

## **SeaCycler: A moored open-ocean profiling system for the upper ocean in extended self-contained deployments**

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1 **Abstract**

2 *The upper ocean, including the biologically productive euphotic zone and the mixed layer, has great*  
3 *relevance for studies of physical, biogeochemical, and ecosystem processes and their interaction.*  
4 *Observing this layer with a continuous presence, sampling many of the relevant variables, and with*  
5 *sufficient vertical resolution, has remained a challenge. Here a system is presented which can be*  
6 *deployed on the top of deep-ocean moorings, at depths of 150-200m, and which mechanically*  
7 *winches a large sensor float to the surface and back down again, typically twice per day for periods*  
8 *up to 1 year. The sensor float can carry several sizeable sensors and has enough buoyancy to reach*  
9 *the surface even in the presence of strong currents. The system can survive mooring blow-over to*  
10 *1000m depth. The battery-operated design is made possible by using a balanced energy-conserving*  
11 *principle. Robustness is enhanced with a drive assembly that employs a single rotating part that has*  
12 *no slip rings or rotating seals. The profiling bodies can break the surface and establish satellite*  
13 *communication for data relay or reception of new commands. An inductive pass-through mode*  
14 *allows communication with other mooring components throughout the water column beneath the*  
15 *system. A number of successful demonstration deployments have been completed.*

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17

18

19 **Introduction**

20 The upper layer of the ocean, from the surface to approximately 100-150m depth, is a very dynamic  
21 component of the oceanic water column. It contains important physical, biogeochemical, and  
22 biological processes, which need to be observed intensively in order to unravel their interconnection  
23 or even just to gain information about the short-term variability, climate-driven responses, or long-  
24 term evolutions in this layer. For a wide variety of quantities it is necessary to know the vertical

25 structure (gradients or maximum/minimum layers) and/or the vertical integral or the vertical  
26 movement of layers. Prominent examples are phytoplankton (which usually have a subsurface  
27 maximum), nutrients, or pCO<sub>2</sub> (whose vertical distribution is needed for carbon budgets and fluxes).  
28 For these reasons, time series collected with fixed point sensors often deliver insufficient information.  
29 Some variables can now be observed with small and power-efficient sensors, such that they can be  
30 mounted on underwater gliders or profiling floats, in order to obtain vertical profile information.  
31 Other variables require larger or more power-hungry sensors, e.g. imaging flow-through systems like  
32 LOPCs (Laser Optical Plankton Counter) and wet chemical sensors for carbon variables or nutrients.  
33 Also, time series may be needed in locations where gliders cannot hold station well enough (strong  
34 current systems or in eddy fields). This requires a profiling technology which can be mounted on  
35 moorings, in order to transport sensors through the surface layer.

36  
37 Moorings with a surface buoy are difficult to use for profiling systems, since the mooring wire moves  
38 violently under the action of surface waves. The current preferred approach therefore is to use a  
39 subsurface mooring which ends approximately 150m below the surface, and attach a winch-like  
40 system there. This approach is also less subject to extreme weather conditions, since it can stay below  
41 the surface when waves and wind are too severe, and is less likely to be damaged by ships or  
42 vandalism. More importantly, a winched system avoids the “reef effect”, i.e. marine life that gathers  
43 around surface moorings, and thus can observe a more undisturbed marine ecosystem. Also, parking  
44 a sensor system at 150m significantly reduces biofouling issues.

45  
46 There are a number of challenges, however, with a moored underwater winched system which need  
47 to be overcome. A main factor is the energy efficiency, assuming the entire mooring is self-contained  
48 and thus battery-operated. Plain winching requires significant energy to pull down a body which has

49 enough buoyancy to overcome the blow-over due to horizontal drag in typical surface ocean currents.  
50 This drag is especially serious when large and heavy sensors are to be deployed on the profiling  
51 body. A second challenge is the operation of rotating mechanical parts and electric motors  
52 underwater over long durations. Typically this requires rotating seals and underwater electrical slip  
53 rings, which increase the risk of failure when deployed for time periods in the order of one year. A  
54 third complication is the fact that subsurface moorings in the deep ocean (5000m depth) may be  
55 blown over by strong current events such as eddies. At high latitudes these currents can be deep-  
56 reaching, and may cause the components which are normally at 150m depth to be pushed down to  
57 depths of 700-1000m. Thus the entire winch assembly needs to be pressure resistant to such depths.  
58 Finally, in order to establish communication to shore it is necessary to break the surface and remain  
59 there while transmitting data or receiving commands. This is hazardous and challenging because a  
60 large float with ample buoyancy will be subject to snap loading in the wave field, while a small float  
61 may be continually swamped by waves or may not even reach the surface in the presence of currents.

62

63 This paper presents an approach which tries to address and solve all the challenges resulting from the  
64 above requirements. The engineering team was guided by the science team over the course of 6 years  
65 and many designs were developed, jointly considered, and iterated, until the system now called  
66 SeaCycler emerged. Several ideas and principles are derived from an earlier system called ICYCLER  
67 which was developed for an entirely different application. The solution and implementation presented  
68 here combines the following features:

- 69     ▪ an energy-conserving principle, to increase power efficiency by an order of magnitude over  
70       conventional systems (Fowler, 2004)
- 71     ▪ an internally-enclosed drive system (no rotating seals or slip-rings) to increase reliability
- 72     ▪ a large instrument payload (60kg in air) permitting flexible scientific studies

- 73     ▪ a “Sensor Float” buoyancy of 110kg to allow surfacing in strong currents
- 74     ▪ a pressure rating of 1000m, to allow deployment on deep open-ocean moorings
- 75     ▪ extra cable storage (total of 373m net) to compensate for blow-over in currents
- 76     ▪ a “parking depth” of 150m, to avoid reef effect, vandalism, and reduce bio-fouling
- 77     ▪ ambient wave sensing capability to avoid surfacing when conditions are too severe
- 78     ▪ a separate “Communication Float” to establish shore telemetry even when too rough for
- 79       surfacing the Sensor Float
- 80     ▪ remote re-tasking
- 81     ▪ simple straight-through cable routing, anchor to surface, allowing inductive modem coupling to
- 82       deeper-water instrumentation
- 83     ▪ an endurance of approximately two or four 150m profiles per day in a year-long deployment, for
- 84       alkaline or lithium batteries, respectively
- 85     ▪ 540kg buoyancy to help maintain a taut mooring
- 86     ▪ an ability to surface the Sensor Float for maintenance without recovering the mooring.

87

88 This system has been deployed for engineering and demonstration purposes multiple times now, and  
89 during the most recent deployment carried out 644 round-trip profiles from 150m depth using an  
90 alkaline battery pack.

91

## 92 **Background and Parallel Work**

93

94 The concept of the oceanographic profiler has been, and continues to be, fertile ground for  
95 developers. Approaches vary, driven by specific scientific applications, the particular concept  
96 employed by the developer and advances in available equipment and expertise like those we have  
97 seen in the field of battery technology. The one constant has been the general recognition that it is

98 almost impossible for one piece of equipment to do everything. The result has been the creation of  
99 several different innovative systems.

100

101 One of the earliest examples is the Cyclesonde which operates in the upper 200m and is driven by  
102 variable buoyancy on a wire mooring (Van Leer et al, 1973) and this has evolved to deeper water and  
103 a much greater number of profiles on a subsurface mooring (Erikson et al, 1982). This drive method  
104 continues to be actively pursued as witness more recent work by Provost and du Chauffaut (1996)  
105 and Waldmann (1999). The concept of running on a taut wire has been dramatically extended by  
106 Doherty et al. (1999). Here, a neutrally buoyant body, using a traction motor carries a varied sensor  
107 suite over vast distances on a subsurface mooring. This technology has been commercialized in the  
108 hopes of making it more accessible to the oceanographic community (Morrison, 2000). An alternate  
109 taut wire profiler works from the top down using wave energy for drive power generated by the  
110 motion of a surface buoy (Fowler et al, 1997).

111

112 A different class of profilers is based on various winch configurations. A mid-water mounted system  
113 designed to examine the fresh water layer under mobile ice cover has operated for a year under Arctic  
114 ice (Fowler et al., 2004). An innovative profiler that carries the winching component on-board the  
115 profiling element from the bottom, or mid-water support, to the surface, incorporating various  
116 methods of data communication and control has been developed (Barnard et al., 2010). Finally, an  
117 arrangement (Budéus, 2009) that combines a system of weight transfer to travel to great depth on a  
118 taut wire with a winched system built by NGK near the top of the mooring to carry a buoyant element  
119 to the surface has been described.

120

121

## 122 **Technical Implementation**

### 123 *Mechanical design*

124 As shown in Figure 1, the SeaCycler system is comprised of three floats connected by electro-  
125 mechanical cable. At the top is a Communication Float (short “Comm Float”, 5kg net buoyancy),  
126 followed by a Sensor Float (105kg net buoyancy including an extensive sensor suite). Both floats  
127 travel in tandem through the water column under the control of the lower Mechanism Float (435kg  
128 buoyancy) which also provides floatation for the mooring that connects it to the ocean bottom. The  
129 Mechanism Float contains a winch drum/motor assembly, shown in the detail in figure 1, which is  
130 not only highly efficient but also mechanically simple. The smaller diameter section of the drum  
131 stores 6mm diameter 3x19 steel galvanized plastic jacketed mooring wire (1800kg breaking strength)  
132 and the larger diameter section carries a near-neutrally buoyant plastic jacketed, Spectra strength  
133 member, 3 conductor, profiling cable leading to the Sensor Float. Rotation of the double drum  
134 produces differential movement of the two cables in the ratio of the drum diameters, here set at 5:1.  
135 Since the cables are wound in opposite directions, drum rotation causes the profiling floats and the  
136 Mechanism Float to move vertically in opposite directions. Because the various buoyancies are  
137 carefully designed to produce tensions in the cables which are in the inverse ratio of 1:5, the drum is  
138 in static balance and can therefore be rotated with very little torque and resultant power. Put another  
139 way, rotation of the drum changes the potential energy of the Sensor and Comm Floats and this is  
140 offset by an equal and opposite change in potential energy of the Mechanism Float. This energy-  
141 conserving principle has been patented.

142

143 Several challenges were met in the design and the integration of the winch drum’s drive motor  
144 assembly. Primary among these was the need to overcome the projected cyclical unbalancing torques  
145 caused by wave forcing when profiling elements approach the surface. These forces, in combination

146 with the large torque arm offered by the drum, forced a new approach to underwater motor design.  
147 Instead of mounting the drive motor on the centerline, where immense output torque would be  
148 required, it was connected near the outside diameter of the drum to a large internal gear. To resist  
149 anticipated high ambient pressures, this assembly was housed in a torus shaped pressure case (1.1m  
150 outer diameter). This geometry offers substantial diameter to create torque while keeping the wall  
151 thickness of the pressure case relatively thin to generate a lightweight assembly. The drive  
152 mechanism inside the torus consists of the large internal gear integral with a substantial steel ring that  
153 is supported on five bearings mounted on the torus enclosure wall. These bearings disconnect the  
154 ring, and gear, rotationally, from the torus. The ring is eccentrically weighted to create a pendulum.  
155 A very small DC motor mounted on the torus is engaged with the internal gear on the ring so that  
156 when the motor rotates it causes the torus, with attached winch drum, to rotate around the centerline  
157 of the pendulum ring. Since the batteries and control electronics are also located inside the drum (see  
158 below) and thus rotate with the entire assembly including the small DC motor, no slip rings are  
159 required to transmit the power. A separate publication is underway which will present the engineering  
160 and implementation details of this novel torus drive system.

161

162 Significantly, gravity, working on the pendulum ring, acts as an elastic vertical reference, or “foot on  
163 the ground” from which to create torque. When the torus rotates under no-load conditions the  
164 pendulum ring remains comparatively stationary but under load, the pendulum rotates to create  
165 torque so that the whole assembly is rotationally compliant; an absolutely critical feature for a  
166 structure that operates in the wave zone. Notably, all the gearing and relative motion required to  
167 produce drum rotation occurs in air, within the torus itself, enhancing efficiency. Because all the  
168 components rotate around the totally enclosed pendulum ring, the need for rotary seals is eliminated.  
169 The system provides seamless connectivity between the motor, control and monitoring electronics



170 and battery packs mounted within the drum and between the cables extending above and below the  
171 mechanism.

172

173 At low profiling speeds the major part of the energy required to move the Sensor and Comm Floats in  
174 the water column is produced by the frictional forces within the mechanism itself. To reduce  
175 frictional losses, the neutrally buoyant drum translates horizontally under fixed location fairleads  
176 while cable, laid in a single wrap, is pulled in or paid out. This eliminates the need for power  
177 consuming and mechanically complex spooling mechanisms. Since friction reduction is so critical  
178 for power conservation, great care was taken with the design of all rotating elements. All fairleads  
179 and the main winch shaft are supported on ball bearings which are enclosed in specially designed,  
180 pressure compensated housings that isolate them from seawater and have proven to be highly  
181 efficient. Drum translation is supported on simple low friction bushings based on the fact that almost  
182 all system power is devoted to rotation while virtually no power is required for translation at very low  
183 speeds.

184

185 At first glance the winch drum may seem ungainly but its large size actually serves multiple  
186 purposes. The larger section is 1.15m in diameter and 1m long capable of storing 466m of profiling  
187 cable in a single wrap. It is also large enough to house the electronics and all the batteries (576  
188 alkaline D-cells in four packs) needed to power drum rotation. Although the mechanism is in static  
189 balance due to the buoyancies and cable wrapping, external forces such as the hydrodynamic wave  
190 loading on the profiling floats as they approach the surface can impose significant torsional forces on  
191 the drum. These forces are resisted by the above motor assembly with the torus-shaped pressure  
192 housing having almost the same major diameter as the larger section of the drum itself. The motor is  
193 thus capable of substantial output torque. Finally, sufficient space is available to include enough

194 syntactic foam to render the whole drum assembly neutrally buoyant which is essential to maintain  
195 level trim as the drum translates. The motor assembly, winch batteries and control electronics all  
196 rotate with the drum providing a seamless cable routing right through the entire SeaCycler assembly  
197 from the ocean floor to its surface. The importance of this is discussed in more detail in following  
198 sections.

199

#### 200 *Power budgets*

201 It is essential that adequate float buoyancy be provided to ensure that oceanographic sensors and  
202 communication elements reach the surface when high water currents are encountered. Further, both  
203 the ascent and descent must be accomplished under controlled conditions to ensure proper instrument  
204 function. For the operational parameters defined in this project where the parking depth is set at  
205 150m, and with a substantial sensor suite that can add to float size, models predict, and we have  
206 found, that a profiling buoyancy of 110kg is required for optimal performance. Actual field  
207 experience indicates that the SeaCycler operates with an overall power consumption of 61 watts and  
208 this includes power for mechanism control and monitoring electronics. Comparisons with a  
209 “conventional” winch system, where the profiling buoyancy must be pulled down by brute force, but  
210 is allowed to “free ascend” under control to the surface are difficult because of assumptions that must  
211 be made about efficiencies and low load power requirements. Nonetheless, calculations show that  
212 the SeaCycler should be in the order of 10-12 times more efficient. For equal on-board power that  
213 means 10-12 times more profiles.

214

215 The Mechanism Float carries 600 ampere-hours at 24 volts of energy in alkaline batteries for  
216 profiling and to power the electronics. In the current configuration, power is adequate to complete  
217 650, 150m round-trip profiles or 195 km vertical travel. The Sensor Float carries a 14 volt, 320

218 ampere hour Lithium battery pack that powers the main system control electronics, all the sensors (at  
219 present – CTD and Dissolved Oxygen) plus the Comm Float electronics and transceivers. Replacing  
220 the Mechanism Float batteries with lithium cells would permit more than doubling the number of  
221 profiles, or alternatively complete up to 2 profiles per day for a year in areas that experience much  
222 higher water currents that will need more cable payout to reach the surface. To do this would also  
223 require doubling the Sensor Float power since instruments will be on for longer periods of time.  
224 There is sufficient space and reserve buoyancy on the float to accommodate this change as well as  
225 increase sensor payload to eight instruments. New Sensor Float electronics are being developed  
226 which will allow even more sensors to be integrated. Plans also call for a Comm Float re-design  
227 which would, among other things, enable it to be powered independently.

228

#### 229 *Electronic interfacing, communication*

230 Main functional control, instrument management, winch control, data storage and communication  
231 reside on the Sensor Float, with multi-conductor electro-mechanical cable providing connectivity  
232 between the three floats. Ancillary data storage is also sited on both the Mechanism and Comm  
233 Floats. Inter-component communication is accomplished through a direct, full duplex serial link  
234 using 3 conductors on the electro-mechanical interface cables.

235

236 The Sensor Float manages the mission planning for the SeaCycler system. This includes parameters  
237 such as the profiling interval, profiling speed, the number and frequency of instrument equilibration  
238 stops, file transferring, surfacing aggressiveness, etc. All of these parameters can be modified by the  
239 shore operator during any of the regular telemetry sessions. Provisions have been made for the Sensor  
240 Float to “wake up” and/or reset any of the SeaCycler sub-systems as required. The profiling sequence  
241 is governed entirely by Sensor Float commands, which can be dispersed to all instruments and sub-

242 systems. In addition, an acoustic modem is included on the Sensor Float to provide an operator with  
243 rudimentary control and status during periods where the Comm Float is submerged. Currently it is  
244 configured to act solely as a “Full System Reset Mechanism” to bring the Sensor Float to the surface  
245 in the case of a catastrophic electronic communication failure. In the future, though, it will also be  
246 used for auxiliary instrument data transfer, system control and status reporting.

247

248 The Mechanism Float contains its own, somewhat autonomous control system which responds to  
249 both simple and complex commands from the Sensor Float. Simple commands include functions such  
250 as turning the brake on or off, while more complicated commands can effect a complete surfacing  
251 profile based solely on the Mechanism Float’s internally established criteria. The Mechanism Float  
252 electronics incorporates sensors which allow it to control and monitor all of its internal functions.  
253 Operating parameters, such as winch drum speed, maximum allowable torque and motor current are  
254 accessed locally, but can be overridden by commands directly from the Sensor Float, or from the  
255 shore operator via the Comm Float to the Sensor Float.

256

257 Two-way communication over the Internet between a shore computer and the SeaCycler is typically  
258 accomplished via an Iridium transceiver located on the Comm Float which also includes a GPS  
259 engine. Local communication with the surfaced Comm Float, i.e. to a ship in the vicinity, can also be  
260 accomplished via a FreeWave transceiver which automatically disables Iridium communication for  
261 that particular telemetry session. The Comm Float is a completely, self contained communications  
262 sub-system. All of the Iridium, FreeWave and GPS communications are controlled by the Comm  
263 Float electronics. Files destined for shore are typically transferred from the Sensor Float to the Comm  
264 Float during the surfacing phase of the profile, where they are stored in the Comm Float’s internal  
265 file system. A command from the Sensor Float then relinquishes control to the Comm Float where it

266 will establish the connection, transfer files and receive new commands from shore. All new files are  
267 automatically transferred to shore but any of the archived files may be re-transmitted at the request of  
268 the shore operator. Time updates from the GPS and commands from the shore operator are  
269 transferred to the Sensor Float to be later dispersed throughout the system.

270

271 The uninterrupted nature of the cable routing from the Comm Float through the Sensor Float through  
272 the Mechanism Float winch drum to the mooring line below means that direct communication is  
273 possible from shore to the ocean bottom. Currently, communication with instrumentation located on  
274 the mooring line beneath the Mechanism Float has been accomplished using an inductive modem.

275

276 Iridium/GPS emergency recovery beacons are located on both the Sensor Float and the Mechanism  
277 Float. With the planned stand-alone power on the Comm Float an emergency recovery beacon can be  
278 implemented there as well.

279

280

#### 281 *Performance aspects*

282 There are four separate functional features that affect the ability of a system to approach the surface,  
283 pierce it to send and receive data, and then submerge. The first is the need for extra profiling cable  
284 beyond the absolute depth of the system. As noted above, SeaCycler carries, and can deploy, a net  
285 372m of profiling cable to reach the surface.

286

287 The second is the effect that varying wave forces have on any structure or body at or near the surface.  
288 These forces can have a very negative effect on the longevity of systems that are “unyielding” and  
289 have the potential of imposing exaggerated snap-loads on fixed cable structures. The design of the

290 SeaCycler motor, however, has built-in and automatic compliance that radically reduces potential  
291 stress on the system and can, under certain circumstances even “give up” cable if forces become  
292 excessive.

293

294 The third aspect, piercing the surface, is accomplished by SeaCycler’s Comm Float. This relatively  
295 small component, about 1.5m long, floats near vertical when submerged at the top of a 23m long  
296 double armored steel cable that is rendered neutrally buoyant by the addition of discrete syntactic  
297 foam buoyancy elements. When it pierces the surface it flips to an almost level attitude because of  
298 off-centre ballasting. In this state it projects a three element antenna above the surface, see figure 2.

299 The combination of neutrally buoyant cable lead-in, ballast placement and the very large water-plane  
300 area created by its near-horizontal attitude dramatically enhances stability, allowing the Comm Float  
301 to transmit and receive messages in significant waves of many different wavelengths and periods.

302

303 The fourth function, submerging in heavy weather, however, constitutes a significant challenge. In  
304 early trials it was found that when the weather got rough, in seas of over 4 m, the Comm Float could  
305 sometimes be left on the surface for extended periods after an Iridium communication session. This  
306 was caused by excessive wave drag force on the profiling elements exceeding maximum motor  
307 torque so that they could not be hauled down. This was eventually overcome with a stratagem that  
308 took advantage of SeaCycler’s unique motor/energy balance principle. As noted, the three buoyancies  
309 that comprise the assembly are organized to maintain balance. When this balance is upset, for  
310 instance when transient wave forces are encountered, the system attempts to restore this balance  
311 automatically and autonomously in a very useful way. In the normal stopped position, for example  
312 when on the surface and transmitting, the system is locked with an internal brake. It was found,  
313 however, that if the brake was disengaged, the system’s predisposition to maintain balance took over

314 and the profiling elements were “jacked down” by passing waves as the Mechanism float,  
315 momentarily out of balance with applied cable tensions, rose in the water column to take up slack.  
316 This technique has become standard procedure and the system has been programmed to remove the  
317 brake for two minutes after each surface session. Even in relatively calm, 1m seas, the profiling  
318 elements are often hauled down to a depth of 10m. But as wave height increases, the “down-jacking”  
319 becomes more intense so that, instead of expending considerable energy to submerge, the waves  
320 provide a “free-ride” down to 20m or more in larger waves. This is particularly advantageous in  
321 helping the SeaCycler escape from rough sea conditions where wave loading might cause  
322 disastrously high cable tensions. The more severe the threat is from waves, the deeper the waves  
323 drive the profiling floats down away from the challenging wave environment.

324

325 It should be noted that surfacing the Sensor Float on command allows it to be recovered, e.g. to  
326 service/replace sensors, while keeping the remaining mooring including the Mechanism Float in  
327 place and operational. Figure 3 shows the Comm and Sensor Floats on the surface for a mid-  
328 deployment buoyancy adjustment using a small boat.

329

330

### 331 **Demonstration**

332 Between March 2010 and May 2011, seven deployments have been accomplished; three in local  
333 waters, two in ~150m water depth 32 km off Halifax, and two at the edge of the Scotian shelf in  
334 ~1100m depth 250 km offshore. These field tests were combined with countless laboratory and jetty  
335 tests. The five inshore and near-shore test deployments were of short duration, typically 3 days, with  
336 the offshore deployments lasting 74 and 41 days respectively. As would be expected for a  
337 development this ambitious, early deployments identified minor shortcomings. These were corrected

338 with additional innovations or additions to culminate in the last deployment which was highly  
339 successful both from a performance perspective but also from the standpoint of operational  
340 development. Chief among these was the implementation and refinement of the autonomous wave  
341 driven submergence. Over the duration of the last deployment the power savings realized through  
342 this technique represented 26, 150m round-trip profiles, or 4% of the 644 profiles completed,  
343 expending no rotational power at all. Of all the profiles attempted, only one failed to reach the  
344 surface and the Comm Float was never left on the surface for more time than intended. Figure 4  
345 shows all three float bodies on the research vessel prior to deployment.

346

347 A major part of the testing process was concerned with the evaluation of communication capability.  
348 Initial satellite communication difficulties were identified as a possible compatibility issue with the  
349 TCP/IP stack in Windows XP and the shore-side server software. After migrating to Windows 7  
350 (which has a more current TCP/IP stack design), the problem disappeared. Further investigation is  
351 ongoing with Microsoft and Iridium to fully understand the matter, but for now it is not viewed as a  
352 serious issue. This malfunction resulted in many early dropped calls but all of the data were  
353 recovered during shore-requested re-transmission. The system's operating characteristics were  
354 frequently varied from shore.

355

356 Normal Sensor Float "stop depth" was set at 5m, but on 82 occasions it was brought to within 1m of  
357 the surface and on 23 profiles the CTD was surfaced into air. Indeed, on command, the top end of the  
358 Sensor float was actually brought above the surface. These surfacings and near-surfacings were only  
359 attempted in benign conditions. On the other end of the spectrum, successful two-way  
360 communication was demonstrated in wave heights over 4m. The instrumentation carried on all the



361 deployments worked flawlessly with 100% data recovery rate. Instrument data is shown in Figure 5  
362 for the 644 profiles of the most recent deployment.

363

364 Power consumption was found to be very close to original estimates with an average winch power  
365 rate of 60.7 watts while profiling or 1.53 KW-Hr per 150m round trip, when additional power  
366 demands of surfacing and submerging are included. The total number of profiles completed is  
367 commensurate with original design objective. Although project planning called for only 365 profiles,  
368 supplementary battery power was provided to deploy and recover an additional amount of cable to  
369 surface the profiling floats in higher water currents. In the event, water currents at the site proved to  
370 be very low with only 2m to 4m of additional cable required to reach the surface, so the extra energy  
371 was used to complete 644x150m roundtrip profiles instead.

372

373

#### 374 **Outlook and Future Applications**

375 At the time of writing, SeaCycler is being prepared for a test deployment under the NSF funded OOI  
376 project. For this it will carry a pCO<sub>2</sub> sensor and an acoustic current meter in addition to the CTD and  
377 Dissolved Oxygen sensor. The plan is to migrate the technology from the BIO Ocean Physics group  
378 to the commercial manufacturer/vendor, Rolls-Royce Canada Limited - Naval Marine. We feel  
379 confident that the SeaCycler principle provides a very robust and energy-efficient method of  
380 obtaining profiling data in the upper ocean. Sensors that lend themselves to integration range from  
381 CTD and current meters, fluorometers and backscatter sensors, and incoming radiation sensors, to  
382 acoustic zooplankton sonars, wet chemical systems for carbon and nutrient measurements, and LOPC  
383 systems. It is possible to move to steel wire for all cables, providing more fishbite resistance. In this  
384 case additional electronic cable communication complexity will be necessary to permit operation

385 using a single conductor rather than the multi-conductor system currently employed. The additional  
386 weight of the wire spooled out can be compensated by tapered drums to keep the system balanced.  
387 Experience needs to be gathered with procedures and possibly hardware for safe deployment and  
388 recovery of the large and heavy SeaCycler system. Recovery may be simplified by first detaching  
389 SeaCycler from the subsurface mooring with an acoustic release – this will be explored during the  
390 OOI test deployment.

391

392 An additional modification for future applications may be possible by providing power to the  
393 mechanism float from below (in case a seafloor cable is available to provide power). Also, this  
394 version of SeaCycler is the most ambitious design, allowing for blow-over to 1000m depth. It may be  
395 possible to build modified versions for coastal applications that only need to operate to depths of  
396 200m.

397

398 Overall, SeaCycler is an underwater moored winch system that is designed for applications in  
399 demanding situations, which is highly flexible and robust, and has proven its readiness for extended  
400 field deployments in research applications.

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404

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423

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430 Sensor Float were constructed entirely in Germany in Kiel and Bremen by Andreas Pinck and  
431 Markus Bergenthal and were successfully integrated with the assembly an ocean away.

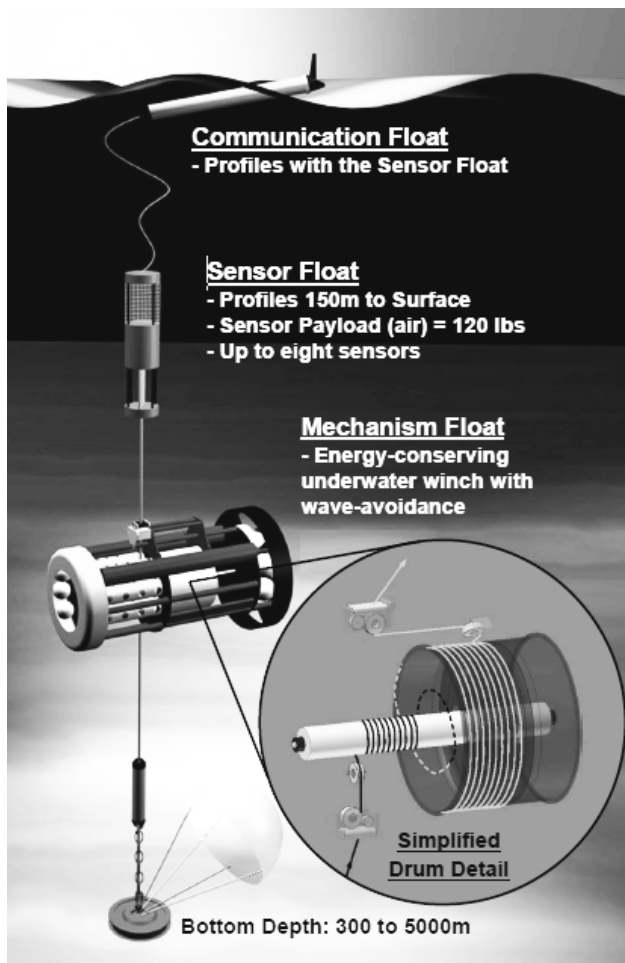
432

433 Finally, the design team would like to thank the science principal investigators for their unfailing and  
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435 and encountered technical roadblocks they never wavered. It's been a rewarding experience to have  
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## Figures

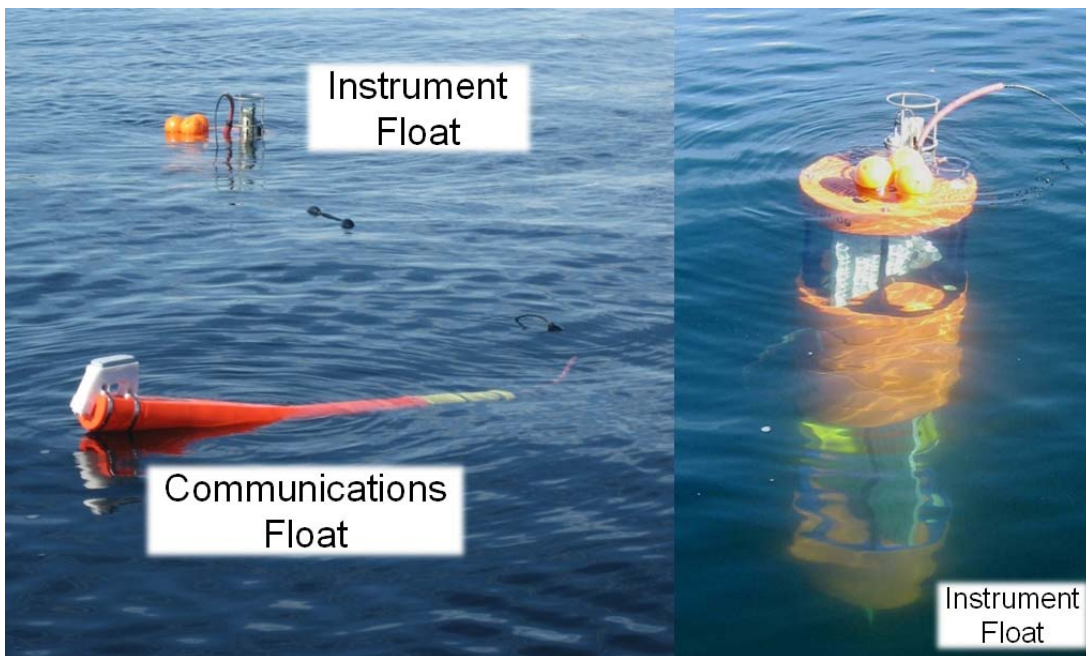


**Figure 1:**

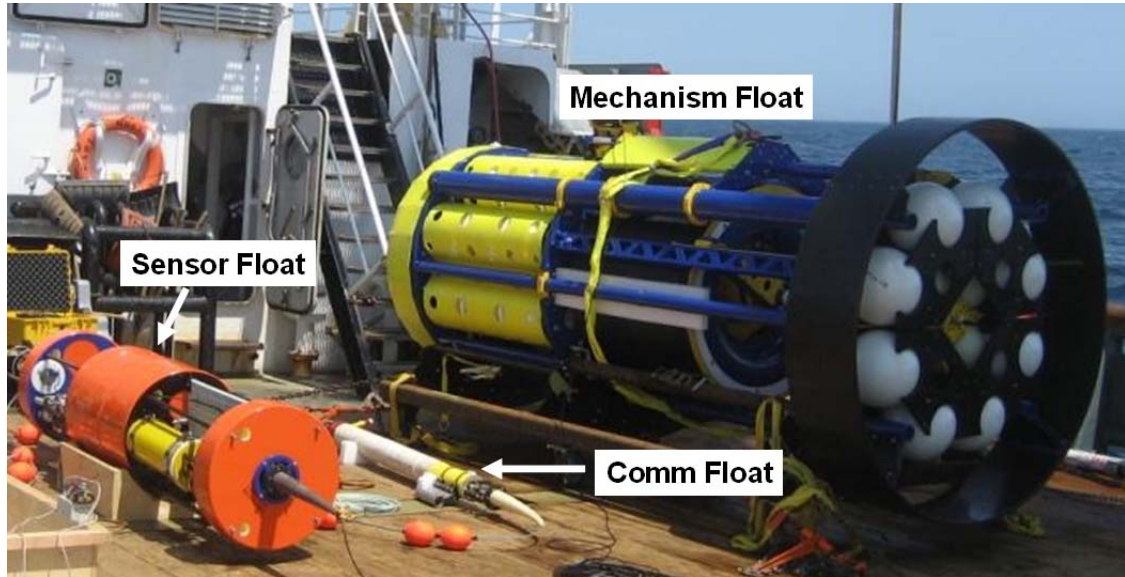
Schematic showing the overall design and configuration of the SeaCycler system. The Mechanism Float (MF) is typically parked at 165m depth with the Sensor Float (SF) pulled in close. The Communication Float (CF) is connected via 23m of fixed-length cable. During profiling, the MF moves downward while the SF ascends, in a 5:1 ratio. If there are no water currents and associated blow-over of either the mooring with the MF and/or of the SF, the MF winches itself down to 195m while the SF reaches the surface. To allow for mooring blow-over, the total cable stored allows for spooling out 466m of cable for the SF, and this requires 93m cable capacity for the MF. At maximum pay-out the MF may thus be at a depth of 258m, resulting in a “net” SF cable length (relative to 150m) of 373m, or 223m of spare profiling capacity allowing for mooring blow-over. Dimension of the floats are: MF length 4.0m, max diameter 1.8m, air weight 1850kg, buoyancy 440kg; SF length 2.5m, max diameter 0.6m, air weight 230kg, buoyancy 105kg, Communication Float length 1.4m, max diameter 0.1m, air weight 18kg, buoyancy 0.2kg.



**Figure 2:** Communication Float in its operating position at the surface. Tank and field studies have shown remarkable stability of this waves ranging from capillary, to wind waves and swell, always keeping the antennas out of the water.

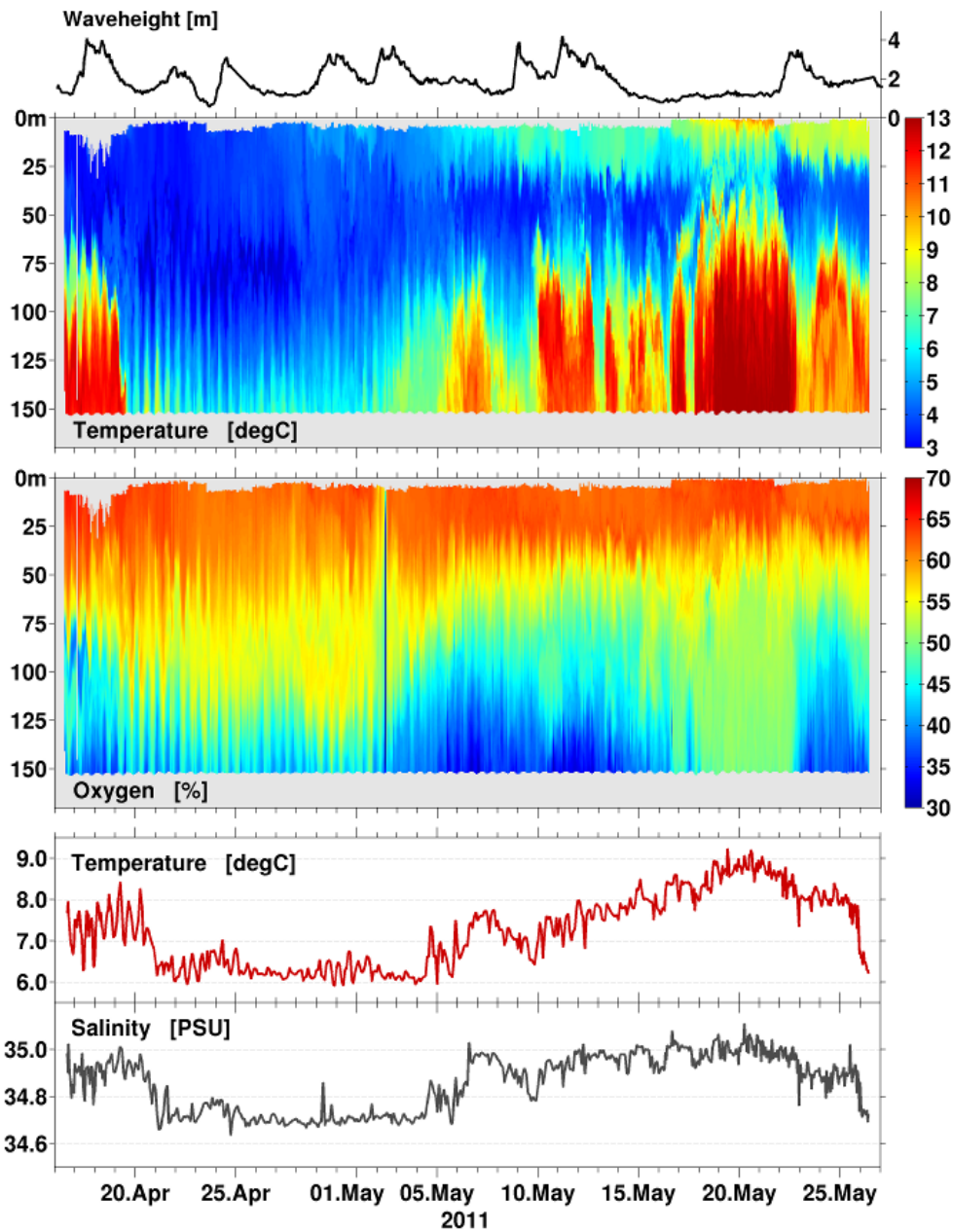


**Figure 3:** Photographs showing the Comm and Sensor Floats on the surface during mid-deployment. The CTD sensor is out of the water, the remainder of the Sensor Float remained submerged. Commanding the winch to spool out all available cable gives 223m of cable for pulling out the floats for service or swapping, while keeping the mooring in place.



**Figure 4:** View of all three float bodies on a research vessel prior to deployment. The Sensor Float is seen to have ample spare capacity for additional sensors, batteries, or electronics.





**Figure 5:**

Timeseries display of the real-time recovered data via the Comm Float for all 644 profiles from the last deployment in 1100m water depth in the open ocean off Halifax (April-May 2011), together with wave conditions from a near-by NDBC buoy. Data collected all the way to the surface is seen in benign wave conditions. The lowest two panels show data that were retrieved from a microcat further down in the mooring, using the inductive communication capability resulting from the single connected cable routing from the Comm Float to the mooring wire below SeaCycler.