

# Architecture Reconstruction via Dynamic Analysis

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## Dynamic analysis,

or the *analysis of data gathered from a running program,*

has the potential to  
**provide an accurate picture of a software system's actual behavior.**  
because it exposes the

This picture can range  
from

**class-level details**

up to

**high-level architectural views** [...].




(Cornelissen et al. 2009)



Among the **benefits over static analysis** are the **availability of runtime information** and, in the context of object-oriented software, the *exposure of object identities* and the **actual resolution of late binding**.

A **drawback** is that **dynamic analysis** can only provide a **partial picture of the system**, i.e., the *results obtained are valid for the scenarios that were exercised during the analysis.*



(Cornelissen et al. 2009)

## How to Gather Runtime Data from Executing Systems? — Instrumentation

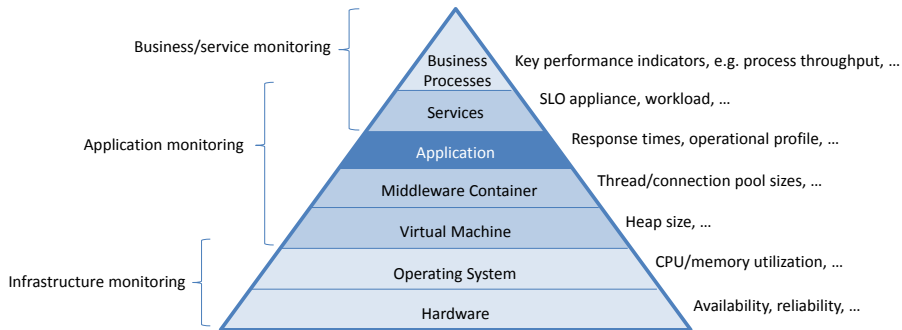
- **Profiling** — employed in **development environments**; considerable **performance overhead**
- **Monitoring** — employed in **production environments**; captures **real usage profile**

## Use Cases — Online & Offline Analysis

The obtained monitoring data can, for instance, be used for

- **Performance evaluation** (e.g., bottleneck detection)
- **(Self-)adaptation control** (e.g., capacity management)
- Application-level **failure detection and diagnosis**
- **Simulation/Testing** (workload, measurement, logging, and analysis)
- Software maintenance, **reverse engineering**, modernization
- Service-level management

# Continuous Monitoring of Software Systems



## Scaling Facebook to 500 Million Users and Beyond

“Making lots of small changes and watching what happens only works if you’re actually able to watch what happens.

**At Facebook** we **collect an enormous amount of data** — any particular server exports **tens or hundreds of metrics** that can be graphed.

This **isn’t just system level things** like CPU and memory, it’s also **application level statistics** to understand why things are happening.

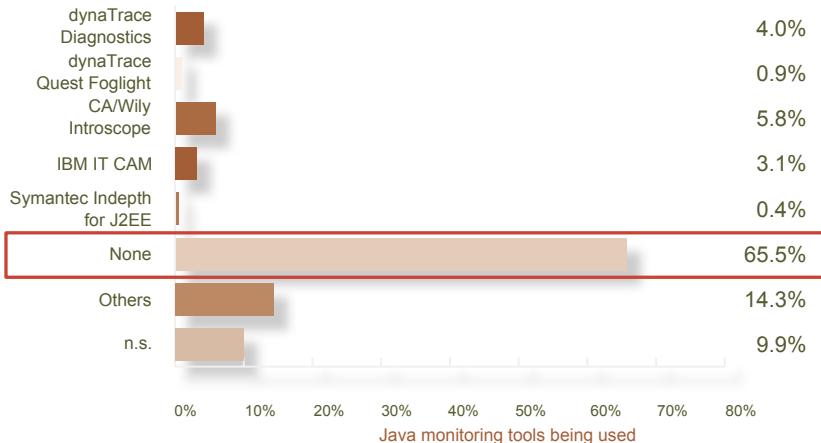
It’s important that the statistics are from the **real production machines** that are having the problems, when they’re having the problems – **the really interesting things only show up in production**. The stats also have to come from all machines, because a lot of important effects are hidden by averages and only show up in distributions, in particular 95th or 99th percentile.”

*Robert Johnson, Facebook Engineering Director*

# Application-Level Monitoring in Practice ...!?

— In General —

Dynamic Analysis & Continuous Monitoring



“Java monitoring largely unknown.”

[codecentric GmbH 2009]

## Selection of Monitoring Probes

Depends on analysis goals

## Number and Position of Monitoring Points

Trade-off between performance overhead and information quality

## Explicit Consideration of the Induced Monitoring Overhead

During continuous operation: only small, constant overhead acceptable

## Physical Location of the Monitoring Log/Stream

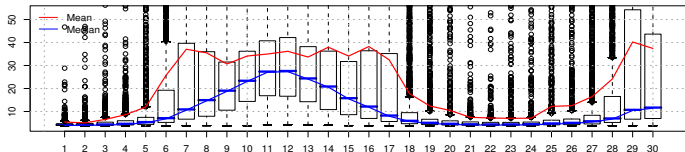
E.g., relational database, file system, messaging queue

## Intrusiveness of Instrumentation

Concern: mixing monitoring logic with business functionality; → AOP



- 1 Dynamic Analysis & Continuous Monitoring
- 2 Kieker — Framework for Continuous Monitoring and Analysis
- 3 Architecture Reconstruction and Visualization Examples
- 4 Dynamic Analysis of Legacy Systems
- 5 Conclusions



## Instrumentation

```
84  
85 public static void searchBook() {  
86     long tin = System.currentTimeMillis();  
87     Catalog.getBook(false);  
88     long tout = System.currentTimeMillis();  
89     System.out.printf("Catalog;getBook;%s;%s", tin, tout);  
90 }  
91
```



Program execution

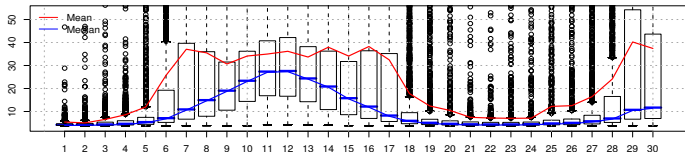
```
Catalog;getBook;1273333822196;1273333822202  
Catalog;getBook;1273333823197;1273333823199  
Catalog;getBook;1273333824198;1273333824200  
Catalog;getBook;1273333825199;1273333825201  
Catalog;getBook;1273333826200;1273333826202
```

## Visualization of results

## Monitoring log



Example: Monitoring, analysis, and visualization of operation response times



## Instrumentation

```
84 public static void searchBook() {  
85     long tin = System.currentTimeMillis();  
86     long tout = System.currentTimeMillis();  
87     Catalog.getBook(false);  
88     System.out.printf("Catalog;getBook;%s;%s", tin, tout);  
89 }  
90  
91
```



Program execution

```
Catalog;getBook;1273333822196;1273333822202  
Catalog;getBook;1273333823197;1273333823199  
Catalog;getBook;1273333824198;1273333824200  
Catalog;getBook;1273333825199;1273333825201  
Catalog;getBook;1273333826200;1273333826202
```

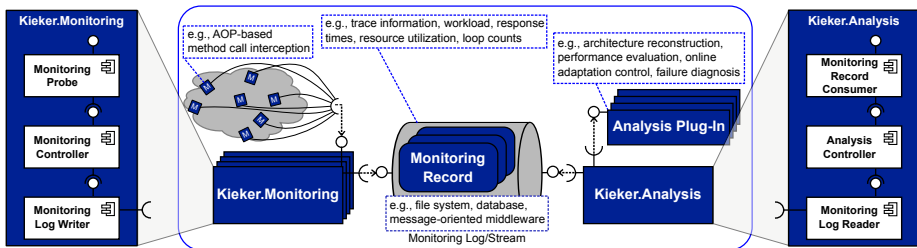
## Visualization of results

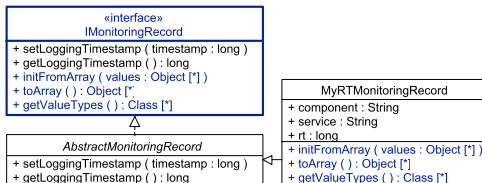
## Monitoring log



## Issues (e.g.)

Maintainability, changing log format, additional/more complex data structures, exception handling, alternative data sinks (e.g., database)





## Custom Monitoring Record: MyRTMonitoringRecord

```
35 @Around(value = "execution(@mySimpleKiekerExample.annotation.MyRTProbe **," data-bbox="69 549 681 820">
36 public Object probe(ProceedingJoinPoint j) throws Throwable {
37     MyRTMonitoringRecord record = new MyRTMonitoringRecord();
38     record.component = j.getSignature().getDeclaringTypeName();
39     record.service = j.getSignature().getName();
40     Object retVal;
41     ...
42 } finally {
43     record.rt = CTRL.getTime() - tin;
44     CTRL.newMonitoringRecord(record);
45 }
46 return retVal;
```

## (AOP-based) Monitoring Probe

```
58 public boolean newMonitoringRecord(IMonitoringRecord r) {
59     MyRTMonitoringRecord rtRec = (MyRTMonitoringRecord) r;
60     if (rtRec.rt > this.rtSLO) {
61         log.info("rtRec.rt >this.rtSLO: " + rtRec.rt + ">" + this.rtSLO);
62     } else {
63         log.info("rtRec.rt <=this.rtSLO: " + rtRec.rt + "<=" + this.rtSLO);
64     }
65     return true;
66 }
```

## Analysis plug-in: Response time evaluation

## Characteristics

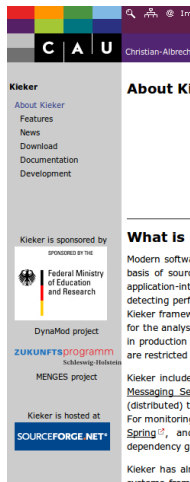
- Flexible architecture (custom *probes*, *readers*, *writers*, *analysis plug-ins*)
- Integrated & extensible *record* type model for monitoring & analysis
- Enables offline and online analysis/visualization
- Low overhead (designed for continuous operation in multi-user systems)
- Evaluated in industry case studies

## Features

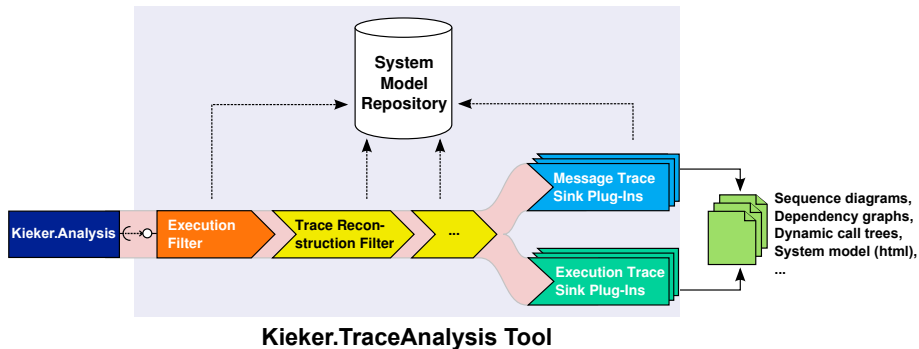
- **Readers/Writers:** File system, database, messaging (JMS), named pipe
- **Probes:** Operation executions (AOP-based); cpu, memory/swap (Sigar API)
- Logging, reconstruction, analysis/visualization of (distributed) traces
- **Visualizations:** Dependency & sequence diagrams, call trees, system model
- Log replayer (also in real-time)
- Moreover: Periodic sampling, custom time sources, control via JMX etc.

## Kieker is Open Source Software— Current Version: 1.3

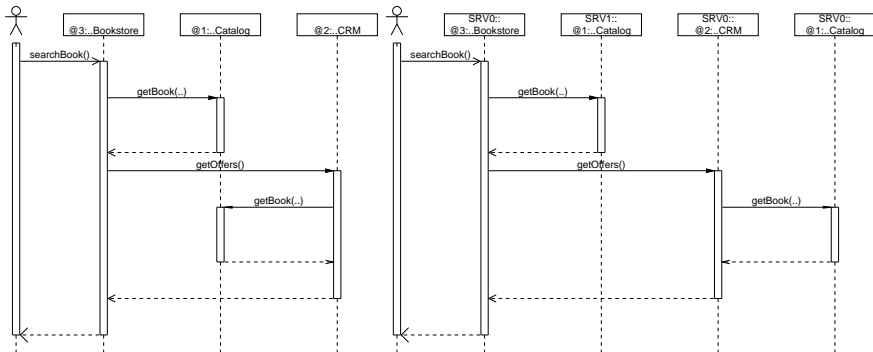
- Web site: <http://kieker.sourceforge.net>



The screenshot shows the Kieker website navigation and content. At the top, there is a logo with the letters 'C A U' and the text 'Christian-Albrechts-Universität zu Kiel'. Below the logo, there is a navigation menu with links: 'About Kieker', 'Features', 'News', 'Download', 'Documentation', and 'Development'. To the right of the navigation menu, there is a section titled 'About Ki' (partially visible). Below the navigation menu, there is a section titled 'Kieker is sponsored by' with logos for the 'Federal Ministry of Education and Research' and the 'DynaMod project'. Below that, there is a section titled 'ZUKUNFTSprogramm' with the text 'Schleswig-Holstein' and 'MENGENS project'. At the bottom, there is a section titled 'Kieker is hosted at' with the 'SOURCEFORGE NET' logo. On the right side of the screenshot, there is a section titled 'What is' (partially visible) with text: 'Modern softw... basis of sourc... application-int... detecting perf... Kieker framew... for the analys... in production... are restricted... Kieker include... Messaging\_Se... (distributed) t... For monitori... Spring, and... dependency g... Kieker has alr... custome fram...



- 1 Sequence diagrams
- 2 Dynamic call trees
- 3 Hierarchical calling dependency graphs
- 4 System model

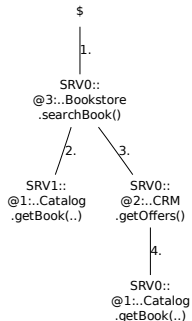


(a) Assembly-level view

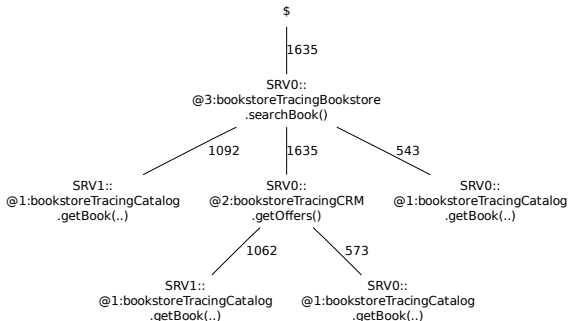
(b) Deployment-level view



- 1 Sequence diagrams
- 2 **Dynamic call trees**
- 3 Hierarchical calling dependency graphs
- 4 System model



(a) Dynamic call tree (**single trace**)

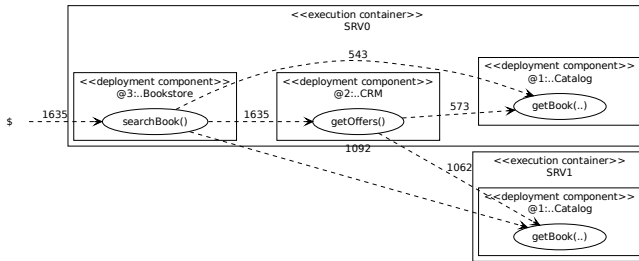


(b) **Aggregated** deployment-level call tree

- 1 Sequence diagrams
- 2 Dynamic call trees
- 3 **Hierarchical calling dependency graphs**
- 4 System model



(a) **Assembly-level component** dependency graph



(b) **Deployment-level operation** dependency graph

# System Model (HTML Representation)

Kieker.TraceAnalysis Tool (cont'd)

Architecture Reconstruction and Visualization Examples



- 1 Sequence diagrams
- 2 Dynamic call trees
- 3 Hierarchical calling dependency graphs
- 4 **System model** (here: HTML representation)

System Model Reconstructed by Kieker.TraceAnalysis - Mozilla Firefox

System Model Reconstructed by Kieker.TraceAnalysis - Mozilla Firefox

Component Types

ID	Package	Name	Operations
3	bookstoreTracing	Bookstore	• searchBook(): N/A
2	bookstoreTracing	CRM	• getOffers(): N/A
1	bookstoreTracing	Catalog	• getBook(boolean): N/A

Operations

ID	Component type	Name	Parameter types	Return type
3	bookstoreTracing_Bookstore	searchBook		N/A
2	bookstoreTracing_CRM	getOffers		N/A
1	bookstoreTracing_Catalog	getBook	boolean	N/A

Assembly Components

ID	Name	Component type
3	#3	bookstoreTracing_Bookstore
2	#2	bookstoreTracing_CRM
1	#1	bookstoreTracing_Catalog

Execution Containers

ID	Name
2	SRV0
1	SRV1

Deployment Components

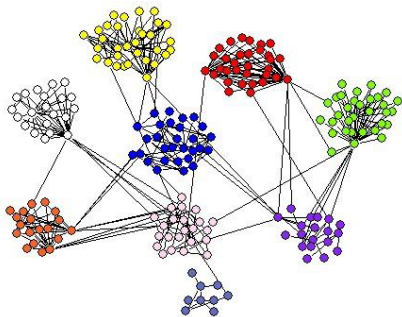
ID	Assembly component	Execution container
4	#3_bookstoreTracing_Bookstore	SRV0
3	#2_bookstoreTracing_CRM	SRV0
2	#1_bookstoreTracing_Catalog	SRV0
1	#1_bookstoreTracing_Catalog	SRV1

Fertig FoxyProxy: Inaktiv

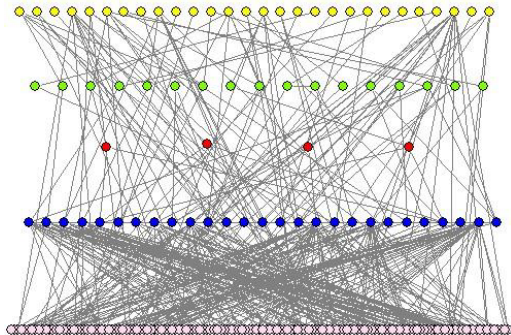
# Calling Networks

Clustering based on Calling (Frequency) Relationships [Zheng et al. 2011]

Architecture Reconstruction and Visualization Examples



Community structure



Layered structure (hierarchy)

Xi'an Jiaotong University, Shaanxi [Zheng et al. 2011]

# 3D Visualization with DyVis

[Wulf 2010]

Architecture Reconstruction and Visualization Examples



The screenshot shows the DyVis application window. The main 3D view displays a city reconstruction with blue buildings on a green field. A red dot on a building is connected by a green line to another red dot on a different building. A yellow line also connects two points on the same building. The right-hand side shows a tree view of the application's class structure, with 'addItemToCart' selected. The bottom status bar contains a table with trace information.

A	B
Name	addItemToCart
Filename	nullCartBean
Line	54
caller class	DispatcherServlet
callee class	CartBean
Trace Identifier	3194037298224168972

Available trace elements: 88/101

# Anomaly Detection and Diagnosis

[Ehlers and Hasselbring 2011]

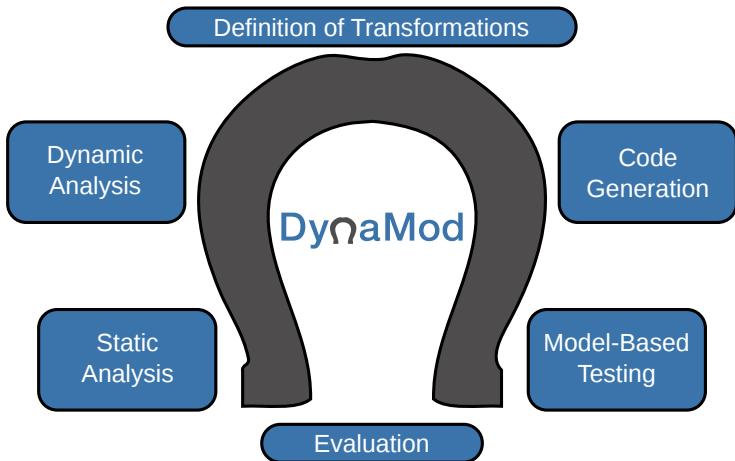
Architecture Reconstruction and Visualization Examples



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## DynaMod

Dynamic Analysis for  
Model-Driven Software Modernization





## DynaMod

Dynamic Analysis for  
Model-Driven Software Modernization

### Project Consortium:

- 1 **b+m Informatik AG**  
(Development partner, consortium leader)
- 2 **Software Engineering Group, Univ. Kiel**  
(Research partner)
- 3 **Dataport**  
(Associated partner)
- 4 **HSH Nordbank AG**  
(Associated partner)

### Funding:

- BMBF “KMU-innovativ”
- 2 years (01/11–12/12)



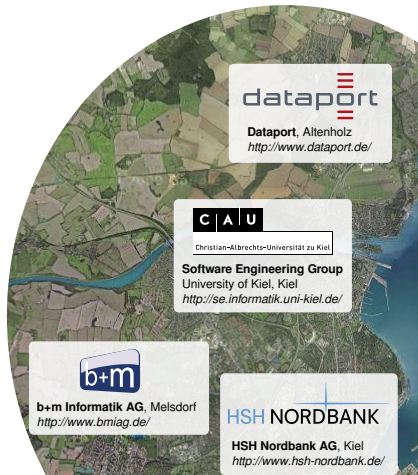
SPONSORED BY THE

Federal Ministry  
of Education  
and Research

Under grant no. 01IS10051

### Read More:

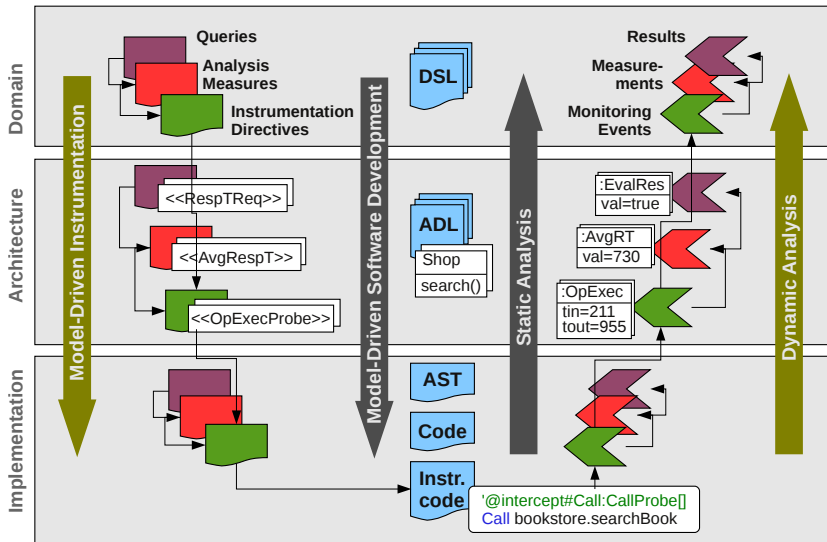
- <http://kosse-sh.de/dynamod/>
- MDSM @ CSMR 2011 [van Hoorn et al. 2011a]



# Model-Driven Instrumentation & Analysis

[van Hoorn et al. 2011b]

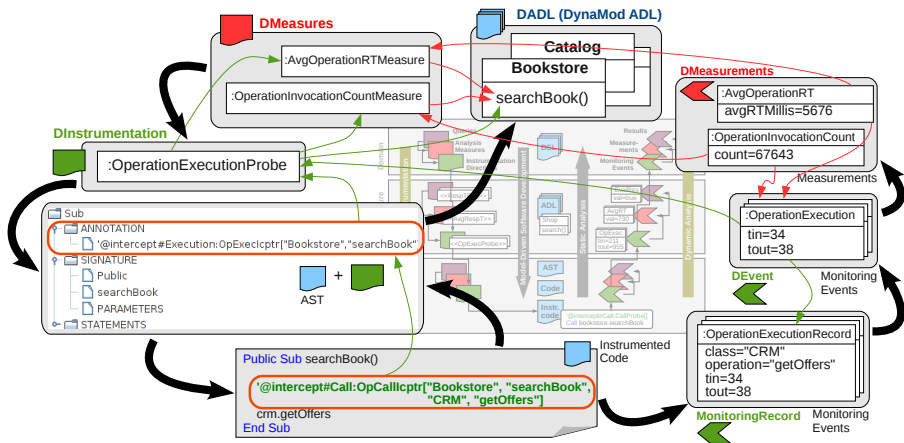
Dynamic Analysis of Legacy Systems



# Model-Driven Instrumentation & Analysis

(cont'd)

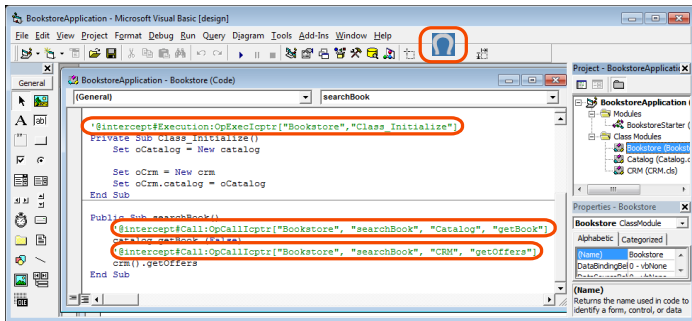
Dynamic Analysis of Legacy Systems



# AOP-Based Instrumentation of VB6

[van Hoorn et al. 2011b]

Dynamic Analysis of Legacy Systems



# AOP-Based Instrumentation of VB6

[van Hoorn et al. 2011b]

Dynamic Analysis of Legacy Systems



The image shows the Visual Basic 6 Aspect-Compiler Configuration dialog box. The **Projects** tab is active, showing three options: **Select main project**, **Select aspect project**, and **Select output path**, all of which are highlighted with a red box. Below this, the **Weaver options** and **Logging options** tabs are visible. The **Weaver options** section includes checkboxes for **overwrite output files**, **add info-marks**, **copy all directories**, **accept hidden files**, **accept files outside base directories**, **cleanup on error**, and **compile after weaving**. The **Logging options** section includes **Line-break type** (set to Windows) and **Output encoding type** (set to ISO-8859-1). At the bottom, the **Start** button is highlighted with a red box. To the left, the **Project - BookstoreApplication** tree shows the project structure. To the right, the **Project - Aspects** tree shows the aspect project structure. A red arrow points from the **Start** button to the **Project - BookstoreApplication** tree on the right. The **Logging messages** section at the bottom shows the output of the weaver, including file paths and the number of files woven and copied.

# AOP-Based Instrumentation of VB6

[van Hoorn et al. 2011b]

Dynamic Analysis of Legacy Systems



Visual Basic 6 Aspect-Compiler Configuration

Projects

- Select main project: c:\vb6\examples\bookstore-vb6-annotated\Bookstore.vbp
- Select aspect project: AspectVB6\examples\bookstore-vb6-aspects\Aspects.vbp
- Select output path: /home/vanhoorn/svn\_work/dynamod/trunk/src/AspectVB6/tmp

BookstoreApplication - Bookstore (Code)

```
Private Sub Class_Initialize()  
---- The following 4 lines have been added by aspect weaver.  
Dim iExecution0 As IcptOpExec  
Set iExecution0 = New IcptOpExec  
Call iExecution0.initFields("Bookstore", "Class_Initialize")  
Call exeIcptr.before(iExecution0)  
Set oCatalog = New catalog  
  
Set oCRM = New CRM  
Set oCRM.catalog = oCatalog  
---- The following line has been added by aspect weaver.  
Call exeIcptr.after(iExecution0)  
End Sub  
  
Public Sub searchBook()  
---- The following 4 lines have been added by aspect weaver.  
Dim iCall0 As IcptOpCall  
Set iCall0 = New IcptOpCall  
Call iCall0.initFields("Bookstore", "searchBook", "Catalog", "getBook")  
Call callIcptr.before(iCall0)  
catalog.getBOOK (false)  
---- The following line has been added by aspect weaver.  
Call callIcptr.after(iCall0)  
End Sub
```

Project - BookstoreApplication

- BookstoreApplication (Bookstore.vbp)
  - Modules
    - BookstoreStarter (BookstoreStarter.bas)
  - Class Modules
    - Bookstore (Bookstore.cls)
    - Catalog (Catalog.cls)
    - CRM (CRM.cls)
    - IcptOpCall (IcptOpCall.cls)
    - IcptOpExec (IcptOpExec.cls)
    - OpCallIcptr (OpCallIcptr.cls)
    - OpExecIcptr (OpExecIcptr.cls)

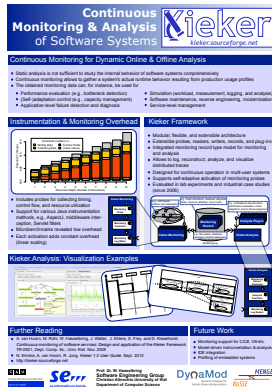
Inserting global declarations.  
0 directories created, 4 files woven, 7 files copied, 1 new files created.  
Output project: /home/vanhoorn/svn\_work/dynamod/trunk/src/AspectVB6/tmp/Bookstore.  
Finished.

### Further Reading

- A. van Hoorn, M. Rohr, W. Hasselbring, J. Waller, J. Ehlers, S. Frey, and D. Kieselhorst. **Continuous monitoring of software services: Design and application of the Kieker framework.** TR-0921, Dept. Comp. Sc., Univ. Kiel. Nov. 2009
- N. Ehmke, A. van Hoorn, R. Jung. **Kieker 1.3 User Guide.** May 2011
- <http://kieker.sourceforge.net>

### Current and Future Activities

- Model-driven instrumentation & analysis
- Combination with static analysis
- Monitoring support for .NET, Visual Basic etc.
- Analysis IDE
- Additional architectural view types
- Integration with other analysis tools (e.g., Software-EKG, Fraunhofer's SAVE)
- Self-\* & cloud applications
- **In the process of becoming a SPEC research tool**

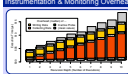


**Continuous Monitoring & Analysis of Software Systems** **Kieker**  
kieker.sourceforge.net

**Continuous Monitoring for Dynamic Online & Offline Analysis**

- Basic analysis is not sufficient to study the internal behavior of software systems comprehensively
- Continuous monitoring allows to gather a system's actual runtime behavior resulting from production usage profiles
- The obtained monitoring data can, for instance, be used for
  - Performance evaluation (e.g., bottleneck detection)
  - Software maintenance, reverse engineering, modernization
  - Service-level management
- Self-adaptation control (e.g., capacity management)
- Application-level failure detection and diagnosis
- Simulation (benchmark, measurement, logging and analysis)


**Instrumentation & Monitoring Overhead**



**Kieker Framework**

- Modular, flexible, and extensible architecture
- Controller probes, readers, writers, records, and plug-ins
- Integrated monitoring record type model for monitoring and analysis
- Allows to log, reconstruct, analyze, and visualize distributed traces
- Designed for continuous operation in multi-user systems
- Supports self-adaptive activation of monitoring probes
- Evaluated in the experiments and industrial case studies (since 2006)

**Kieker Analysis: Visualization Examples**




**Further Reading**

- A. van Hoorn, M. Rohr, W. Hasselbring, J. Waller, J. Ehlers, S. Frey, and D. Kieselhorst. **Continuous monitoring of software services: Design and application of the Kieker framework.** TR-0921, Dept. Comp. Sc., Univ. Kiel. Nov. 2009
- N. Ehmke, A. van Hoorn, R. Jung. **Kieker 1.3 User Guide.** May 2011
- <http://kieker.sourceforge.net>

**Future Work**

- Monitoring support for C/C++, VB6, etc.
- Distributed instrumentation & analysis
- Self-adaptation
- Profiling of distributed systems

**Partners:** 

- codecentric GmbH. Performance survey 2008. [http://www.codecentric.de/export/sites/www/\\_resources/pdf/performance-survey-2008-web.pdf](http://www.codecentric.de/export/sites/www/_resources/pdf/performance-survey-2008-web.pdf), Mar. 2009.
- B. Cornelissen, A. Zaidman, A. van Deursen, L. Moonen, and R. Koschke. A systematic survey of program comprehension through dynamic analysis. *IEEE Transactions on Software Engineering*, 35(5):684–702, 2009. ISSN 0098-5589.
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- J. Ehlers and W. Hasselbring. Self-adaptive software performance monitoring. In R. Reussner, M. Grund, A. Oberweis, and W. F. Tichy, editors, *Software Engineering 2011: Fachtagung des GI-Fachbereichs Softwaretechnik*, volume 183 of *Lecture Notes in Informatics*, pages 51–62. GI, 2011.
- N. Ehmke, A. van Hoorn, and R. Jung. Kieker 1.3 user guide. <https://se.informatik.uni-kiel.de/kieker/documentation/>, May 2011.
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- A. van Hoorn, M. Rohr, W. Hasselbring, J. Waller, J. Ehlers, S. Frey, and D. Kieselhorst. Continuous monitoring of software services: Design and application of the Kieker framework. Technical Report TR-0921, Department of Computer Science, University of Kiel, Germany, Nov. 2009b. URL [http://www.informatik.uni-kiel.de/uploads/tx\\_publication/vanhoorn\\_tr0921.pdf](http://www.informatik.uni-kiel.de/uploads/tx_publication/vanhoorn_tr0921.pdf).
- A. van Hoorn, S. Frey, W. Goerigk, W. Hasselbring, H. Knoche, S. Köster, H. Krause, M. Porembski, T. Stahl, M. Steinkamp, and N. Wittmüss. DynaMod project: Dynamic analysis for model-driven software modernization. In A. Fuhr, W. Hasselbring, V. Riediger, M. Bruntink, and K. Kontogiannis, editors, *Joint Proceedings of the 1st International Workshop on Model-Driven Software Migration (MDSM 2011) and the 5th International Workshop on Software Quality and Maintainability (SQM 2011)*, volume 708 of *CEUR Workshop Proceedings*, pages 12–13, Mar. 2011a. Invited paper.
- A. van Hoorn, H. Knoche, W. Goerigk, and W. Hasselbring. Model-driven instrumentation for dynamic analysis of legacy software systems. In *Proceedings of the 13. Workshop Software-Reengineering (WSR '11)*, pages 26–27, 2011b. URL [http://www.uni-koblenz-landau.de/koblenz/fb4/institute/uebergreifend/sre/conferences/wsr/wsr2011/wsr2011\\_proceedings.pdf](http://www.uni-koblenz-landau.de/koblenz/fb4/institute/uebergreifend/sre/conferences/wsr/wsr2011/wsr2011_proceedings.pdf).
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- Q. Zheng, Z. Ou, L. Liu, and T. Liu. A novel method on software structure evaluation. In *Proceedings of the 2nd IEEE International Conference on Software Engineering and Service (IEEE ICSESS 2011)*. IEEE, July 2011. To appear.