

ΘPAD:

Online Performance Anomaly Detection with Kieker

Tillmann Bielefeld¹ and André van Hoorn²

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² Software Engineering Group, Kiel University

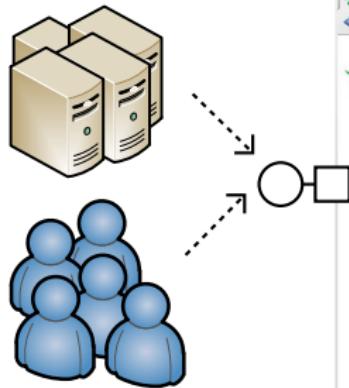
7th Hamburg Web Performance Meetup

October 24, 2012 @ Microsoft, Hamburg



Motivation: Monitoring/Dynamic Analysis

Kieker: Framework Overview



Screenshot of the Thalia.de website homepage. The page features a banner for "Schulbücher und Lernhilfen" (Schoolbooks and Learning Aids) with a photo of a young boy holding books. Below the banner, there are sections for "Top-Empfehlungen" (Top Recommendations) featuring five book covers and their titles:

Buch	Buch	Buch	Buch	Buch
Der Knochenbrecher von Chris Carter € 9,99	Andreas FRANZ Todesmelodie von Daniel Holte € 9,99	House of Night 09... von P.C. Cast € 16,99	Das Lied von Eis... von George R.R. Martin € 16,00	Die Tribute von Panem von Suzanne Collins € 18,95

The right side of the page includes a sidebar with "Aktuelle Themen" (Current Topics) and a "Buchhändlertipp" (Bookstore Tip) section featuring a photo of a woman and the book "WEBER'S GRILLBIBEL".

Motivation: Monitoring/Dynamic Analysis

Kieker: Framework Overview



Does the **searchBook** service respond
in ≤ 0.5 seconds in 95% of all cases?

Top-Empfehlungen



Der Knochenbrecher von [Chris Carter](#)
€ 9,99



Todesmelodie von [Daniel Holte](#)
€ 9,99



House of Night 09... von [P.C. Cast](#)
€ 16,99



Das Lied von Eis... von [George R. R. Martin](#)
€ 16,00



Die Tribute von Panem von [Suzanne Collins](#)
€ 18,95

Buchhändlertipp

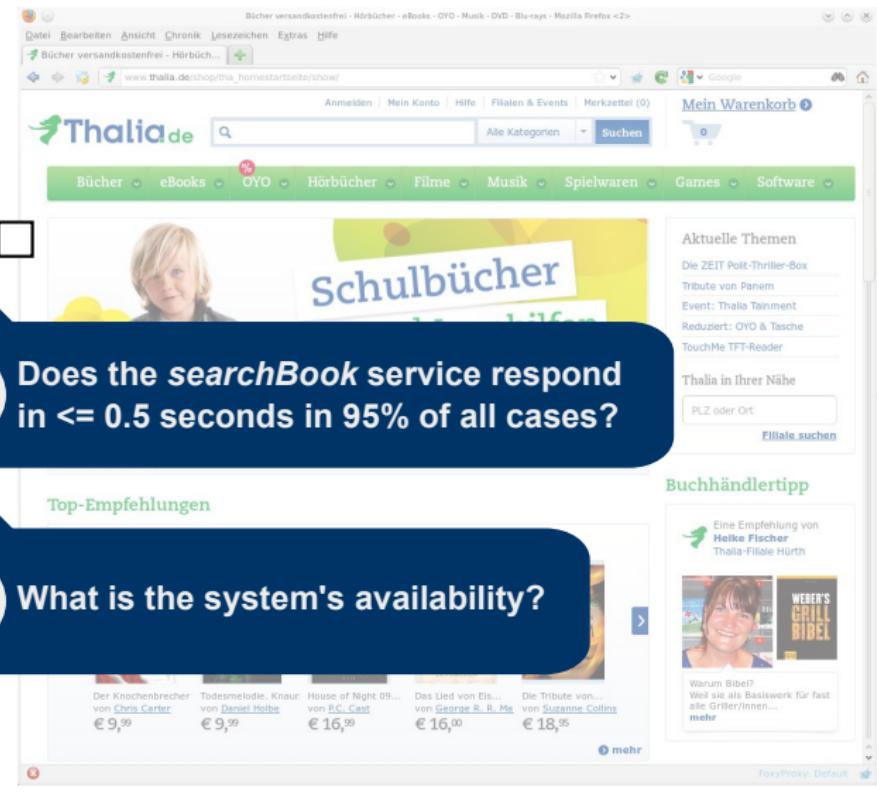
Eine Empfehlung von
 Helke Fischer
Thalia-Filiale Hürth



Warum Bibel?
Weil sie als Basiswerk für fast alle Grillier/Innen... mehr

FoxyProxy: Default

Motivation: Monitoring/Dynamic Analysis



Bücher versandkostenfrei - Hörbücher - eBooks - OYO - Musik - DVD - Blu-rays - Mozilla Firefox <2>

Datei Bearbeiten Ansicht Chronik Lesezeichen Extras Hilfe

Bücher versandkostenfrei - Hörbücher...

www.thalia.de/thalia_homesite/show/

Anmelden Mein Konto Hilfe Filialen & Events Merkzettel (0)

Thalia.de Alle Kategorien Suchen

Mein Warenkorb 0

Bücher eBooks OYO Hörbücher Filme Musik Spiele Games Software

Aktuelle Themen

- Die ZEIT Polit-Thriller-Box
- Tribute von Panem
- Event: Thalia Tainment
- Reduziert: OYO & Tasche
- TouchMe TFT-Reader

Thalia in Ihrer Nähe

PLZ oder Ort Filiale suchen

Top-Empfehlungen

Der Knochenbrecher von Chris Carter € 9,99

Todesmelodie, Knaur von Daniel Holte € 9,99

House of Night von P.C. Cast € 16,99

Das Lied von Eis... von George R.R. Martin € 16,00

Die Tribute von... von Suzanne Collins € 18,95

mehr

FoxyProxy Default

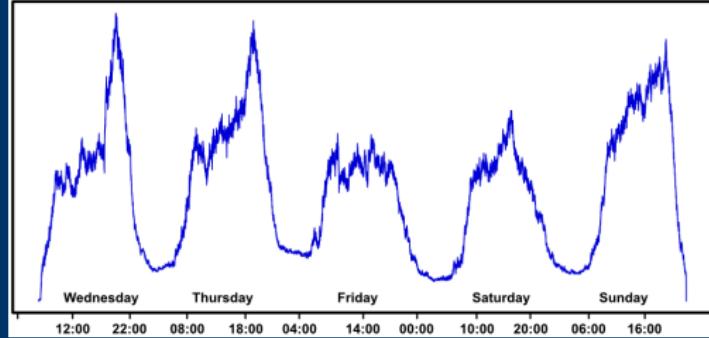
Does the *searchBook* service respond in ≤ 0.5 seconds in 95% of all cases?

What is the system's availability?

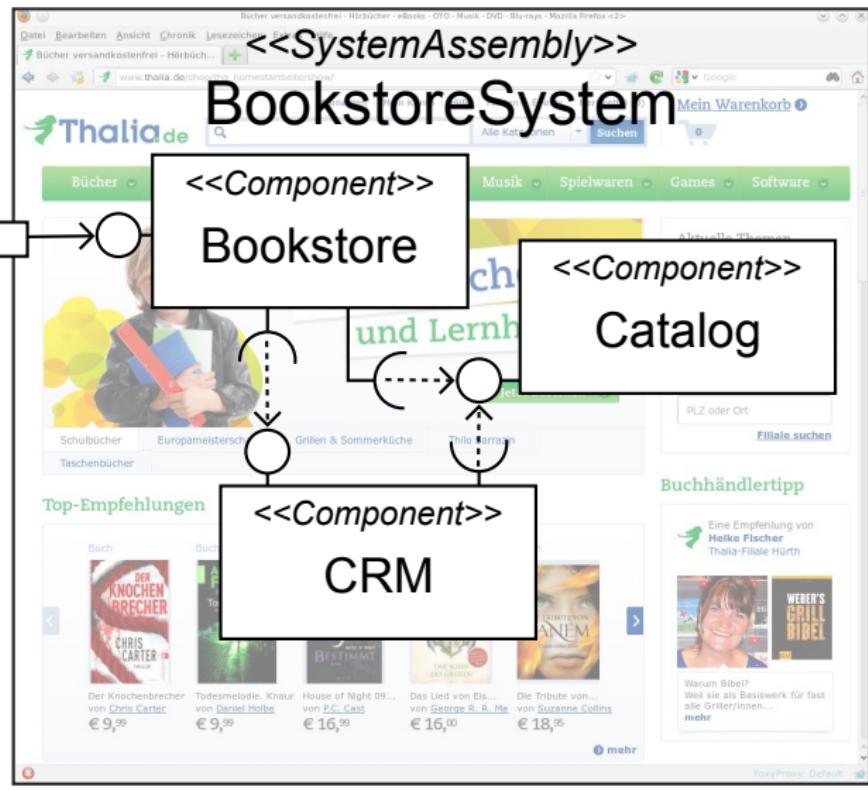
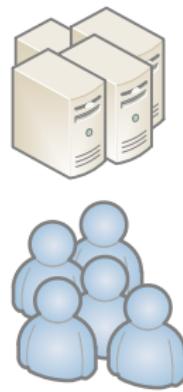
Motivation: Monitoring/Dynamic Analysis



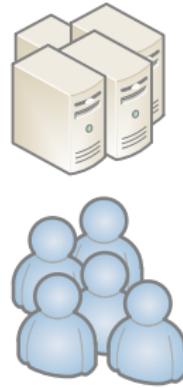
What is the expected workload profile?



Motivation: Monitoring/Dynamic Analysis



Motivation: Monitoring/Dynamic Analysis

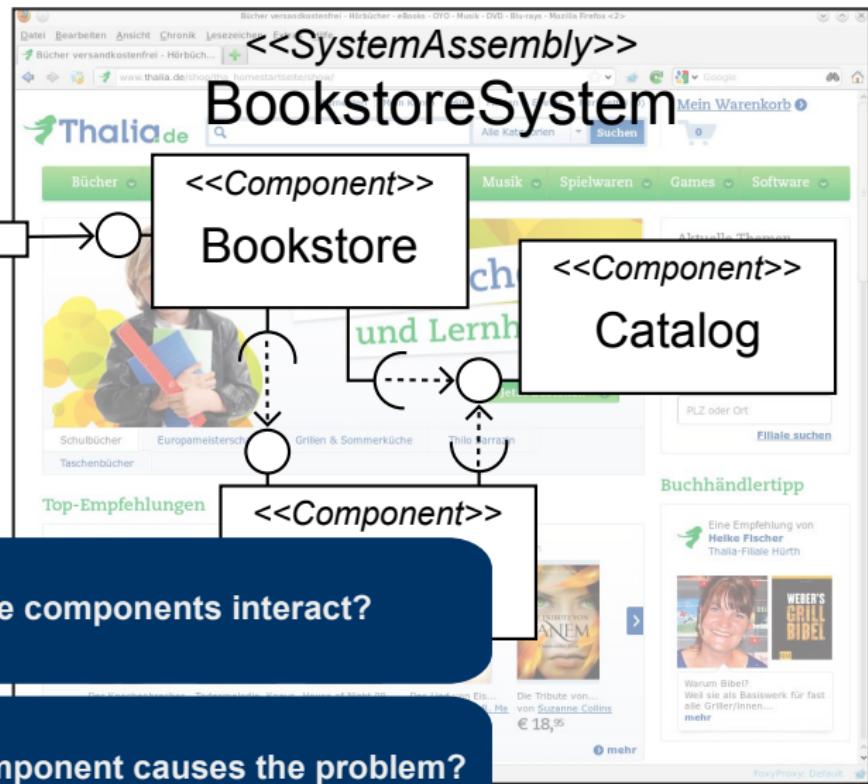
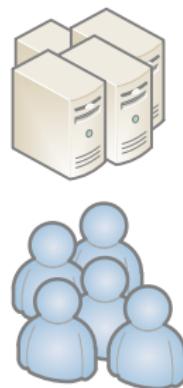


The screenshot shows a web browser displaying the Thalia.de website. The main title is "**<<SystemAssembly>>** BookstoreSystem". The website interface includes a navigation bar with links for Bücher, Musik, Spielwaren, Games, Software, and a search bar. Below the navigation, there are sections for "Schulbücher", "Europameisterschaft", "Grillen & Sommerküche", and "Thalia Filialen". A sidebar on the right lists a "Buchhändlertipp" for Helike Fischer's book "WEBER'S GRILLBIBEL". At the bottom of the page, there is a list of books with their titles and prices:

Titel	Autor	Preis
Der Knochenbrecher	Chris Carter	€ 9,99
Todesmelodie	Knaur von Daniel Holte	€ 9,99
House of Night 09...	von P.C. Cast	€ 16,99
Das Lied von B...	von George R. R. Martin	€ 16,00
Die Tribute von...	von Suzanne Collins	€ 18,95

How do the components interact?

Motivation: Monitoring/Dynamic Analysis



The screenshot shows a UML-like assembly diagram overlaid on a screenshot of the Thalia.de website. The assembly diagram consists of three components:

- Bookstore**: A component containing a photo of a person holding books.
- Catalog**: A component containing a photo of a person holding a book.
- <<Component>>**: A component containing a photo of a person holding a book.

Interactions between these components are shown as dashed arrows:

- A solid arrow points from the **Bookstore** component to the **<<Component>>** component.
- A solid arrow points from the **Catalog** component to the **<<Component>>** component.
- A dashed arrow points from the **<<Component>>** component back to the **Bookstore** component.
- A dashed arrow points from the **<<Component>>** component to the **Catalog** component.

The Thalia.de website interface visible in the background includes:

- Navigation bar: Bücher versandkostenfrei - Hörbücher - eBooks - OTO - Musik - DVD - Blu-rays - Mozilla Firefox <2>
- Logo: Thalia.de
- Search bar: www.thalia.de/thalia_homesortierung!
- Categories: Bücher, Musik, Spielwaren, Games, Software
- Cart: Mein Warenkorb 0
- Links: Schubbücher, Europameisterschaft, Grillen & Sommerküche, Thalia Filialen, Top-Empfehlungen
- Book recommendation section: Eine Empfehlung von Helike Fischer Thalia-Filiale Hürth
- Book details: Die Tribute von Suzanne Collins € 18,95
- Text: Warum Bibel? Weil sie als Basiswerk für fast alle Grillier/Innen... mehr

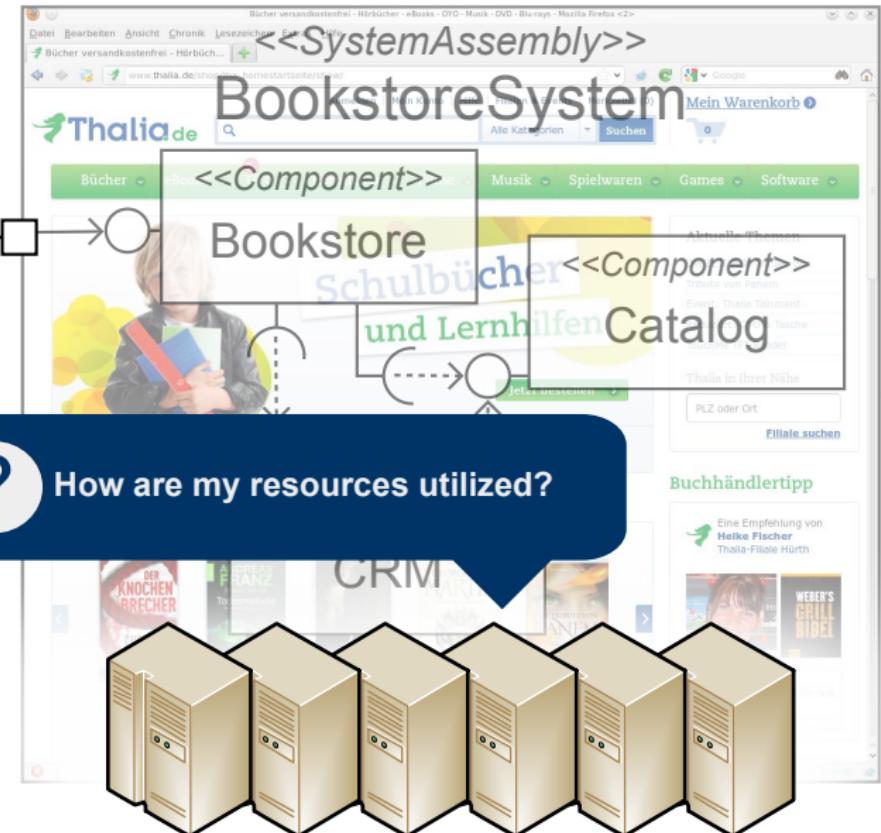
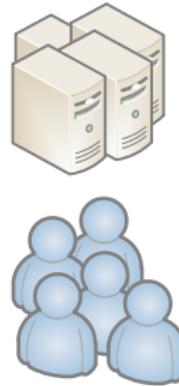


How do the components interact?

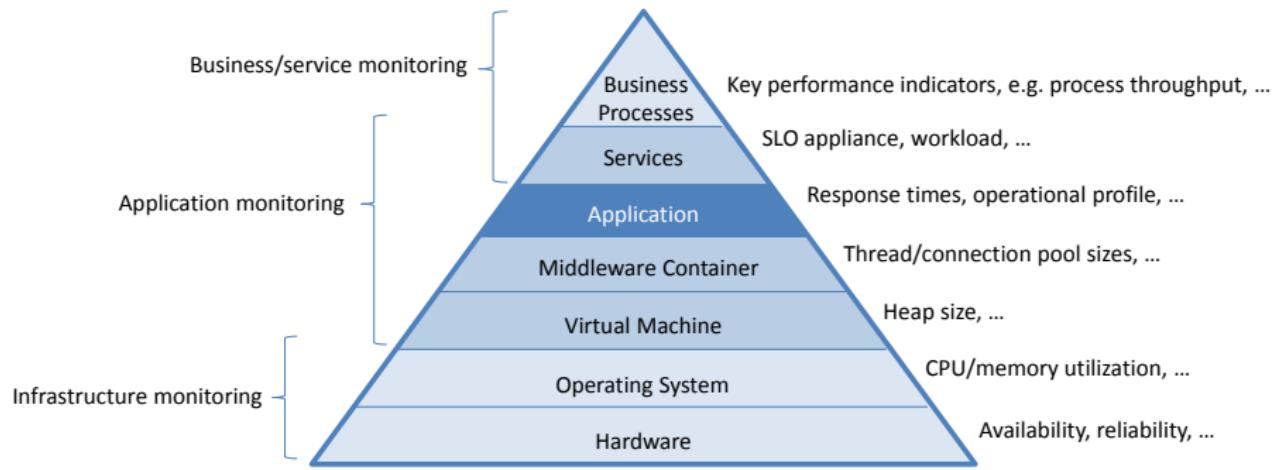


Which component causes the problem?

Motivation: Monitoring/Dynamic Analysis



Continuous Monitoring of Software Systems



Kieker: Example Workflow and Use Cases

[van Hoorn et al. 2012]

Kieker: Framework Overview

The screenshot shows the Eclipse IDE interface with two open files:

- Catalog.java**: A Java code editor showing the following snippet:

```
26     @OperationExecutionMonitoringProbe
27     public void sleep() throws InterruptedException {
28         try {
29             Thread.sleep(1000);
30         } catch (InterruptedException ex) {}
31     }
32 }
```

- aop.xml**: An AOP XML configuration editor showing the following content:

```
<node name="kieker.monitoring.probe.aspectj.operation">
<concrete-aspect>
<name>kieker.monitoring.probe.aspectj.operation</name>
<extends>kieker.monitoring.probe.aspectj.operation</extends>
<pointcut>
<name>monitoredOperation</name>
<express>execution(int com.ibatis.jdbcstore.service.</express>
</pointcut>
</concrete-aspect>
</node>
```

Software system with monitoring instrumentation

Kieker: Example Workflow and Use Cases

[van Hoorn et al. 2012]

Kieker: Framework Overview



Software system with monitoring instrumentation

Java probes/samplers:

Control-flow tracing	
Manual instrumentation	
<your interception technology>	
AspectJ	Spring
Servlet	CXF/SOAP
<your technology>	
Servlet	CPU utilization
Sigar	Memory usage
<your monitoring probe>	

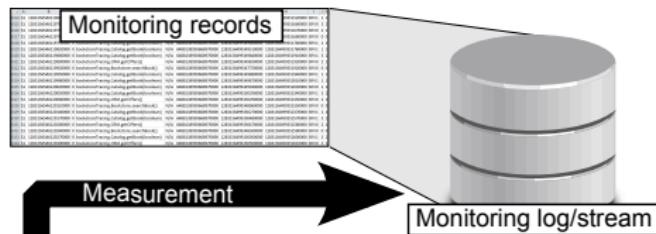
+ basic adapters for

- C#/.NET
- Visual Basic 6/COM
- COBOL

Kieker: Example Workflow and Use Cases

[van Hoorn et al. 2012]

Kieker: Framework Overview



A screenshot of an Eclipse IDE interface. The top bar shows "Java - ch3-trace-monitoring-aspects/src/bookstoreTracing/Catalog.java - Eclipse". The main area displays Java code with a red box highlighting a line containing an annotation: "@OperationExecutionMonitoring@role". Below this is another red box highlighting the word "Monitoring". To the right of the Java editor is an "AspectJ" editor titled "Catalog.java", showing an aspect definition with a node named "Monitoring probe". The "Content" pane of the aspect editor shows the following code:

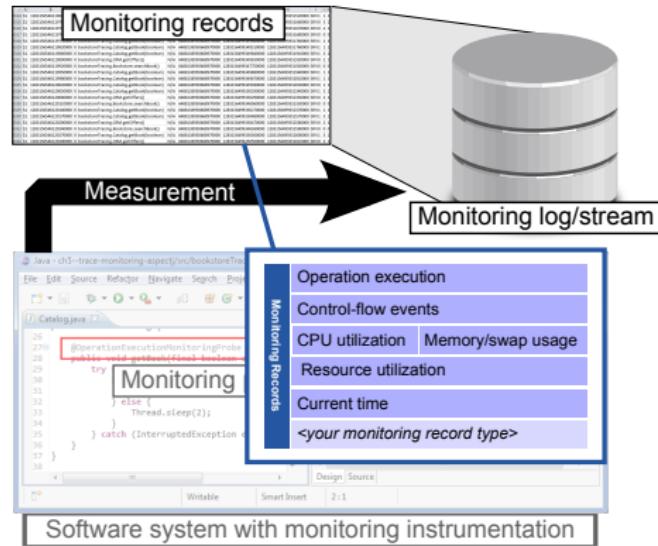
```
Node          Content
① name      kieker.monitoring.probe.aspectj.operation.
② concrete-esp
③ name      kieker.monitoring.probe.aspectj.operation.
④ extends   kieker.monitoring.probe.aspectj.operation.
⑤ pointcut
⑥ name      monitoredOperation
⑦ express   execution(int com.ibatis.jdbcstore.service.
```

Software system with monitoring instrumentation

Kieker: Example Workflow and Use Cases

[van Hoorn et al. 2012]

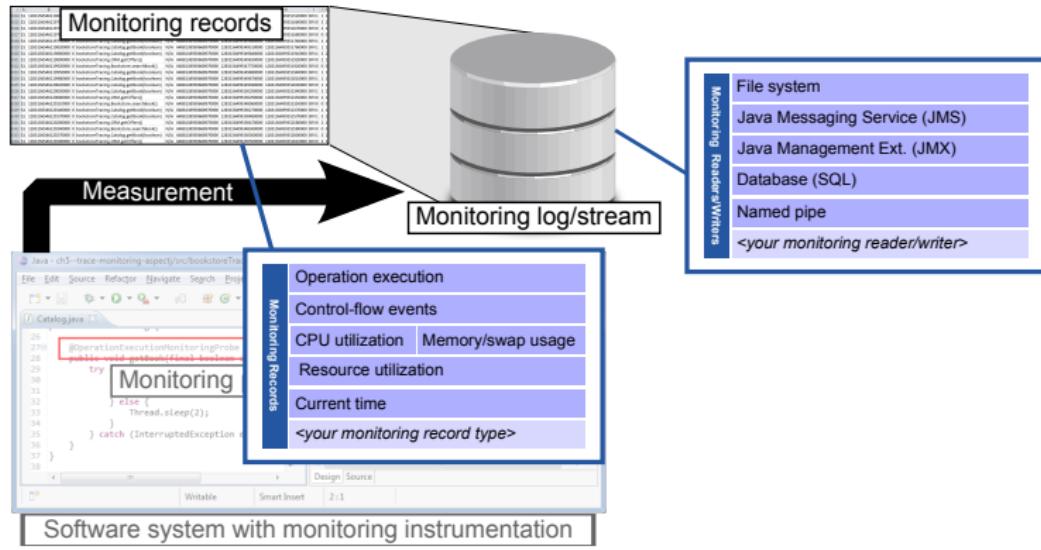
Kieker: Framework Overview



Kieker: Example Workflow and Use Cases

[van Hoorn et al. 2012]

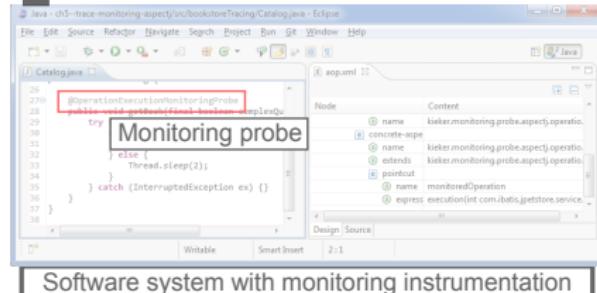
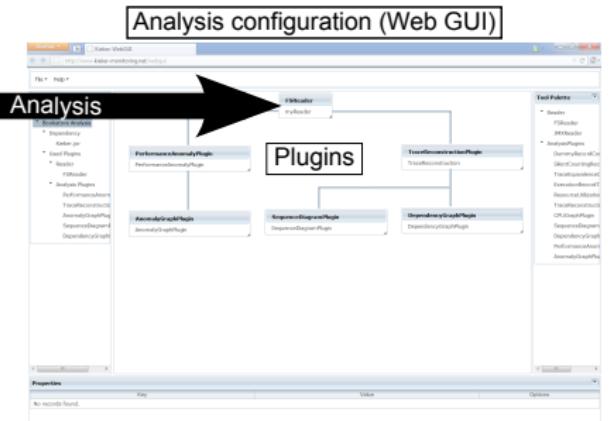
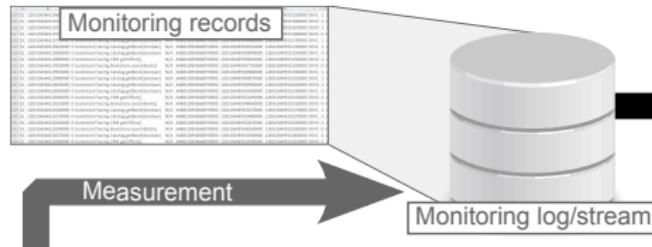
Kieker: Framework Overview



Kieker: Example Workflow and Use Cases

[van Hoorn et al. 2012]

Kieker: Framework Overview

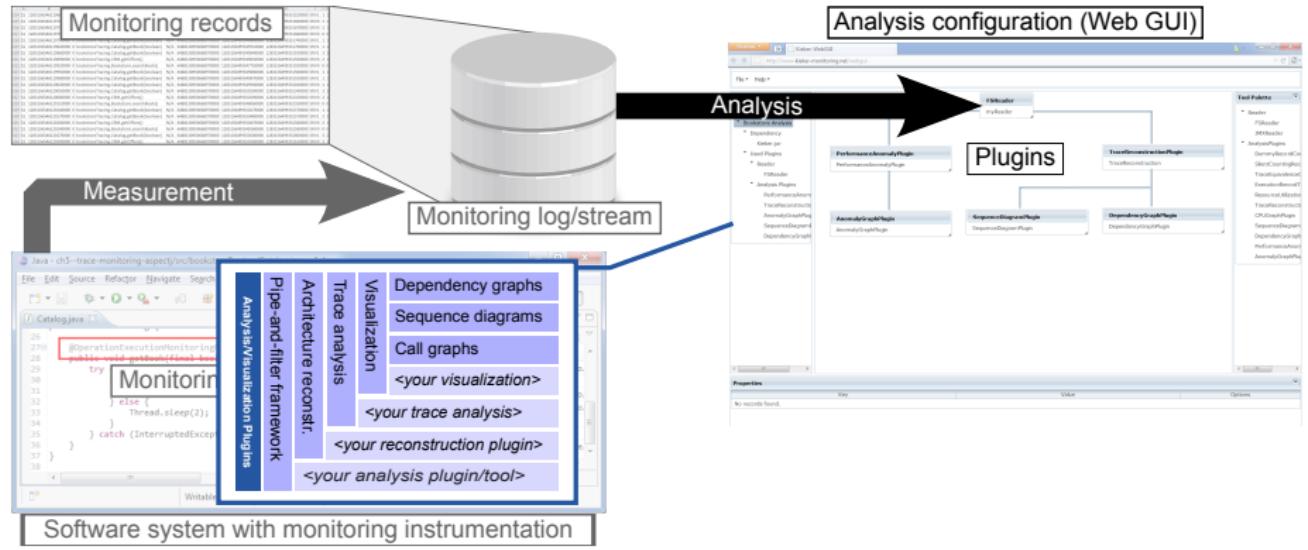


Software system with monitoring instrumentation

Kieker: Example Workflow and Use Cases

[van Hoorn et al. 2012]

Kieker: Framework Overview



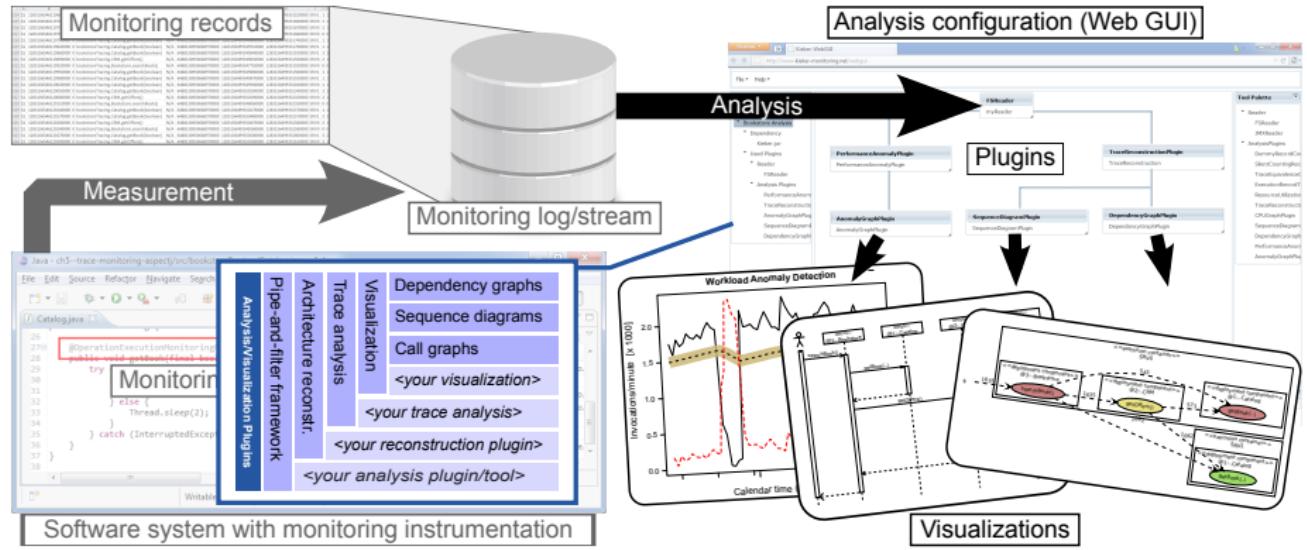
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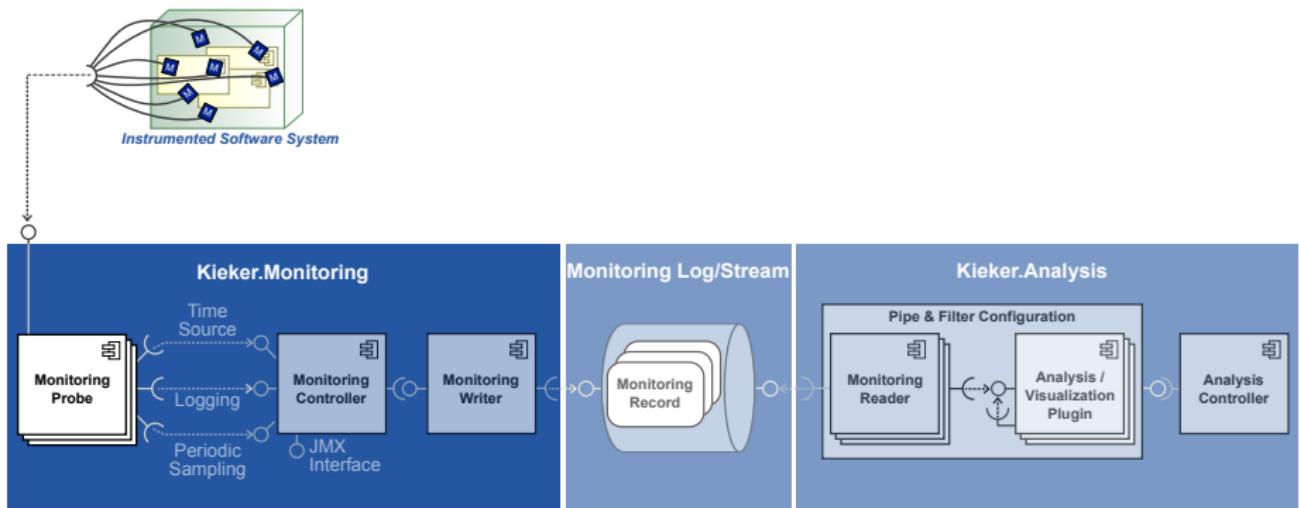
Kieker: Framework Overview



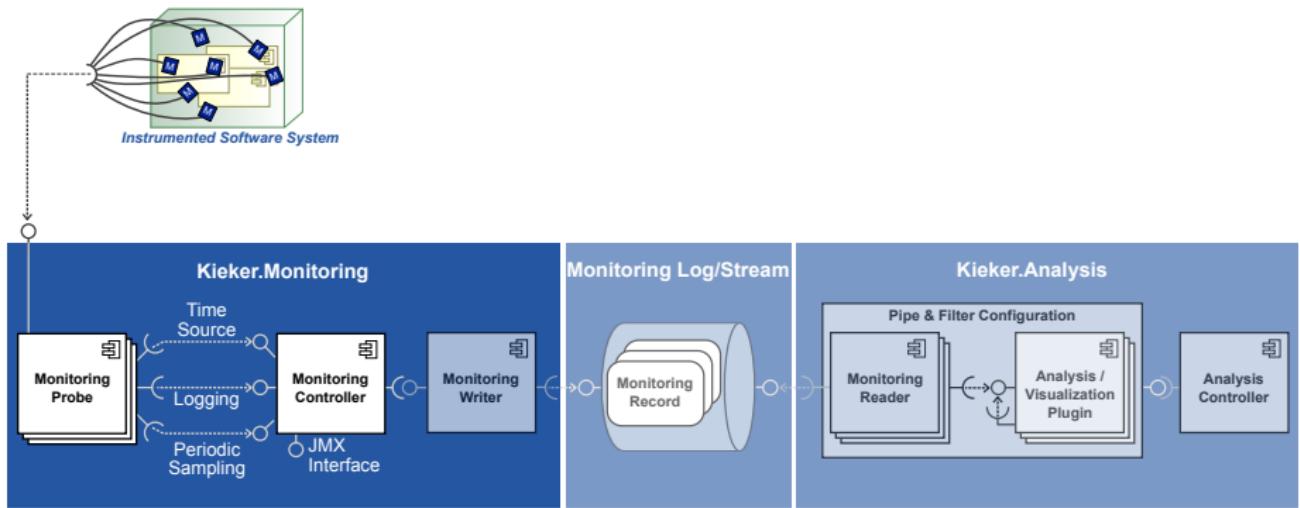
Christian-Albrechts-Universität zu Kiel



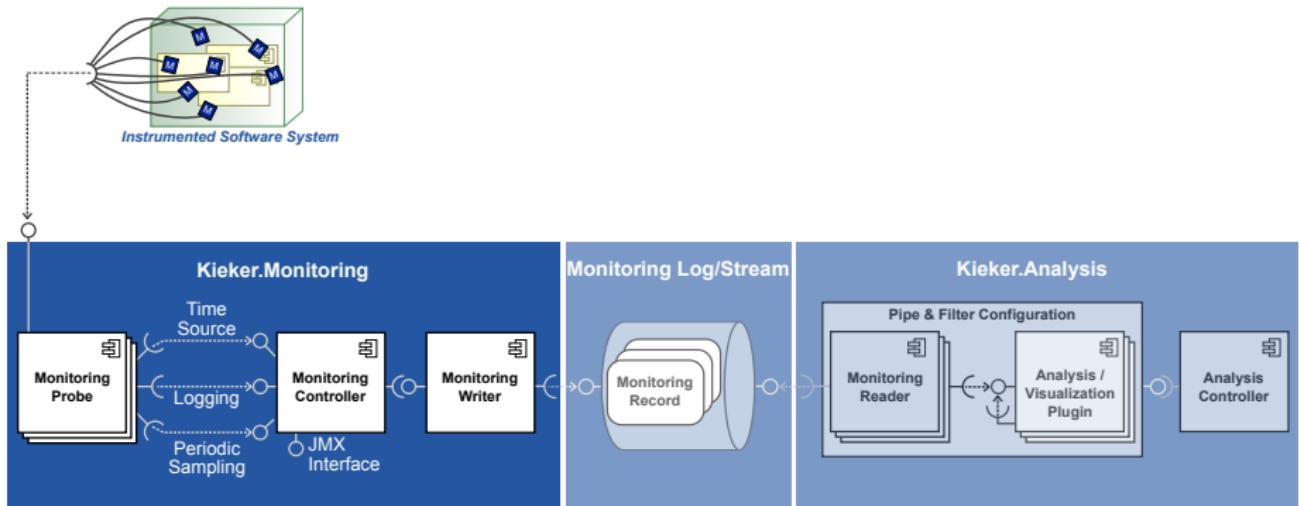
Core Kieker Framework Components



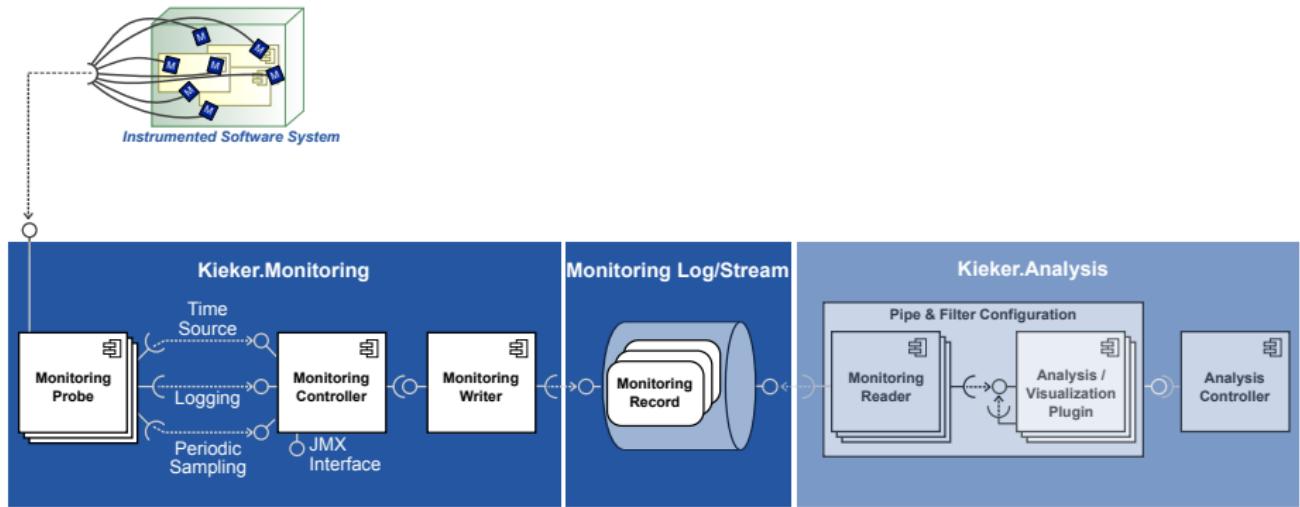
Core Kieker Framework Components



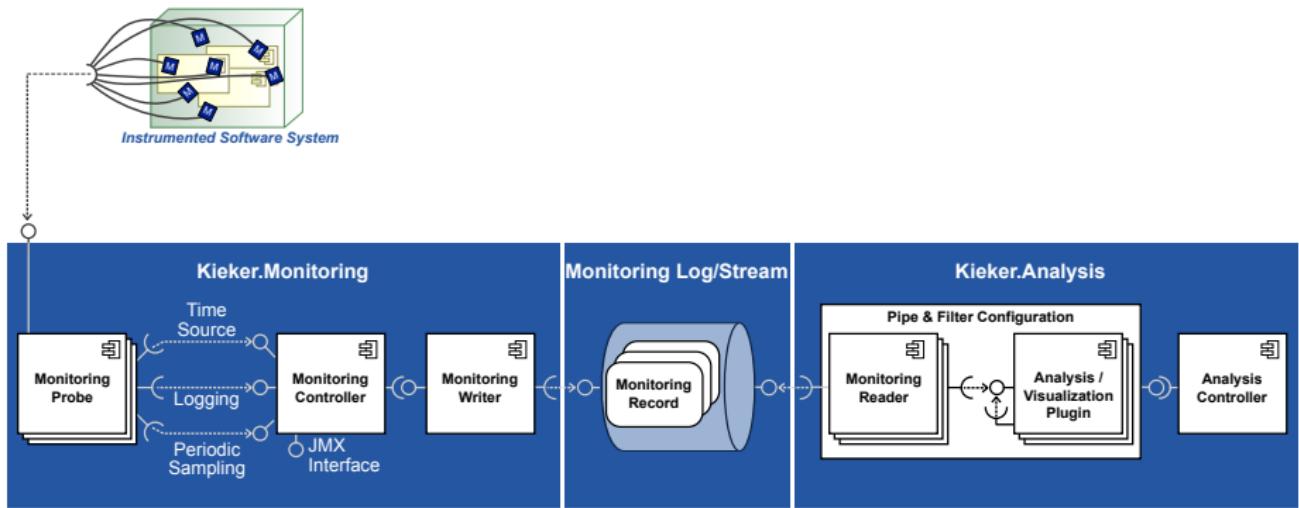
Core Kieker Framework Components



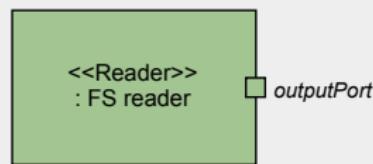
Core Kieker Framework Components



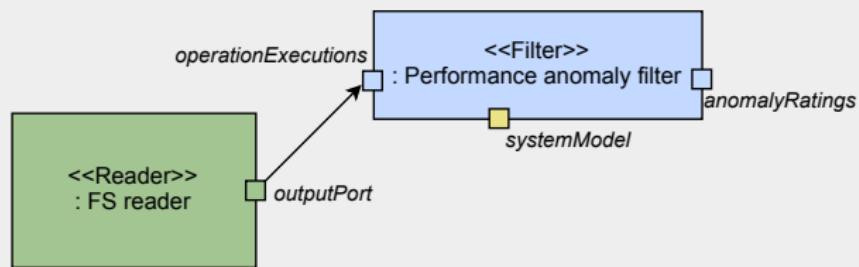
Core Kieker Framework Components



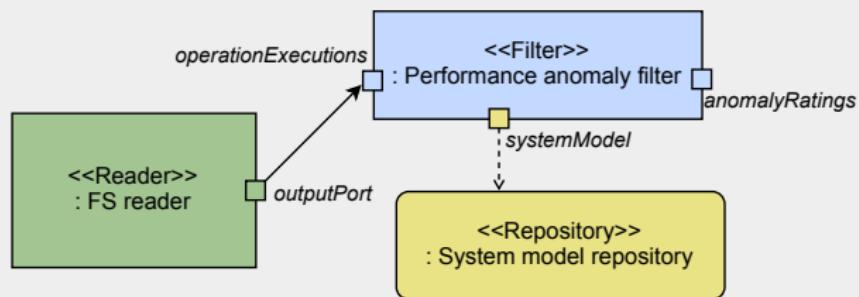
Example Pipe-and-Filter Configuration



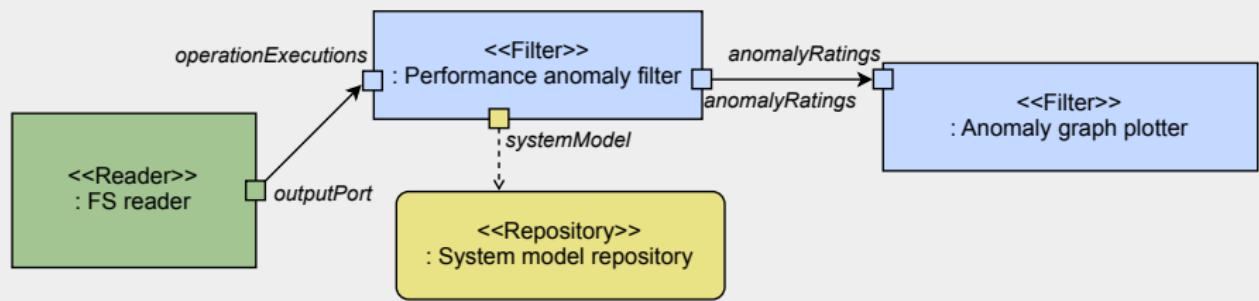
Example Pipe-and-Filter Configuration



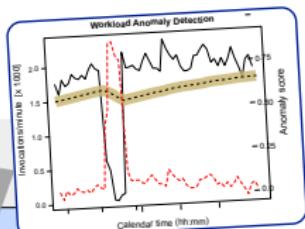
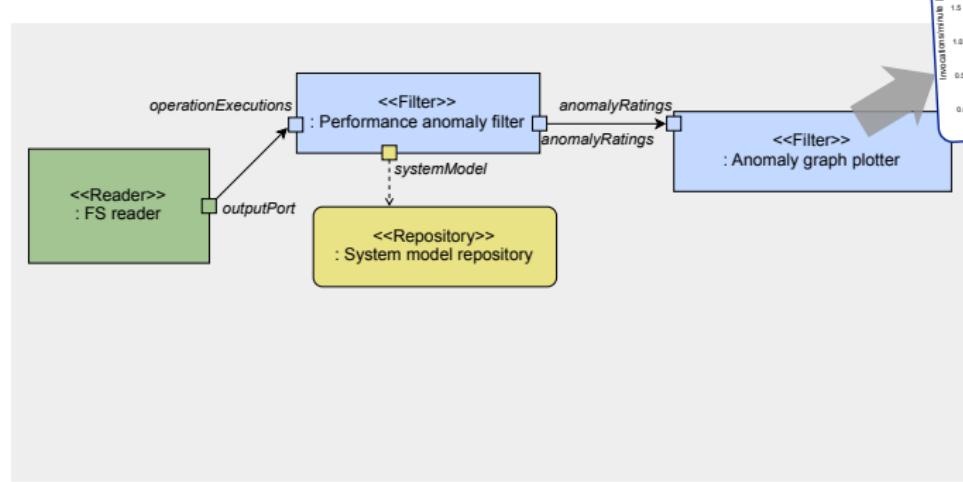
Example Pipe-and-Filter Configuration



Example Pipe-and-Filter Configuration



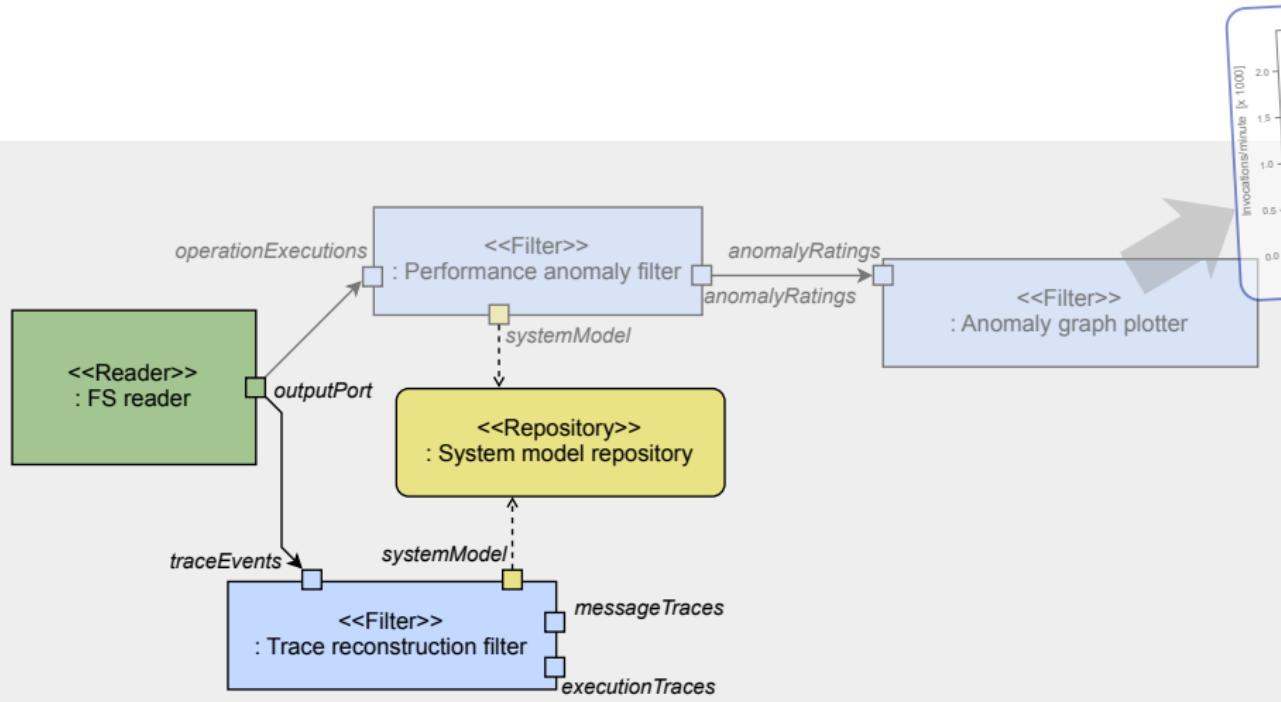
Example Pipe-and-Filter Configuration



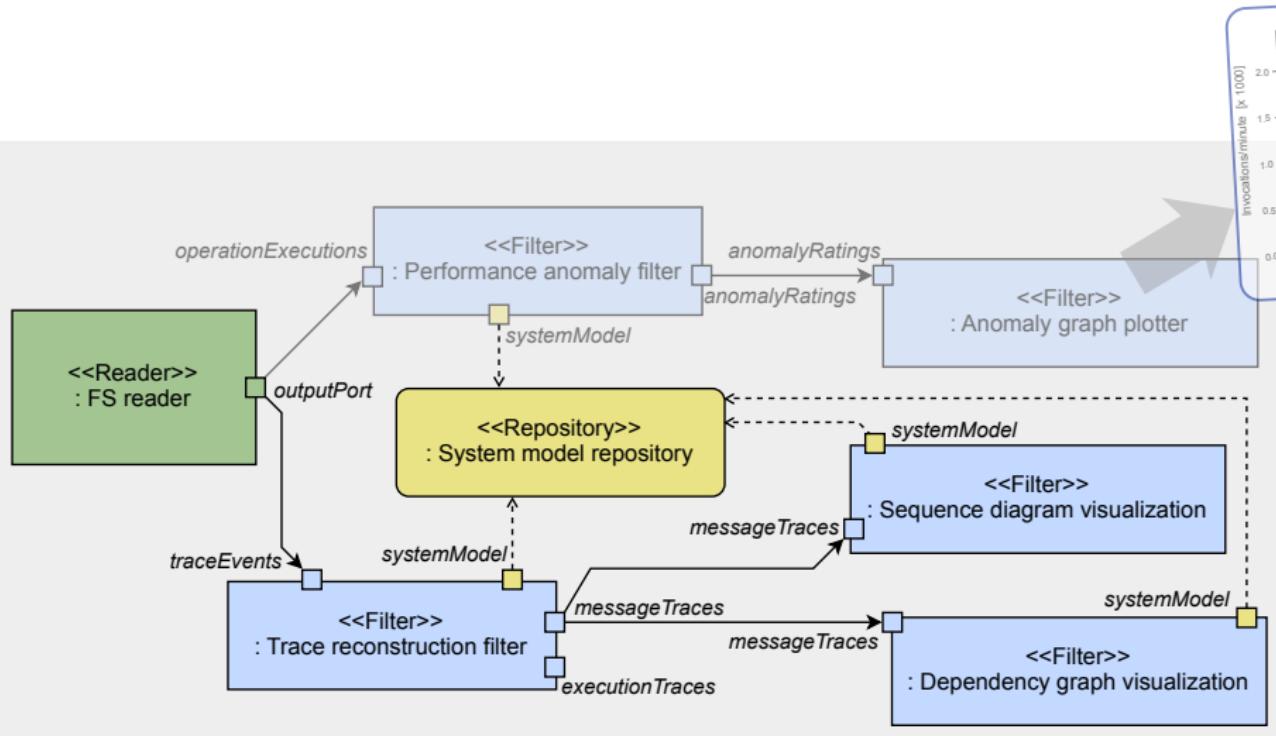
Kieker.Analysis example pipes-and-filters configuration

- Performance anomaly detection and visualization
- Architecture and trace reconstruction/visualization

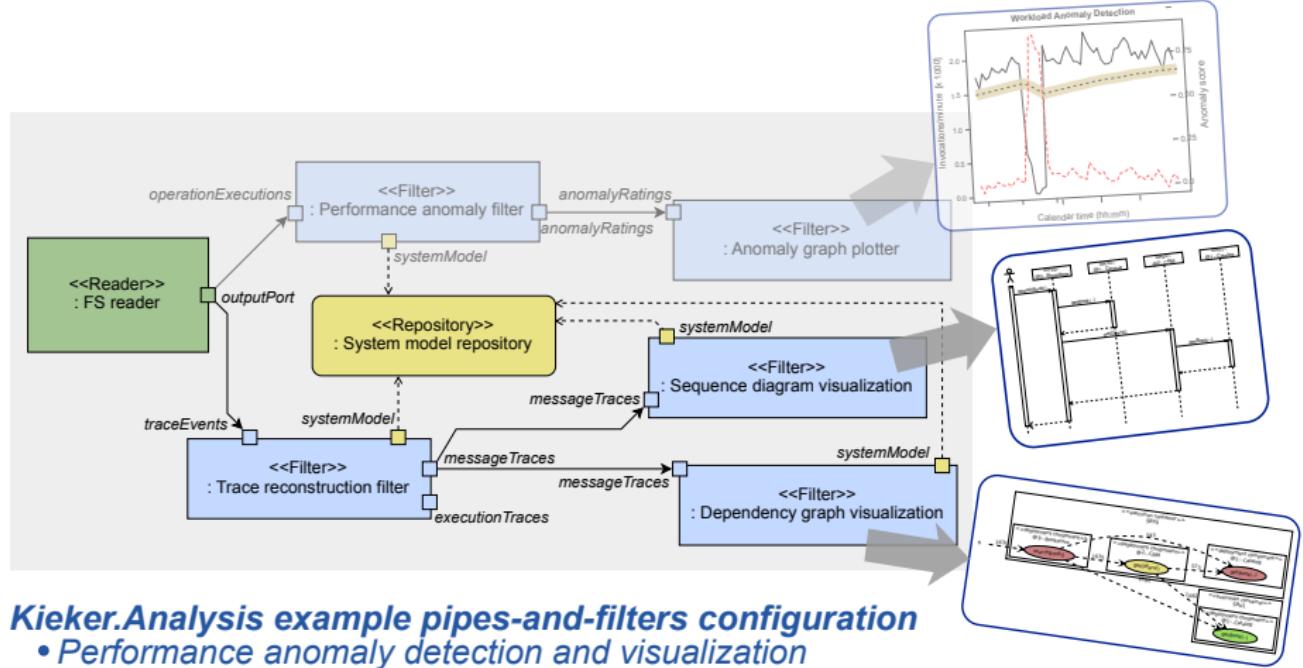
Example Pipe-and-Filter Configuration



Example Pipe-and-Filter Configuration



Example Pipe-and-Filter Configuration

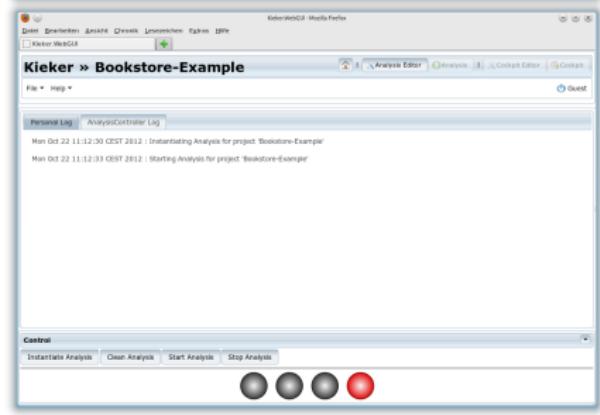
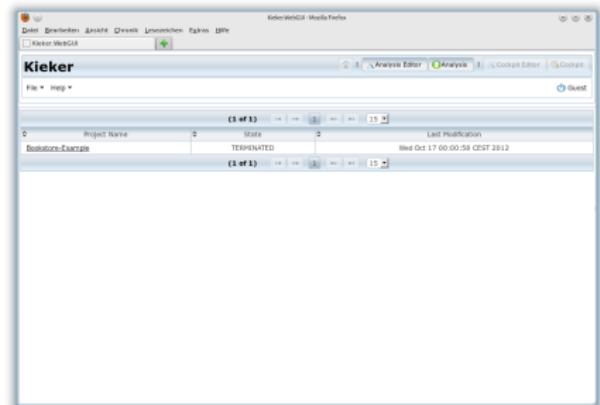
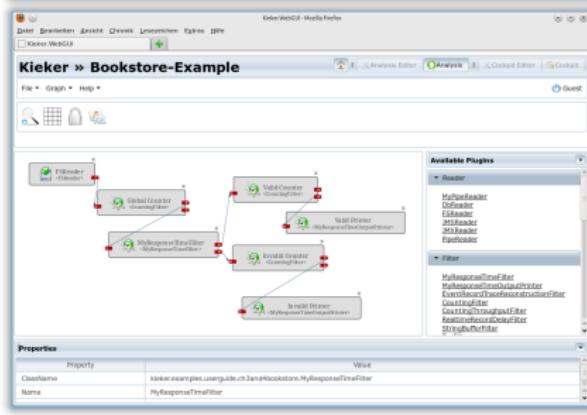


Kieker.Analysis example pipes-and-filters configuration

- Performance anomaly detection and visualization
- Architecture and trace reconstruction/visualization

WebGUI (Beta) Included in Kieker 1.6

Kieker: Framework Overview



WebGUI (Beta) Included in Kieker 1.6



Kieker: Framework Overview

KiekerWebGUI - Mozilla Firefox

Kieker » Bookstore-Example

File ▾ Graph ▾ Help ▾ Guest

Available Plugins

- Reader
 - MyPipeReader
 - DbReader
 - FSReader
 - JMSReader
 - JMXReader
 - PipeReader
- Filter
 - MyResponseTimeFilter
 - MyResponseTimeOutputPrinter
 - EventRecordTraceReconstructionFilter
 - CountingFilter
 - CountingThroughputFilter
 - RealtimeRecordDelayFilter
 - StringBufferFilter

Properties

Property	Value
ClassName	kieker.examples.userguide.ch3and4bookstore.MyResponseTimeFilter
Name	MyResponseTimeFilter

Framework Features & Extension Points



- Modular, flexible, and extensible architecture (Probes, records, readers, writers, filters etc.)
- Pipes-and-filters framework for analysis configuration
- Distributed tracing (logging, reconstruction, visualization)
- Low overhead (designed for continuous operation)
- Evaluated in lab and industrial case studies



cewe color

dataport



HSH
NORDBANK

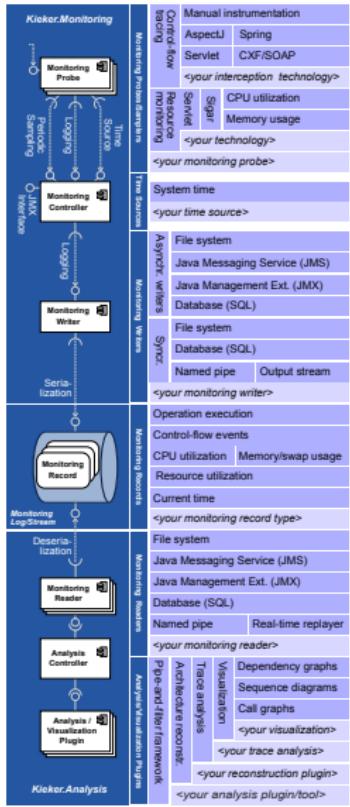


Kieker is open-source software (Apache License, V. 2.0)

<http://kieker-monitoring.net>

Kieker is distributed as part of SPEC® RG's repository of peer-reviewed tools for quantitative system evaluation and analysis

<http://research.spec.org/projects/tools.html>



Agenda

OPAD: Online Performance Anomaly Detection



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- 1 Kieker: Framework Overview
- 2 OPAD: Online Performance Anomaly Detection
- 3 Conclusion

Thesis Goals

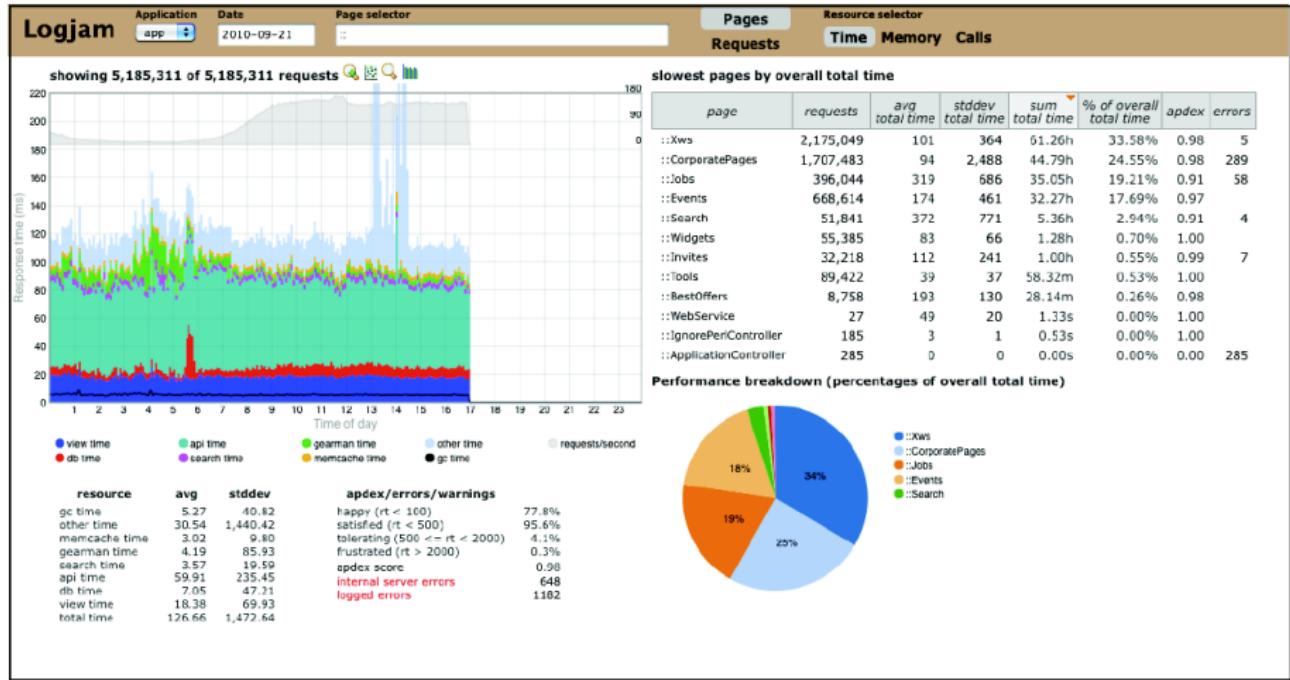
- ① Design of online performance anomaly detection concept (Θ PAD)
- ② Θ PAD implementation as [Kieker](#) plugin
- ③ Θ PAD integration with case study system
- ④ Evaluation @ [XING](#)



Tillmann C. Bielefeld:
“Online performance anomaly detection for large-scale software systems”
March 2012. Diploma Thesis, Kiel Univ.

Existing Logjam-based Monitoring @ XING

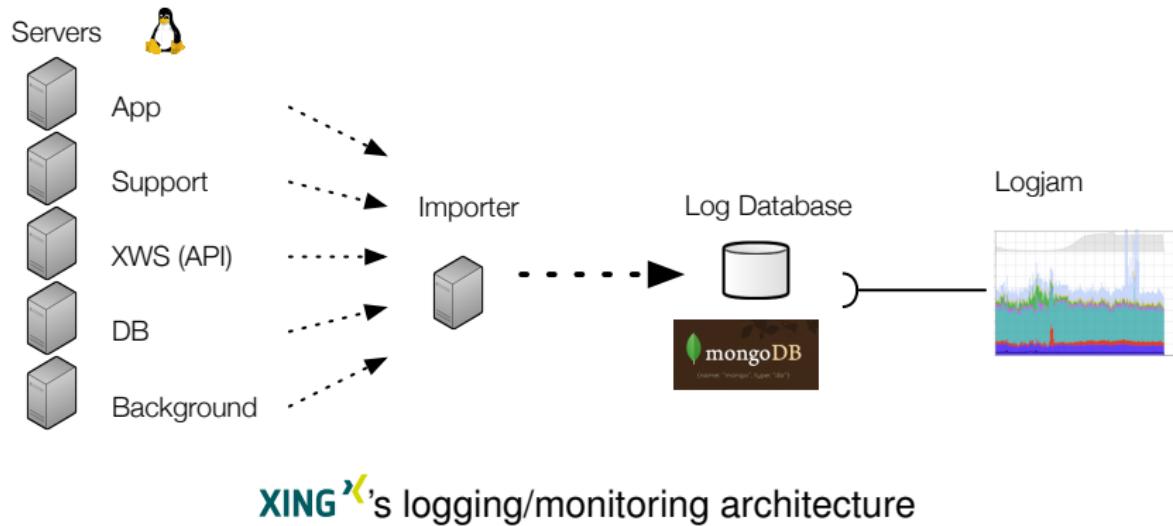
OPAD: Online Performance Anomaly Detection



Logjam-based monitoring already in place @ XING 

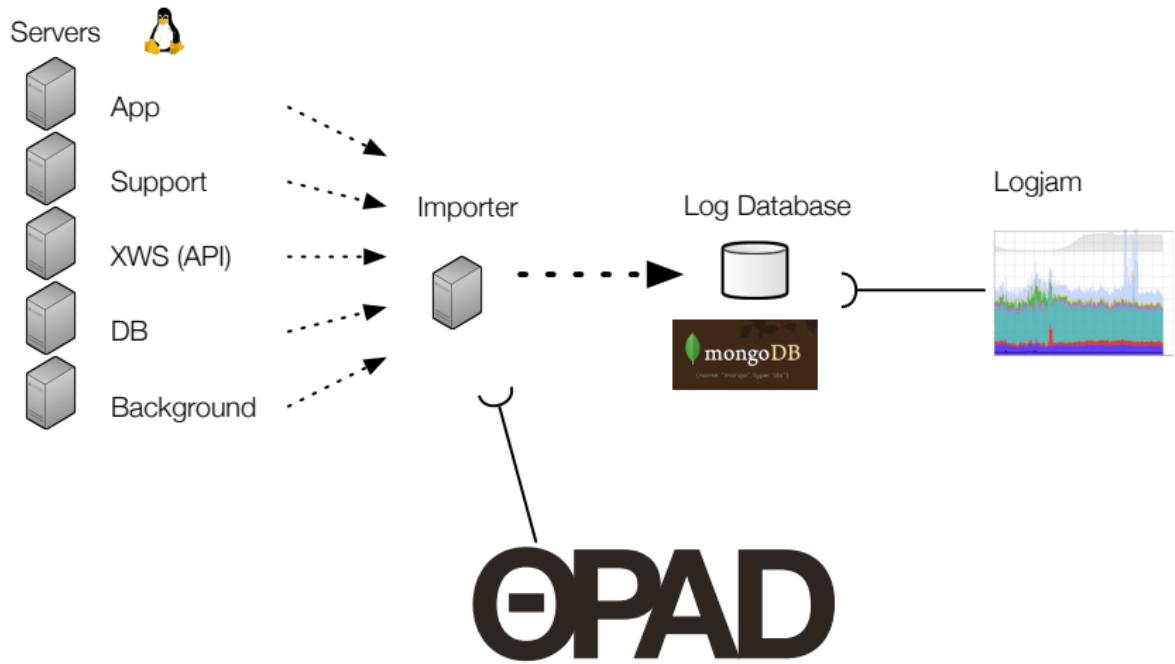
Integration of ΘPAD in XING's Architecture

OPAD: Online Performance Anomaly Detection



Integration of ΘPAD in XING's Architecture

OPAD: Online Performance Anomaly Detection



Example JSON Logging Message



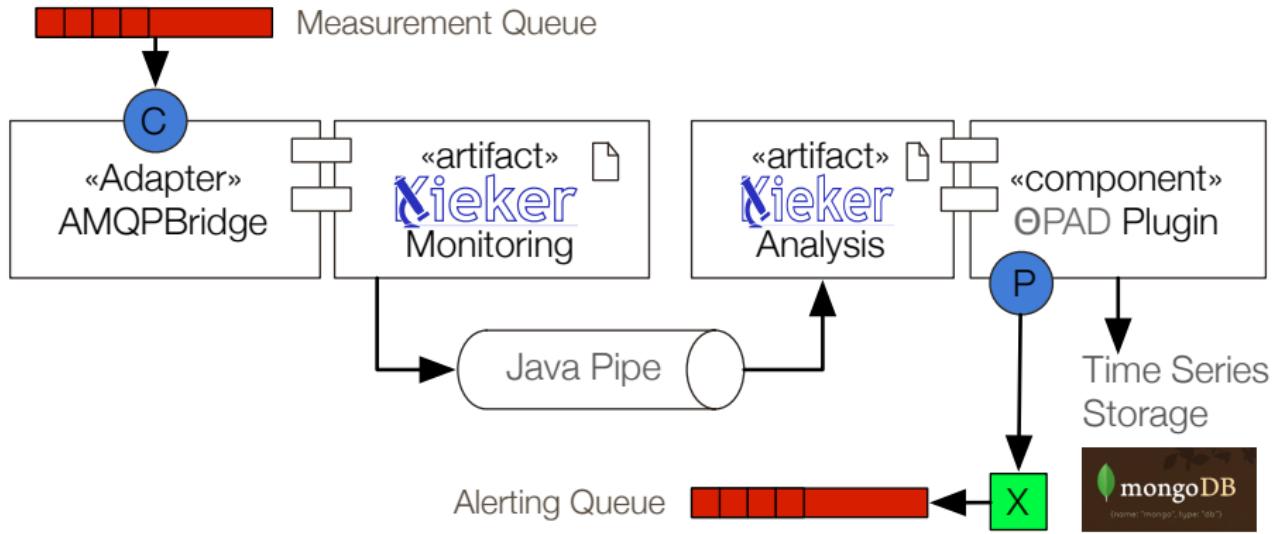
OPAD: Online Performance Anomaly Detection

```
{  
    "count": 5204.903527993169,  
    "memcache_time": 6505.196318140181,  
    "api_time": 2207.0271495891297,  
    "db_time": 5004.8727338680155,  
    ...  
    "view_time": 3936.1623304929153,  
    "total_time": 1586.8188192888886,  
    "api_calls": 5546.250545491678  
}
```

Input data received via AMQP and processed by ΘPAD

High-Level ΘPAD Architecture

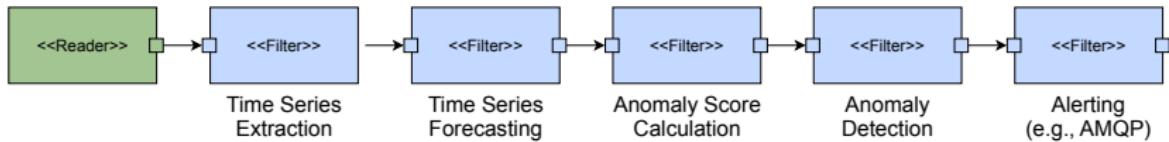
OPAD: Online Performance Anomaly Detection



- ① AMQP messages transformed into Kieker monitoring records
- ② ΘPAD: pipes-and-filters processing of records
- ③ ΘPAD results passed to alerting queue and time-series storage

ΘPAD Processing Steps

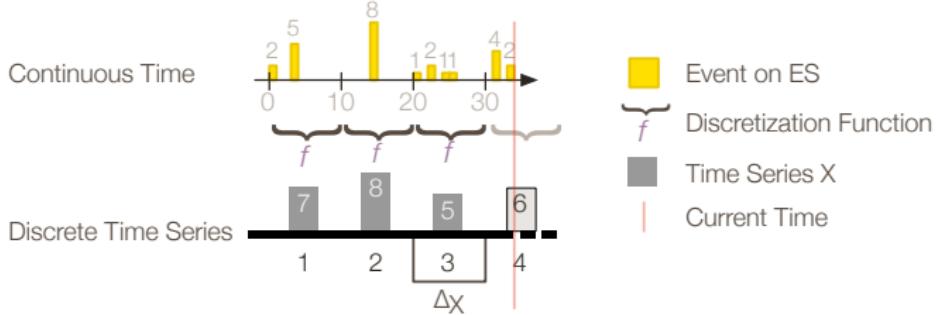
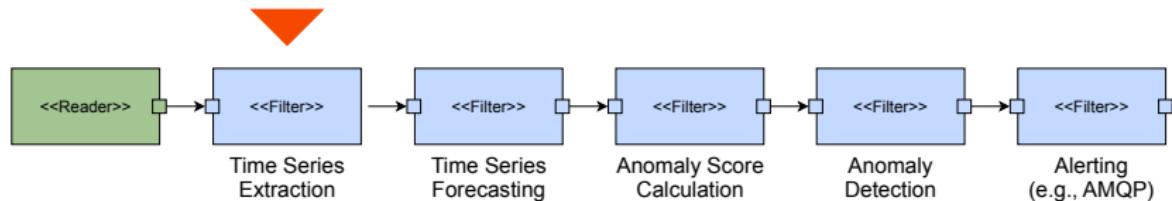
OPAD: Online Performance Anomaly Detection



Step 1: Time Series Extraction

ΘPAD Processing Steps (cont'd)

OPAD: Online Performance Anomaly Detection

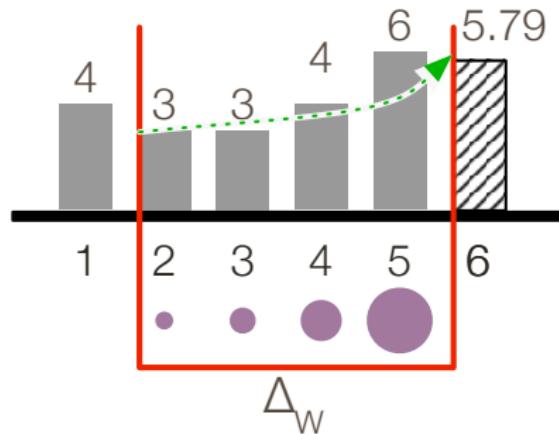
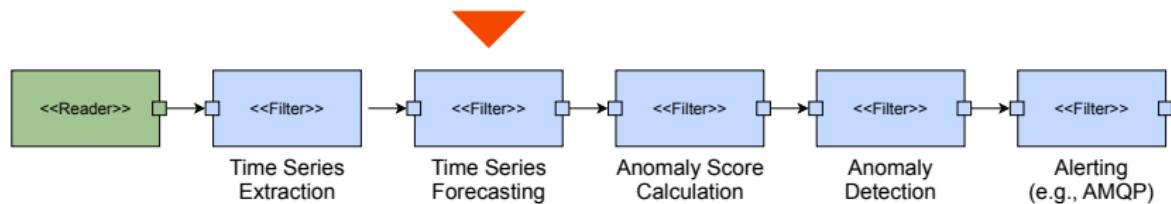


```
select sum(value) as aggregation  
from MeasureEvent.win:time_batch( 1000 msec )
```

Step 2: Time Series Forecasting

ΘPAD Processing Steps (cont'd)

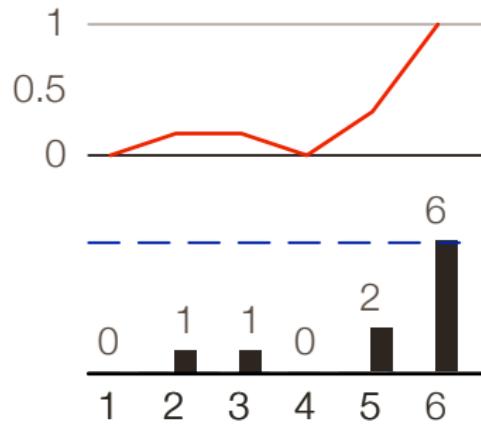
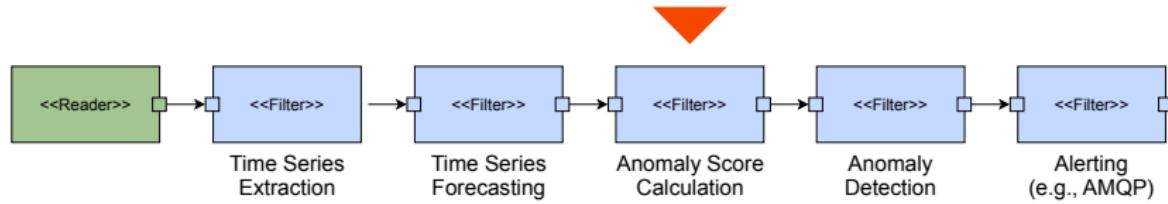
ΘPAD: Online Performance Anomaly Detection



Step 3: Anomaly Score Calculation

ΘPAD Processing Steps (cont'd)

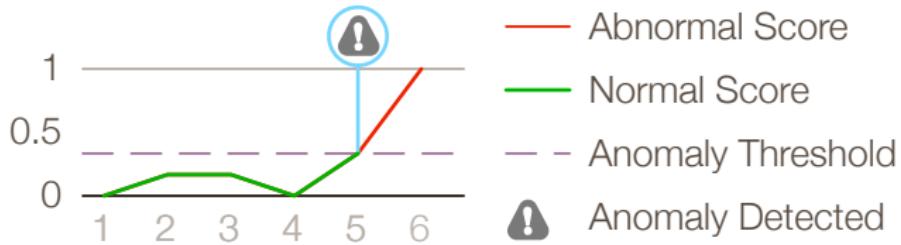
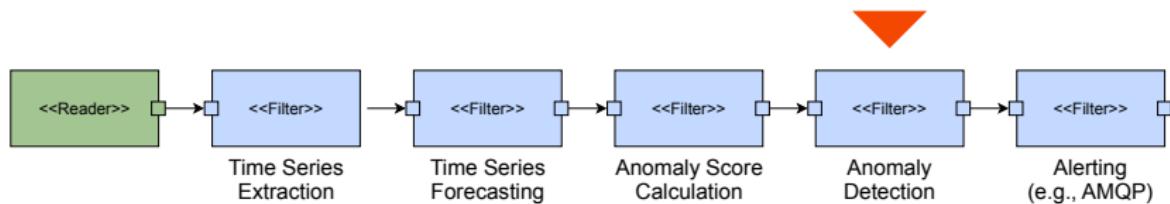
ΘPAD: Online Performance Anomaly Detection



Step 4: Anomaly Detection

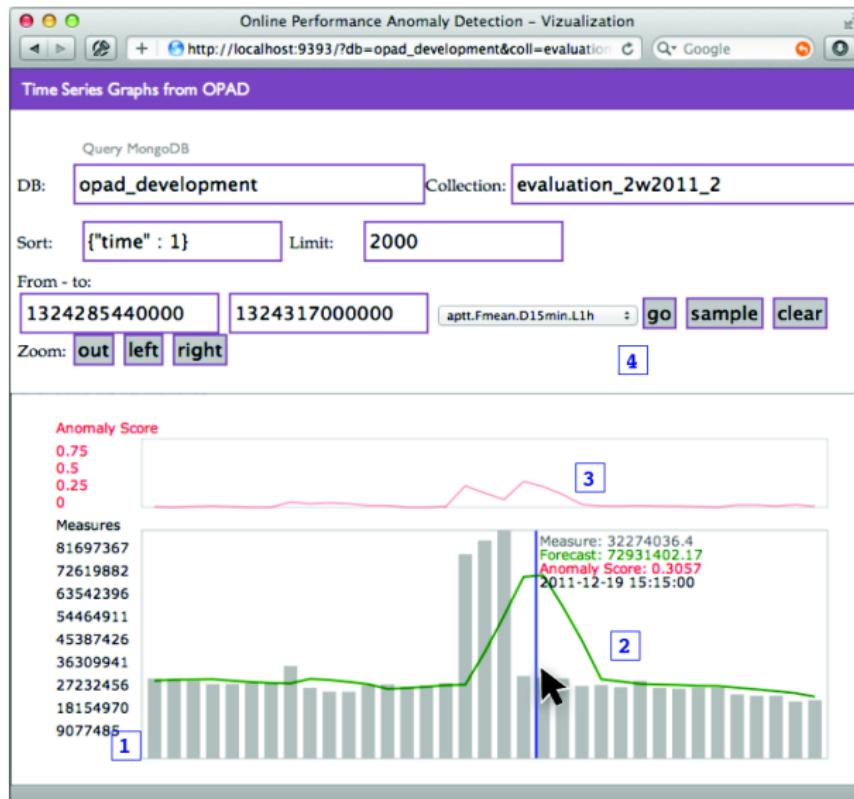
ΘPAD Processing Steps (cont'd)

OPAD: Online Performance Anomaly Detection

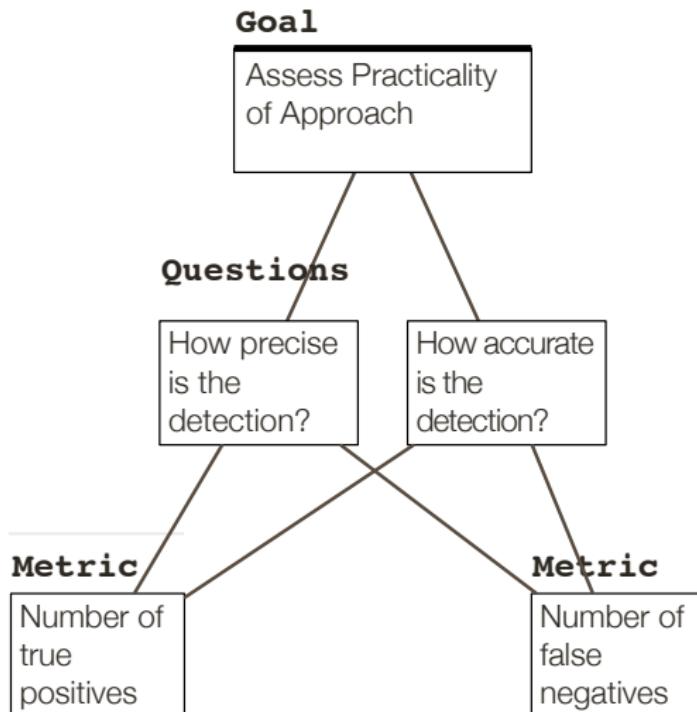


OPAD Web Interface

OPAD: Online Performance Anomaly Detection



Evaluation Methodology: GQM

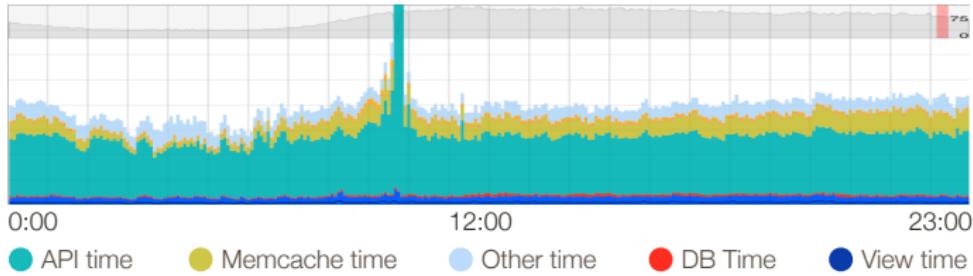


Goal/Question/Metric (GQM) plan (excerpt)

Manual Identification of Anomalies

Evaluation Methodology (cont'd)

OPAD: Online Performance Anomaly Detection

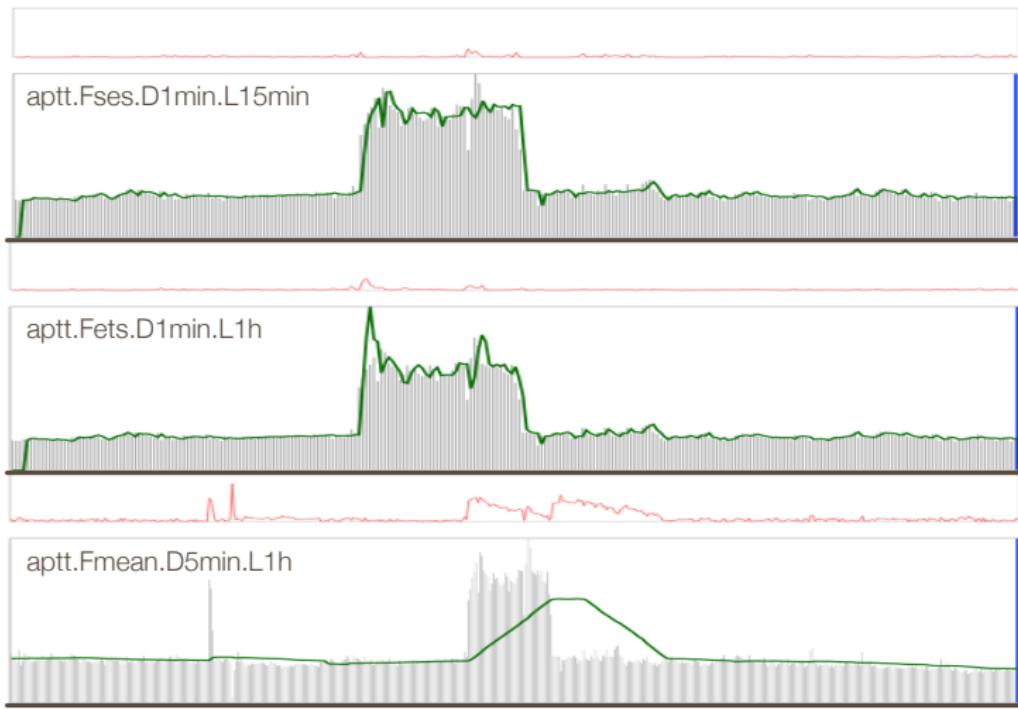


- Manual detection using the visualization tool
- 8 anomalies were detected

ΘPAD Results

Evaluation

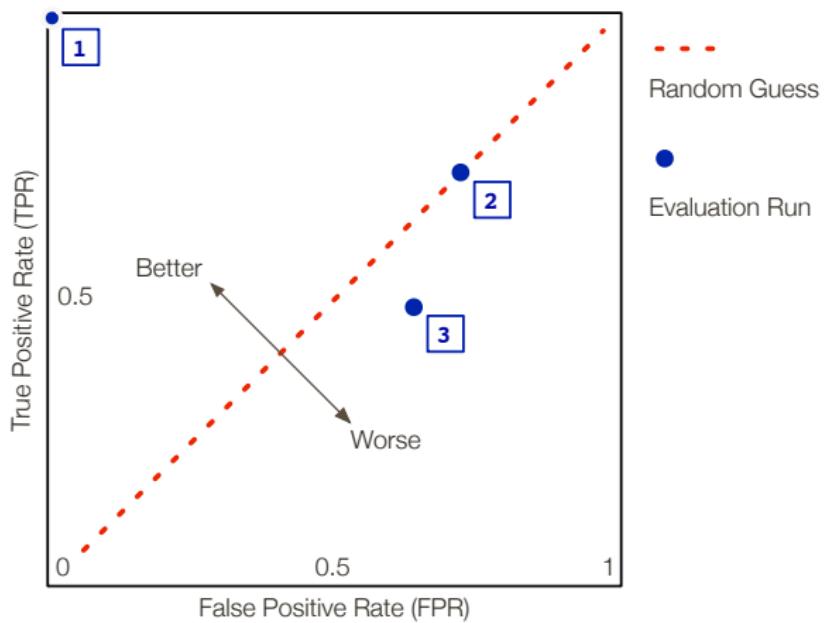
OPAD: Online Performance Anomaly Detection



ROC Curves (Introduction)

Evaluation (cont'd)

OPAD: Online Performance Anomaly Detection

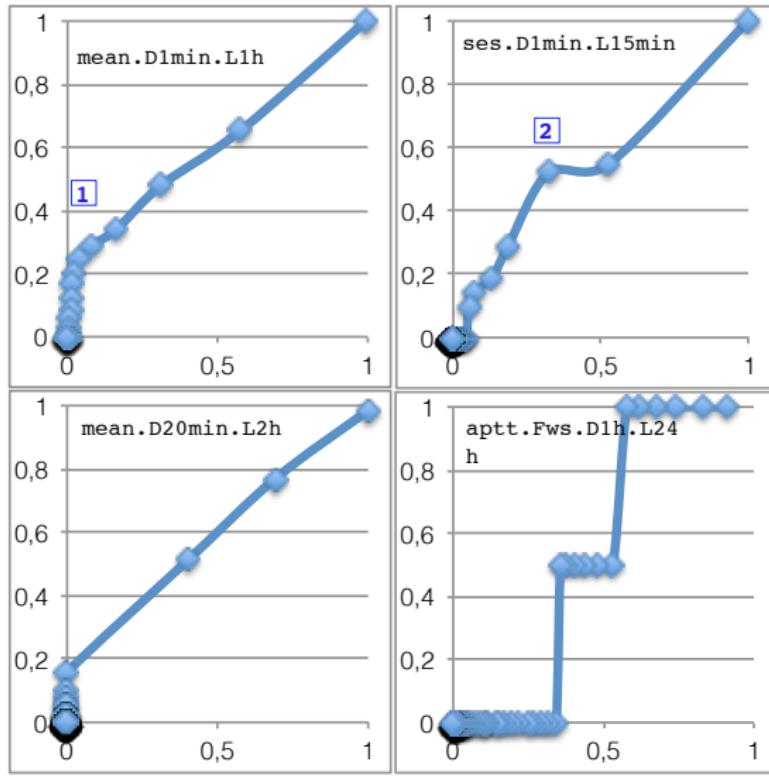


$$\text{TPR} = \frac{\text{TP}}{\text{TP} + \text{FN}} = \frac{\text{TP}}{\text{F}} \quad \text{FPR} = \frac{\text{FP}}{\text{FP} + \text{TN}} = \frac{\text{FP}}{\text{NF}} \quad (1)$$

ROC Curves (Θ PAD Results)

Evaluation (cont'd)

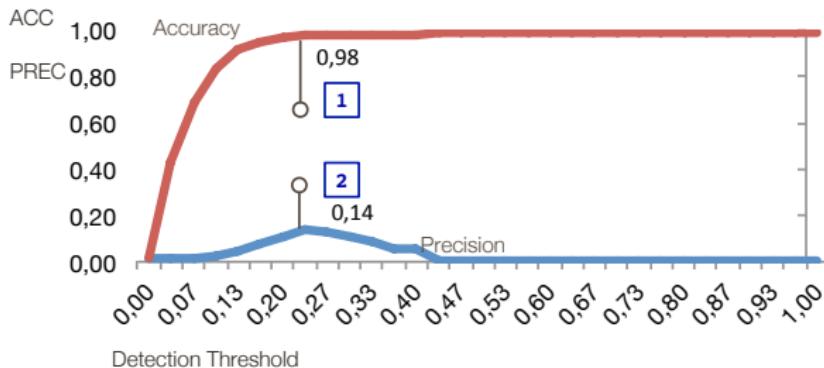
OPAD: Online Performance Anomaly Detection



Accuracy and Precision

Evaluation (cont'd)

OPAD: Online Performance Anomaly Detection



$$\text{PREC} = \frac{\text{TP}}{\text{POS}} = \frac{\text{TP}}{\text{TP} + \text{FP}} \quad . \quad (2)$$

$$\text{ACC} = \frac{\text{TP} + \text{TN}}{\text{N}} = \frac{\text{TP} + \text{TN}}{\text{TP} + \text{FP} + \text{FN} + \text{TN}} \quad . \quad (3)$$

Summary and Outlook

Conclusion



Tillmann C. Bielefeld:
"Online performance anomaly detection for large-scale software systems"
March 2012. Diploma Thesis, Kiel Univ.

Outlook

- ΘPAD to be released as part of Kieker
- Follow-up theses on ΘPAD

Contact Us

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- avh@informatik.uni-kiel.de

KoSSE Symposium on Application Performance Management

Nov. 29/30, 2012 (Thu/Fri) @ Wissenschaftszentrum Kiel

Invited talks by (see <http://kosse-sh.de> for details)

- b+m Informatik AG, Melsdorf
 - Consist Software Solutions GmbH, Kiel
 - empuxa GmbH, Kiel
 - Karlsruhe Inst. of Technology (KIT)
 - Kiel University
 - QAware GmbH, Munich
 - RWTH Aachen
 - SAP Research, Karlsruhe
 - XING AG, Hamburg
-
- Social event: Dinner at Forstbaumschule (Nov. 29, 18:30h)
 - Registration required (no fee!): mail@diwish.de

Conclusion

T. C. Bielefeld. Online performance anomaly detection for large-scale software systems.

<https://tielefeld.s3.amazonaws.com/diploma/Bielefeld2012DAOnlinePerformanceAnomalyDetectionForLargeScaleSoftwareSystems.pdf>, Mar. 2012. Diploma Thesis, University of Kiel.

Kieker Project. *Kieker 1.6 User Guide*. Software Engineering Group, University of Kiel, Germany, Oct. 2012a. URL

<http://kieker-monitoring.net/documentation/>.

Kieker Project. Kieker web site, 2012b. URL <http://kieker-monitoring.net/>.

A. van Hoorn, M. Rohr, W. Hasselbring, J. Waller, J. Ehlers, S. Frey, and D. Kieselhorst. Continuous monitoring of software services: Design and application of the Kieker framework. Technical Report TR-0921, Department of Computer Science, University of Kiel, Germany, Nov. 2009. URL
http://www.informatik.uni-kiel.de/uploads/tx_publication/vanhoorn_tr0921.pdf.

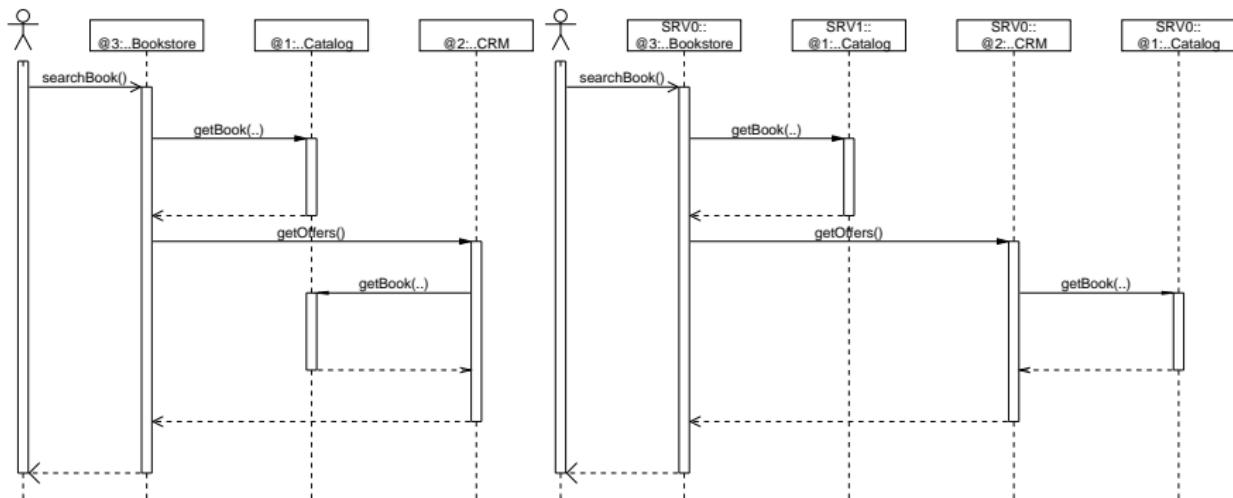
A. van Hoorn, J. Waller, and W. Hasselbring. Kieker: A framework for application performance monitoring and dynamic software analysis. In *Proceedings of the 3rd ACM/SPEC International Conference on Performance Engineering (ICPE 2012)*, pages 247–248. ACM, Apr. 2012. ISBN 978-1-4503-1202-8.
doi: 10.1145/2188286.2188326.

Sequence Diagrams

Kieker. TraceAnalysis Tool

Bonus

- 1 Sequence diagrams
- 2 Dynamic call trees
- 3 Hierarchical calling dependency graphs
- 4 System model



(a) Assembly-level view

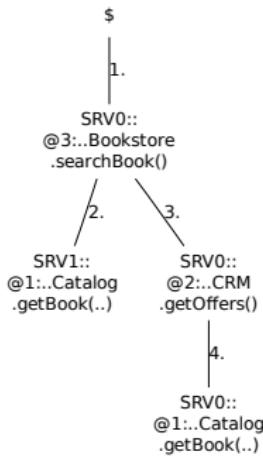
(b) Deployment-level view

Dynamic Call Trees

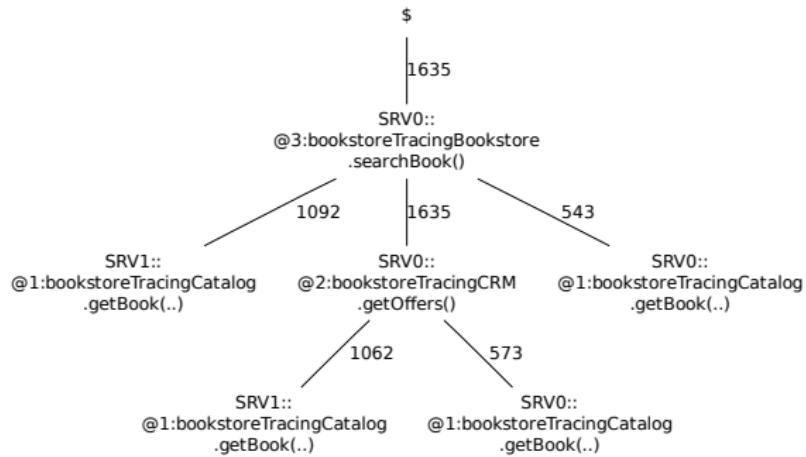
Kieker TraceAnalysis Tool (cont'd)

Bonus

- 1 Sequence diagrams
- 2 **Dynamic call trees**
- 3 Hierarchical calling dependency graphs
- 4 System model



(a) Dynamic call tree (single trace)



(b) Aggregated deployment-level call tree

Hierarchical Calling Dependency Graphs

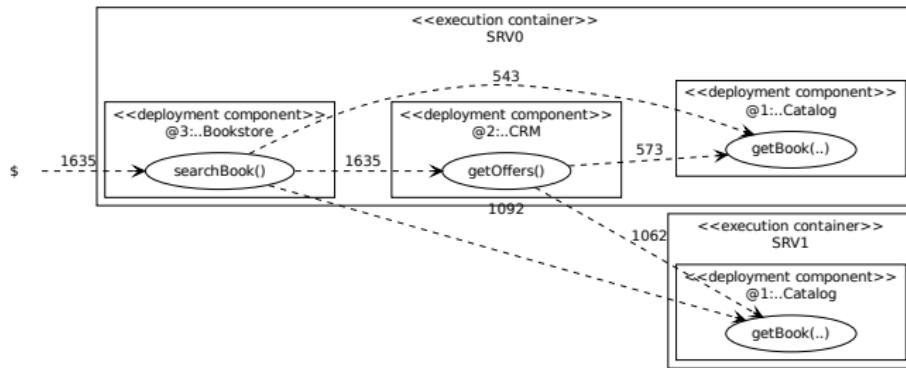
Kieker TraceAnalysis Tool (cont'd)

Bonus

- 1 Sequence diagrams
- 2 Dynamic call trees
- 3 **Hierarchical calling dependency graphs**
- 4 System model



(a) Assembly-level component dependency graph



(b) Deployment-level operation dependency graph

System Model (HTML Representation)

Kieker.TraceAnalysis Tool (cont'd)



Bonus

- 1 Sequence diagrams
- 2 Dynamic call trees
- 3 Hierarchical calling dependency graphs
- 4 **System model** (here: HTML representation)

System Model Reconstructed by Kieker.TraceAnalysis - Mozilla Firefox

Component Types

ID	Package	Name	Operations
3	bookstoreTracing	Bookstore	• <code>searchBook()</code> : N/A
2	bookstoreTracing	CRM	• <code>getOffers()</code> : N/A
1	bookstoreTracing	Catalog	• <code>getBook(boolean)</code> : N/A

Operations

ID	Component type	Name	Parameter types	Return type
3	bookstoreTracing.Bookstore	searchBook		N/A
2	bookstoreTracing.CRM	getOffers		N/A
1	bookstoreTracing.Catalog	getBook	• boolean	N/A

Assembly Components

ID	Name	Component type
3	#3	bookstoreTracing.Bookstore
2	#2	bookstoreTracing.CRM
1	#1	bookstoreTracing.Catalog

Execution Containers

ID	Name
2	SRV0
1	SRV1

Deployment Components

ID	Assembly component	Execution container
4	#3:bookstoreTracing.Bookstore	SRV0
3	#2:bookstoreTracing.CRM	SRV0
2	#1:bookstoreTracing.Catalog	SRV0
1	#1:bookstoreTracing.Catalog	SRV1

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FoxyProxy: Inaktiv