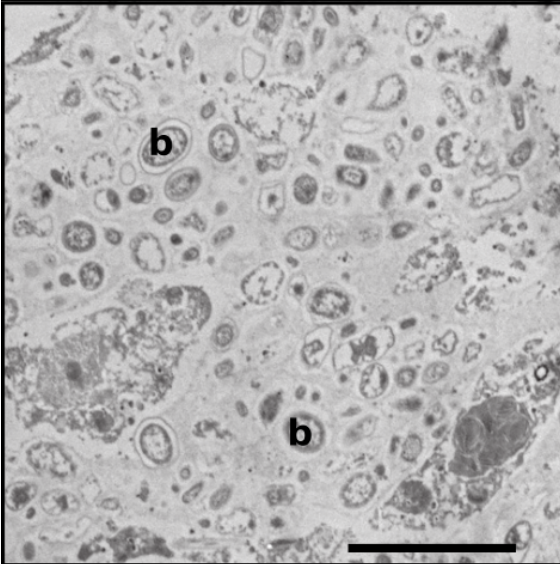


757

758 Figure 7. Relationship between the structures of microbial communities (beta diversity) from
759 classified and predicted sponges. NMDS plots were constructed from Bray-Curtis
760 dissimilarities between samples obtained from (A) phylum, (B) class, and (C)
761 OTU abundances. Points correspond to samples and are coloured according to the HMA-LMA
762 classification and to the clusters obtained from Random Forest prediction results (see Figure
763 6). Density of points along the NMDS dimensions (axes) was plotted in grey.

764

HMA



LMA

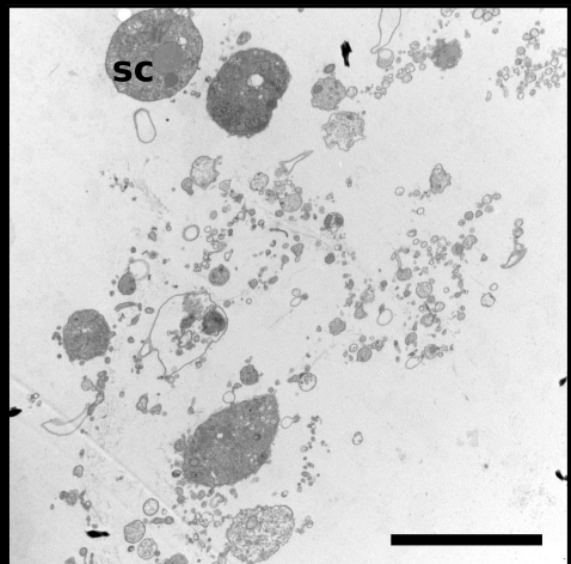
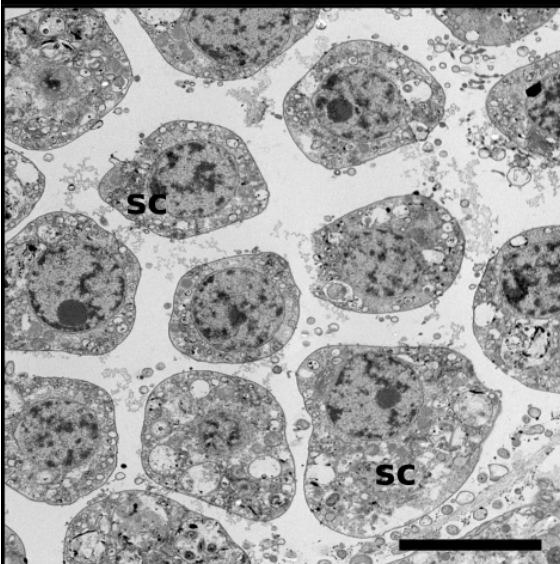


Figure 02.TIFF

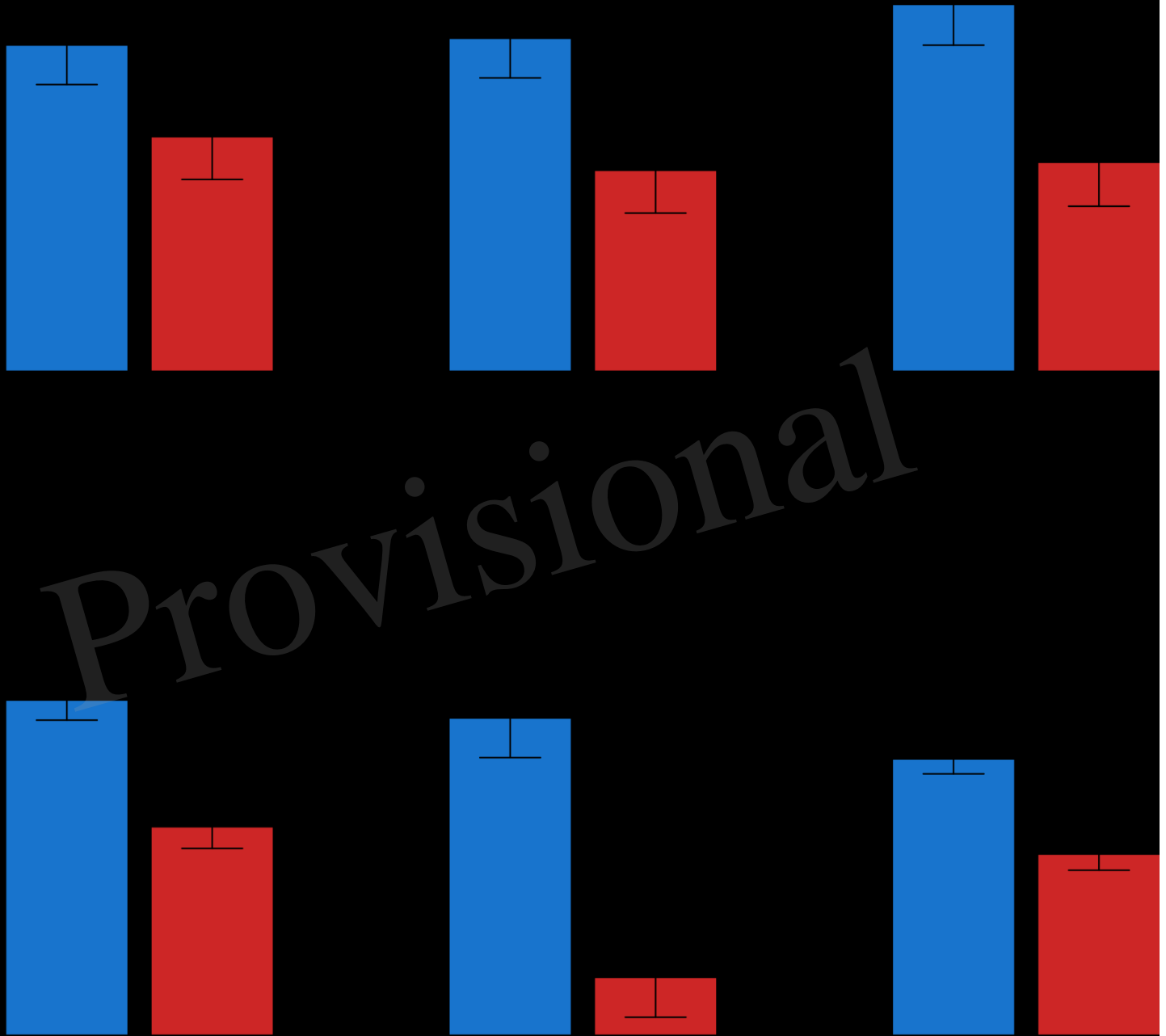


Figure 03.TIFF

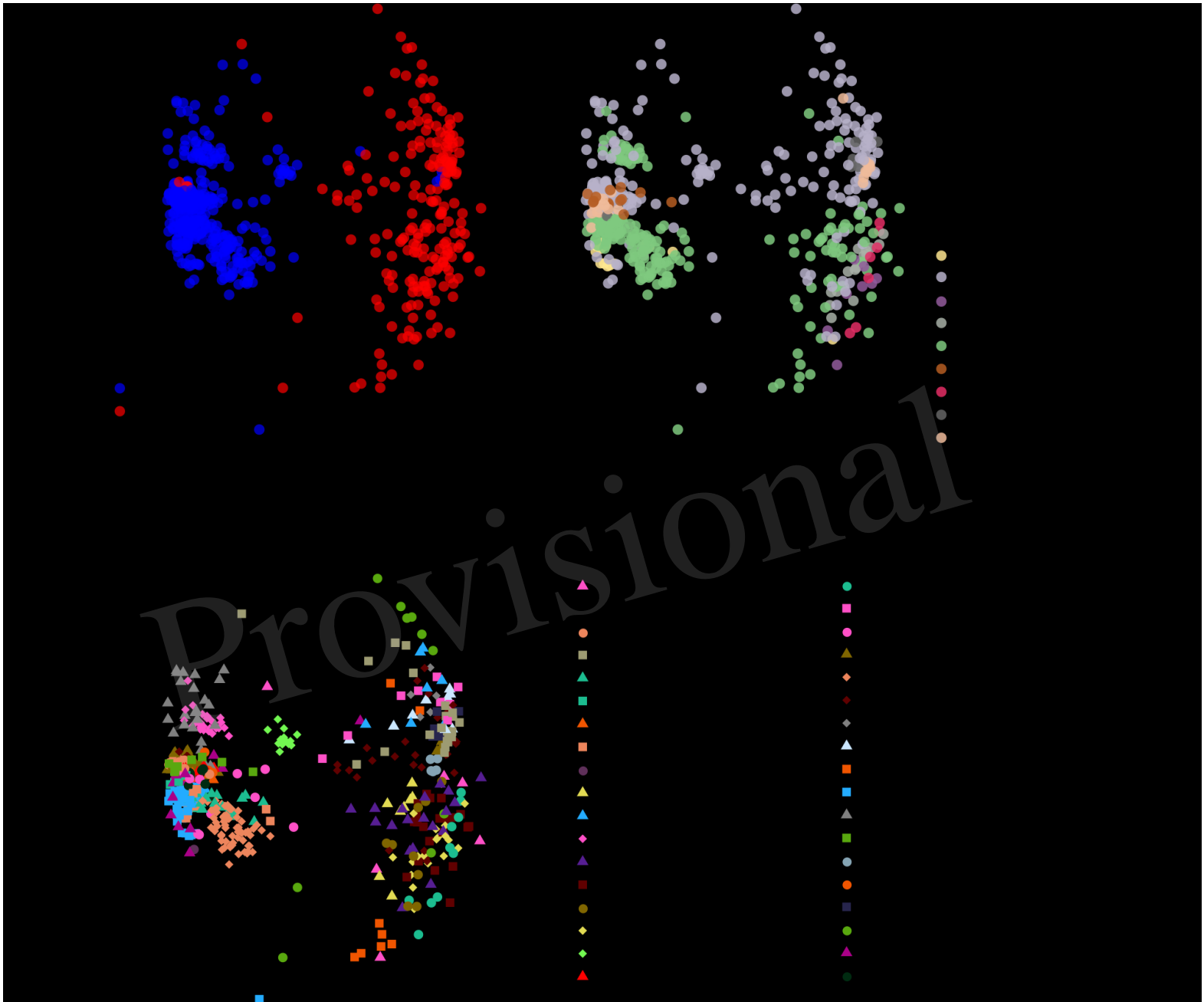
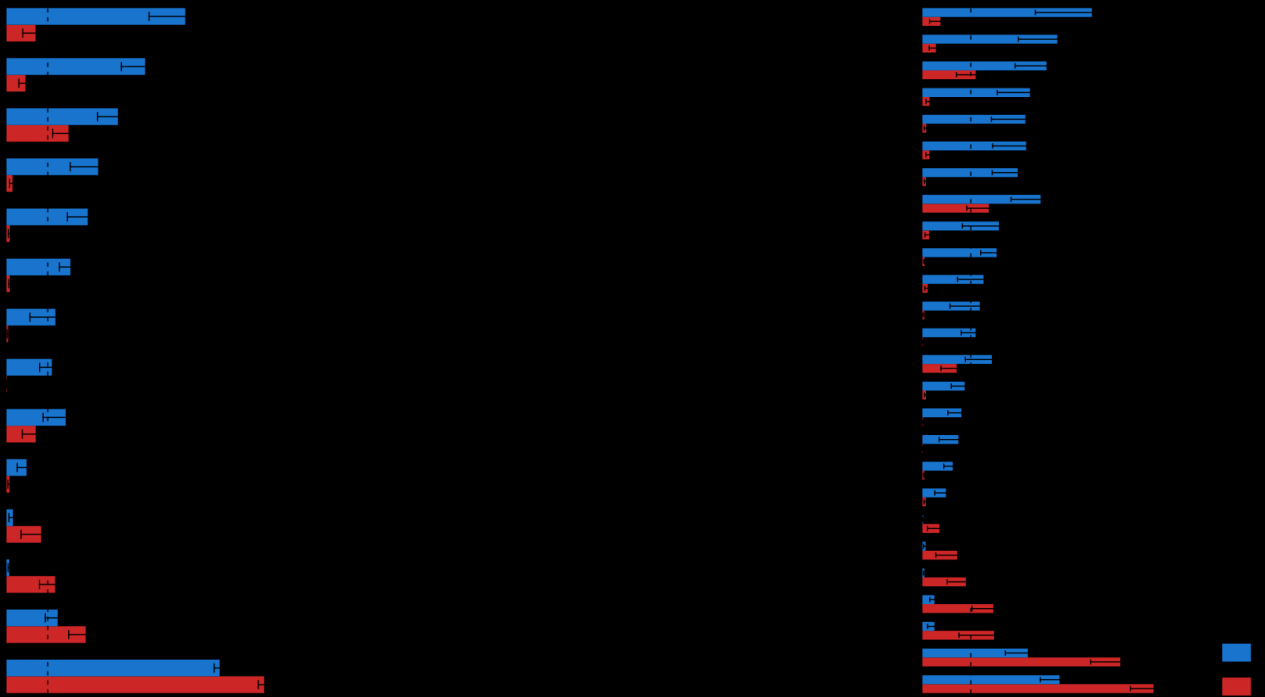


Figure 04.TIFF



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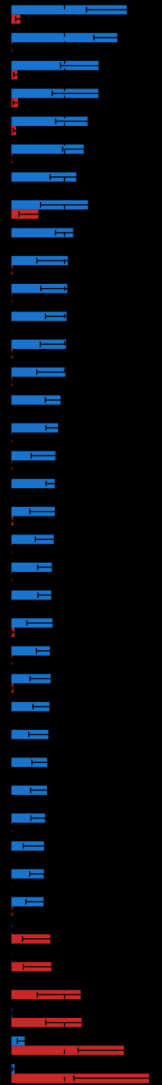


Figure 05.TIFF

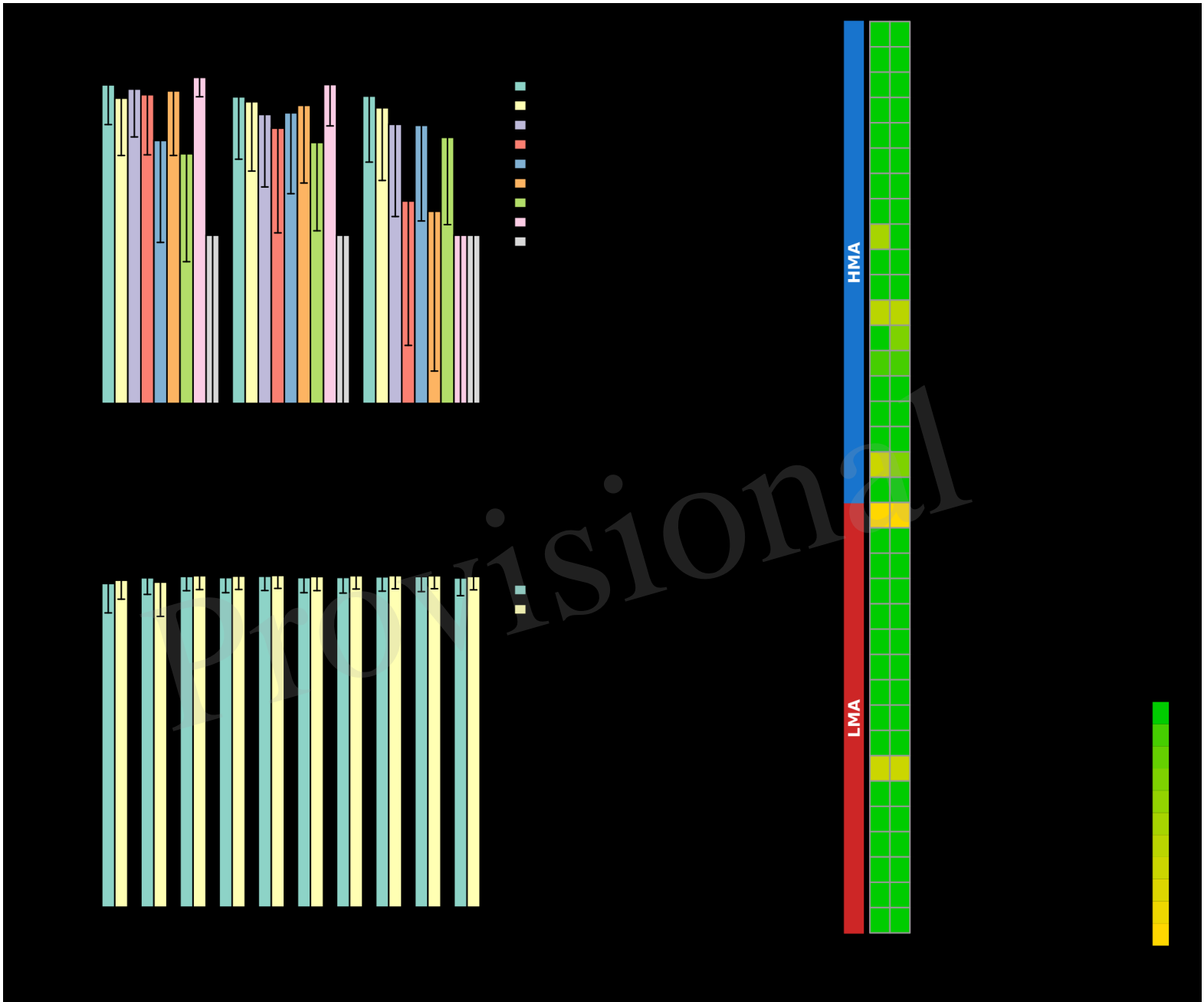


Figure 06.TIFF

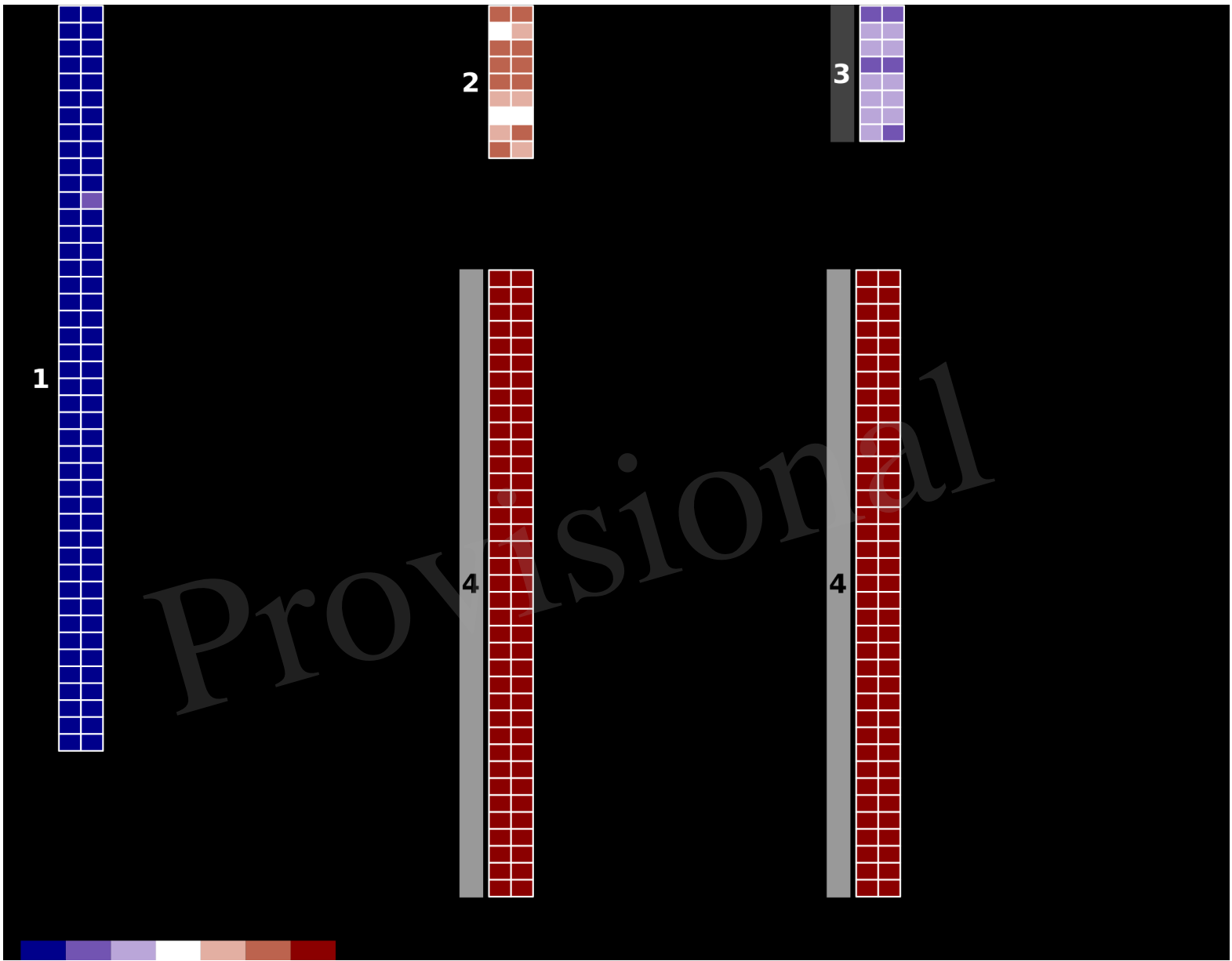


Figure 07.TIFF

