




Tool-Supported Application Performance Problem Detection and Diagnosis


André van Hoorn


**SOFTWARE
PERFORMANCE
MEETUP**

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 **Monday, March 16, 2015**
7:00 PM to 10:00 PM

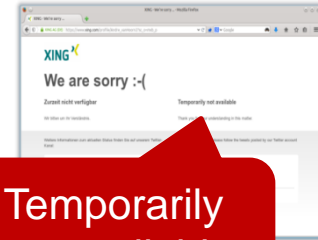
 **fortiss GmbH - An-Institut Technische
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Guerickestraße 25, München ([map](#))



Agenda

1

- Introduction – Performance Problems



2

- Kieker – Open Source APM Framework



3

- Performance Problem Detection and Diagnosis with Kieker



4

- Conclusions and Future Work



Performance (QoS) Problem Detection and Diagnosis



An unexpected error occurred



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... more capacity is on the way



Service temporarily unavailable



We are experiencing heavy demand

Performance (QoS) Problem Detection and Diagnosis

An unexpected
occurrence

How to (semi-)automate

1. the detection of performance problems?
2. pinpointing the root cause (i.e., diagnosis)
3. the proactive detection and diagnosis?

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is on the way

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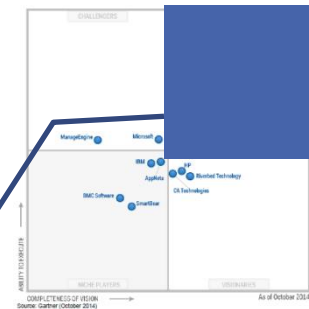
We are
experiencing
heavy demand

Application Performance Management

■ APM dimensions according to Gartner (2014)

1. End-user experience monitoring
2. Application topology discovery and visualization
3. User-defined transaction profiling
4. Application component deep-dive
5. IT operations analytics based on, e.g.,
 - Complex operations event processing
 - Statistical pattern discovery and recognition
 - Unstructured text indexing, search and inference
 - Multidimensional database search and analysis

<https://www.gartner.com/doc/288942>



■ APM tools (selection)

■ Commercial:

APPDYNAMICS

 **dynatrace**

 **New Relic®**

■ Free/open-source:

inspectIT
...because performance matters!

kieker

Application Performance Management

■ APM dimensions

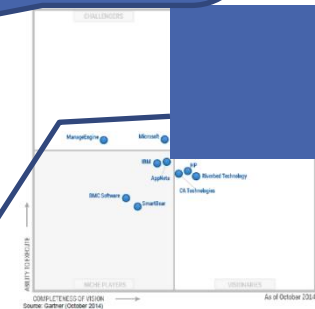
1. End-user experience
2. Application performance
3. User-defined metrics
4. Application health
5. IT operations analytics based on, e.g.,

Example ITOA activity:

Performance problem detection and diagnosis

- Reactive vs. proactive
- Manual vs. automatic (incl. recommendations)
- State-based vs. transaction-based

- Complex operations event processing
- Statistical pattern discovery and recognition
- Unstructured text indexing, search and inference
- Multidimensional database search and analysis



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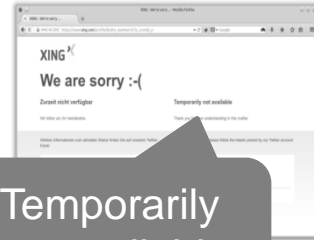
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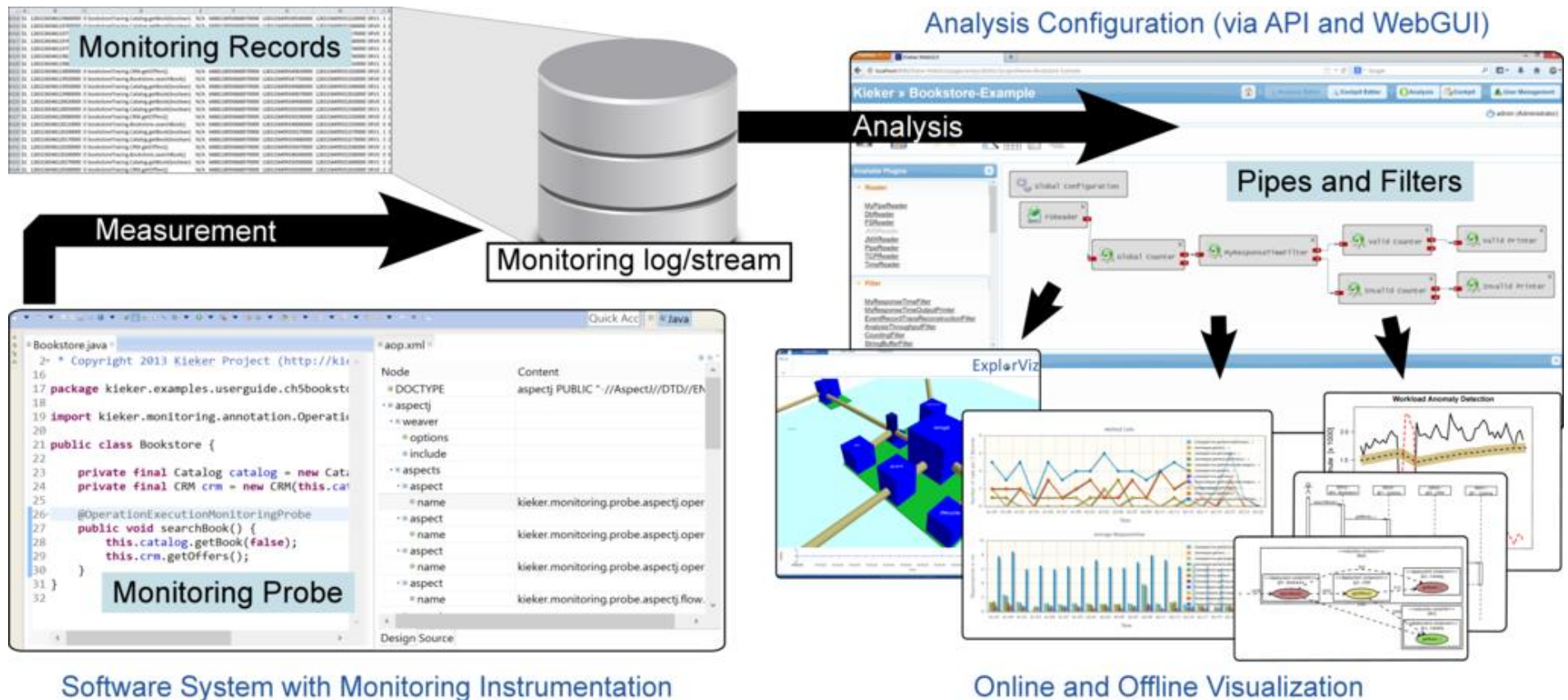
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Open Source APM Framework

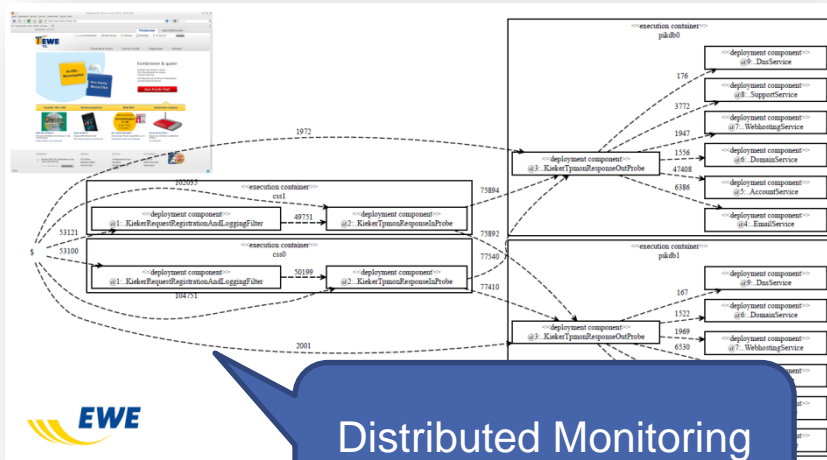


Download : <http://kieker-monitoring.net/>

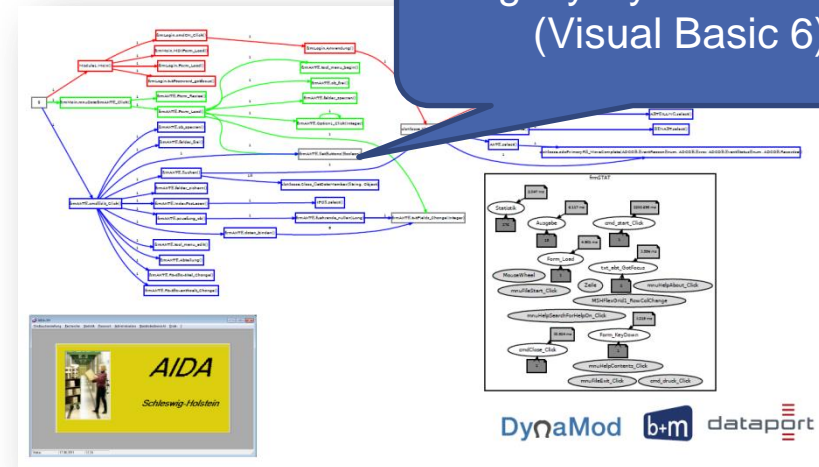
Kieker is distributed as part of SPEC® RG's repository of peer-reviewed tools for quantitative system evaluation and analysis

<http://research.spec.org/projects/tools.html>

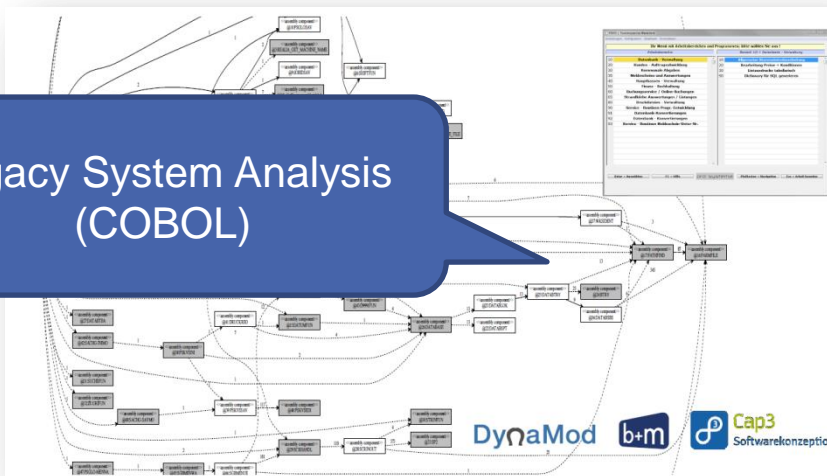




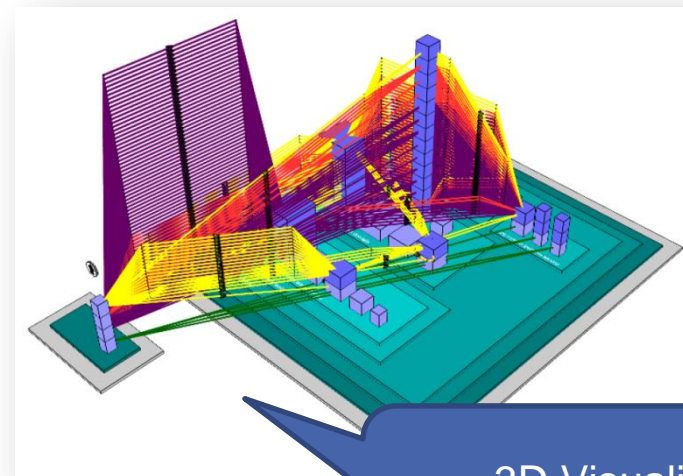
Distributed Monitoring
(Java EE/SOAP)



Legacy System Analysis
(Visual Basic 6)

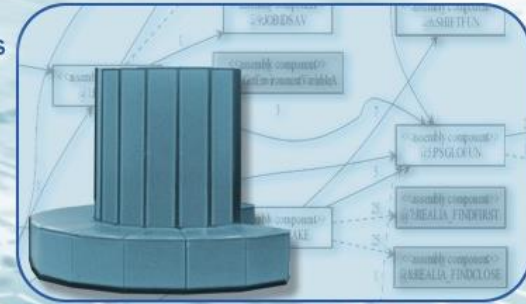


Legacy System Analysis
(COBOL)



3D Visualization
of Concurrency

analyzing legacy systems



KIEKER FRAMEWORK USE CASES RESEARCH AND CONSULTING

About Kieker

Projects, publications, talks, tutorials

analyzing a software system's runtime behavior — enabling Application Performance Monitoring and Architecture Discovery.

Search

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QUICKLINKS

- **Download**
 - Release Notes
 - License
- Live Demo
- Features
- Documentation
 - Quickstart Guide
 - API Documentation
- Support
 - Contact
 - Trac / Wiki
- News & Blog

More than 60 Participants Attended SOSP '14 in Stuttgart

Posted on 14.12.2014 by André van Hoorn

User Guide

Issue Tracking



References: Internal/External Researchers, Industry

Internal Researchers

Kieker is currently maintained jointly by the following research groups from Kiel University and the University of Stuttgart as part of their teaching and research activities, including collaborators from other academic or industrial institutions.



Kiel University, Kiel, Germany – Researchers from the Kiel University's **Software Engineering Group** investigate innovative techniques and methods for engineering, evolving, and operating continuously running software systems ([research projects](#)).



University of Stuttgart, Stuttgart, Germany – Researchers from the University of Stuttgart's **Reliable Software Systems Group** investigate innovative quantitative QoS analysis and forecasting methods for distributed software-intensive systems ([research projects](#)).

Feel free to [contact us](#) if you are interested in any aspect of the Kieker framework.

External Researchers



Karlsruhe Institute of Technology (KIT), Karlsruhe, Germany – Researchers from the KIT's **Software Design and Quality Group** are using Kieker for different purposes, e.g., for detecting and diagnosing performance problems in systematic experiments. We are also collaborating with KIT researchers in the context of the [iObserve research project](#).



RWTH Aachen University, Aachen, Germany – Researchers from the RWTH Aachen University's **Software Construction Group** are using Kieker for monitoring-based architecture reconstruction in their **ARAMIS project** on model-based software architecture evolution and analysis.



University of Novi Sad, Novi Sad, Serbia – Researchers from the University of Novi Sad were using Kieker for adaptive monitoring of software systems in the context of [performance problem detection and diagnosis](#).



Warsaw University, Warsaw, Poland – Researchers from the Warsaw University employed Kieker for [dynamic data acquisition of software architectures](#).



Xi'an Jiatong University, Xi'an, Shaanxi, China – Researchers from the Xi'an Jiatong University used Kieker for [discovering architectural structures in software systems](#) and to [analyze software call graphs](#).

Industry



b+m Informatik AG, Melsdorf, Germany – With b+m, we collaborated in the context of the [DynaMod](#) and [MENGEN research projects](#). Moreover, Kieker is being used by b+m, e.g., for [architecture discovery](#) of large-scale COBOL mainframe systems. Contributions by b+m are part of the Kieker release.



CEWE COLOR AG & Co. OHG, Oldenburg, Germany – With CEWE COLOR, we collaborated in the context of the [TrustSoft research project](#). CEWE COLOR provided a JavaEE-based web portal as a case study system for [application performance monitoring](#). Contributions by CEWE COLOR are part of the Kieker release.



Dataport AöR, Altenholz, Germany – With Dataport, we collaborated in the context of the [DynaMod research project](#). Dataport provided a VB6-based case study system for [architecture discovery](#) based on hybrid analysis with Kieker.



EPrints Services, Southampton, United Kingdom – With EPrints Services, we collaborated in the context of several [thesis projects](#). We employ the EPrints system as a case study system for software performance analysis with Kieker for Perl-based systems. The Eprints team provides an integration of Kieker with EPrints as [epkieker](#).



EWE TEL GmbH, Oldenburg, Germany – With EWE TEL, we collaborated in the context of the [TrustSoft research project](#). EWE TEL provided a JavaEE-based web portal as a case study system for [application performance monitoring](#). Contributions by EWE TEL are part of the Kieker release.



HSH Nordbank AG, Kiel, Germany – With HSH Nordbank, we collaborated in the context of the [DynaMod research project](#). The HSH provided a C#-based function library for [architecture discovery](#) based on hybrid analysis with Kieker.



NovaTec GmbH, Leinfelden-Echterdingen, Germany – With NovaTec, we currently collaborate in the context of different teaching projects on [application performance management](#). NovaTec published a nice [blog article](#) about their first experiences with Kieker.



SAP Research, Karlsruhe, Germany – Kieker is used as a tool to collect performance data for the [Software Performance Cockpit](#). We are also collaborating with SAP Research in the context of the [iObserve research project](#).



XING AG, Hamburg, Germany – With XING, we collaborated in the context of a [Diploma thesis](#) on [online performance anomaly detection \(OPAD\)](#). XING provided its core system [xing.com](#) for evaluating the Kieker-based OPAD implementation.

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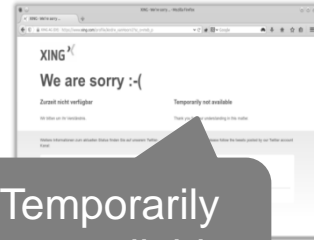
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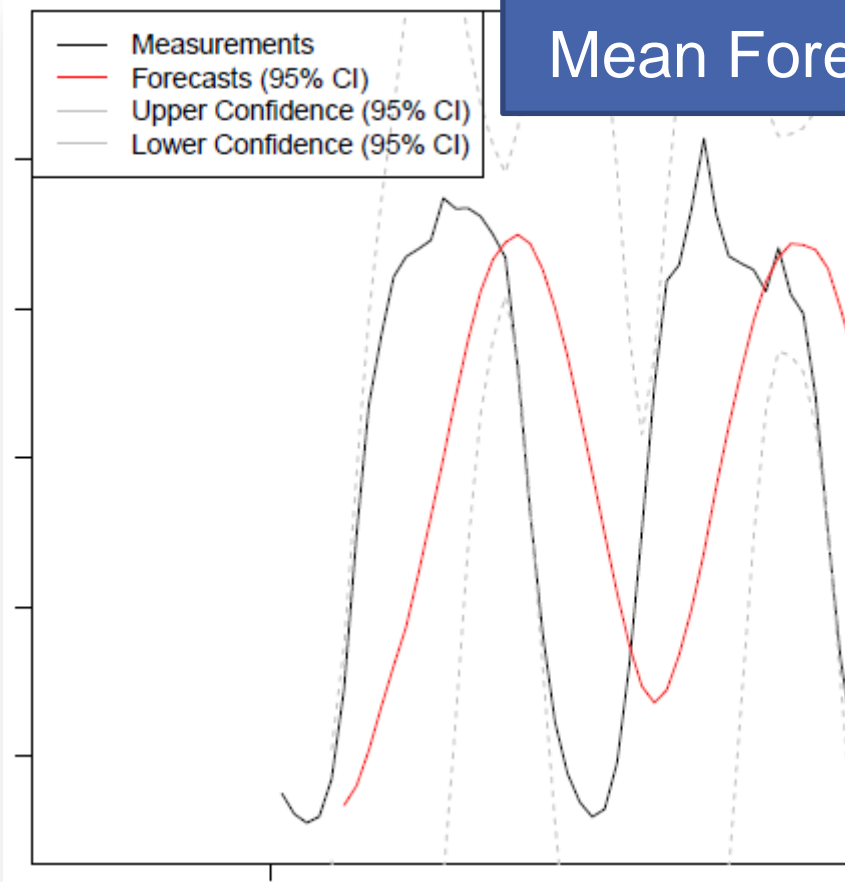
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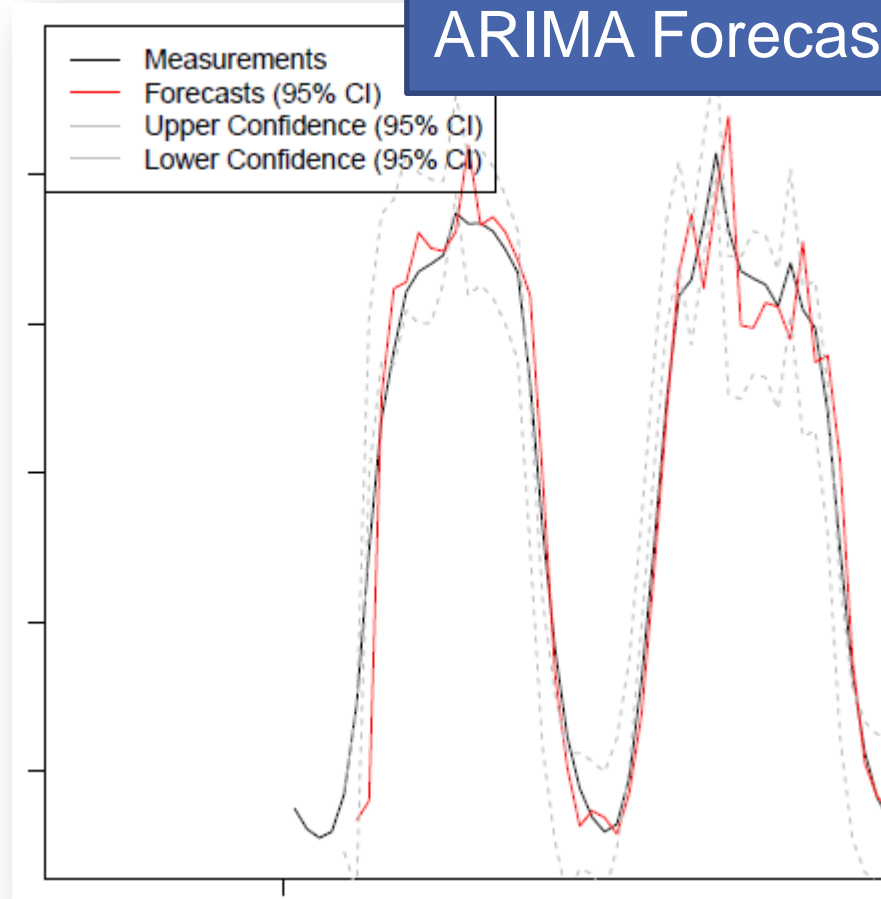


⊙PAD – Time Series Analysis Introduction

Mean Forecaster

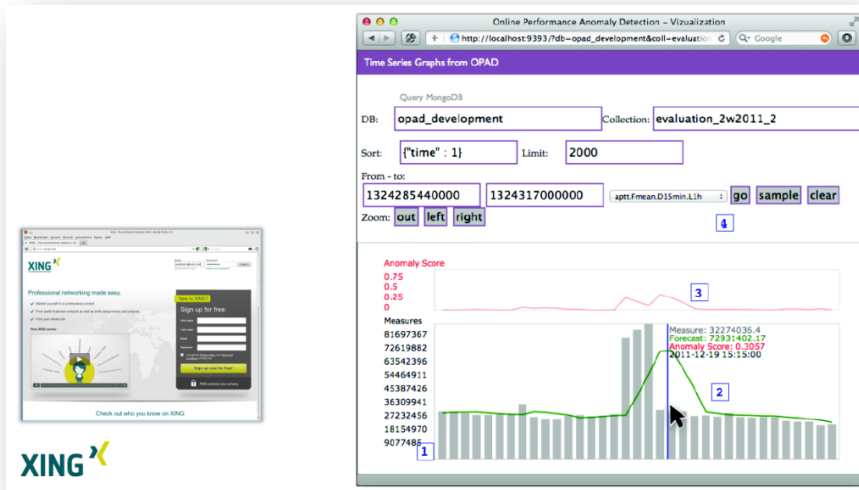


ARIMA Forecaster

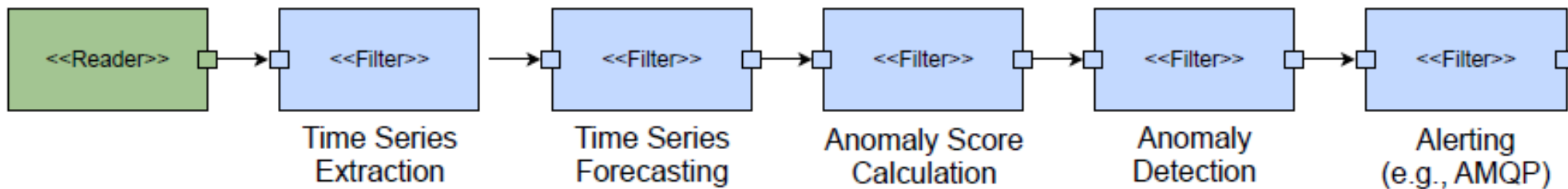


Forecasts for Wikipedia data (Kieker ⊙PAD example)

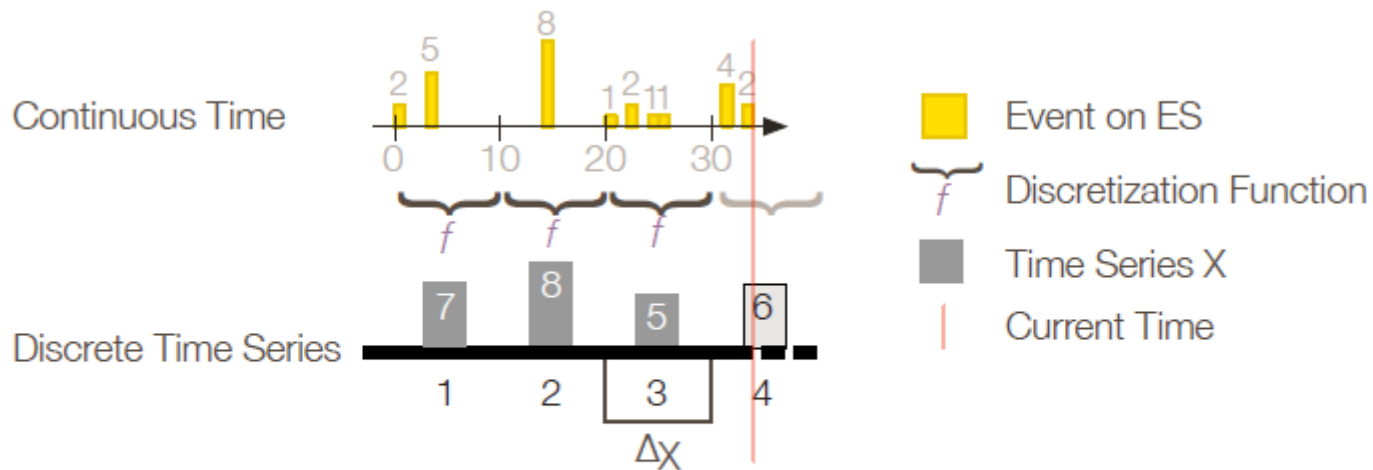
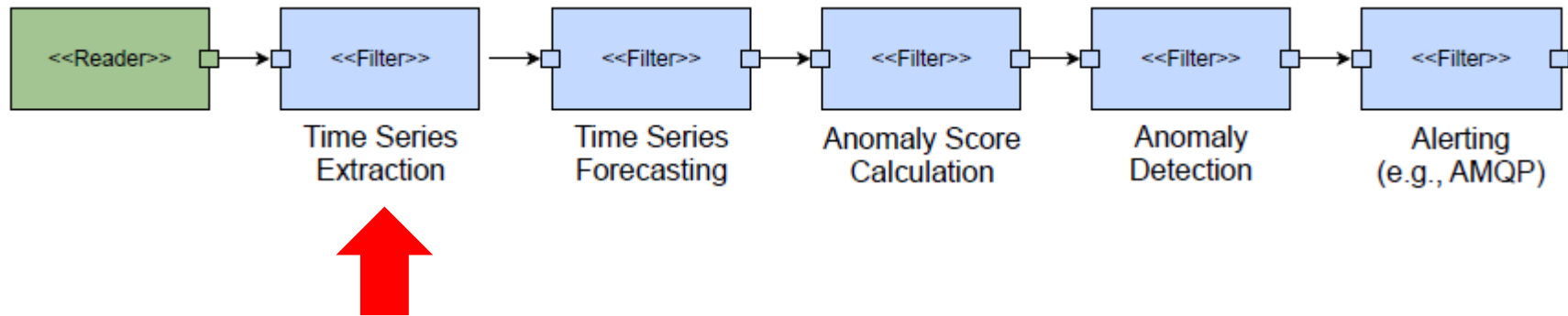
OPAD: Online Performance Anomaly Detection



(Bielefeld, 2012), (Frotscher, 2013)

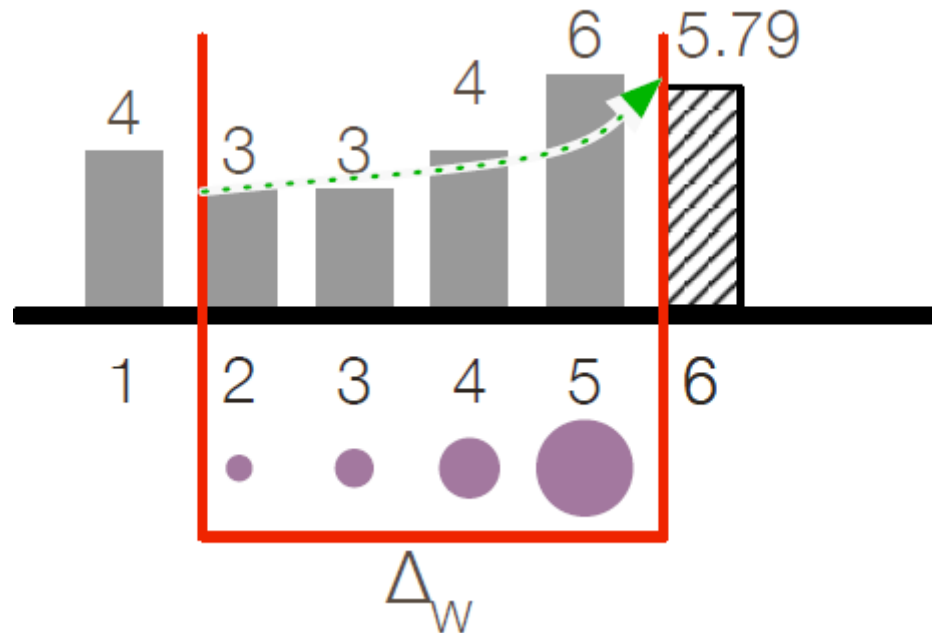
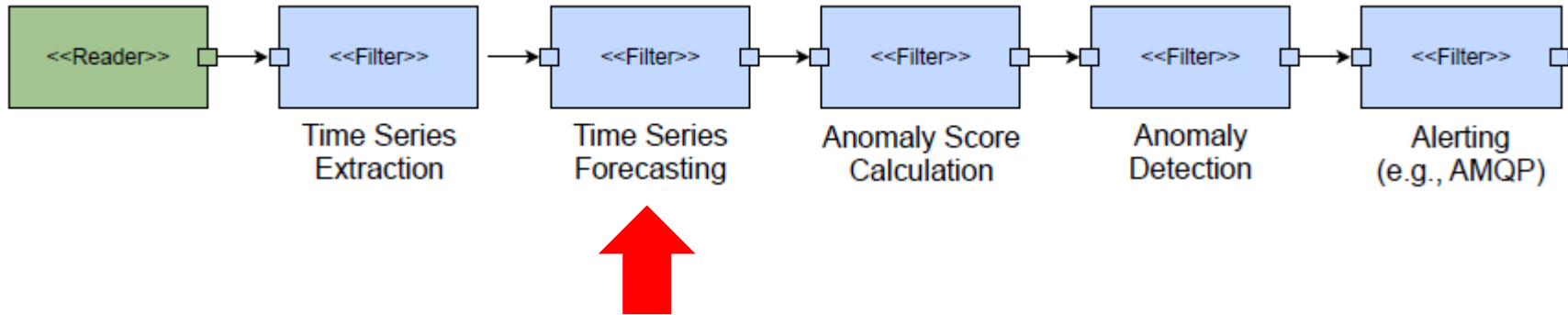


⊙PAD (cont'd) – Time Series Extraction

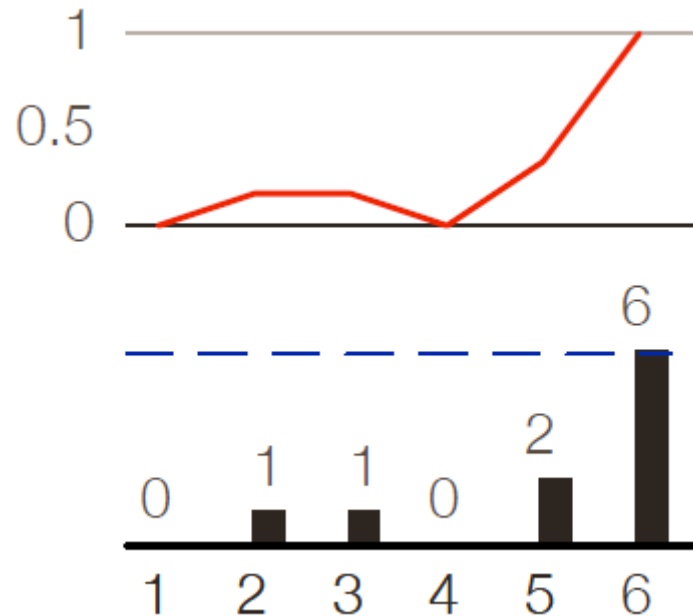
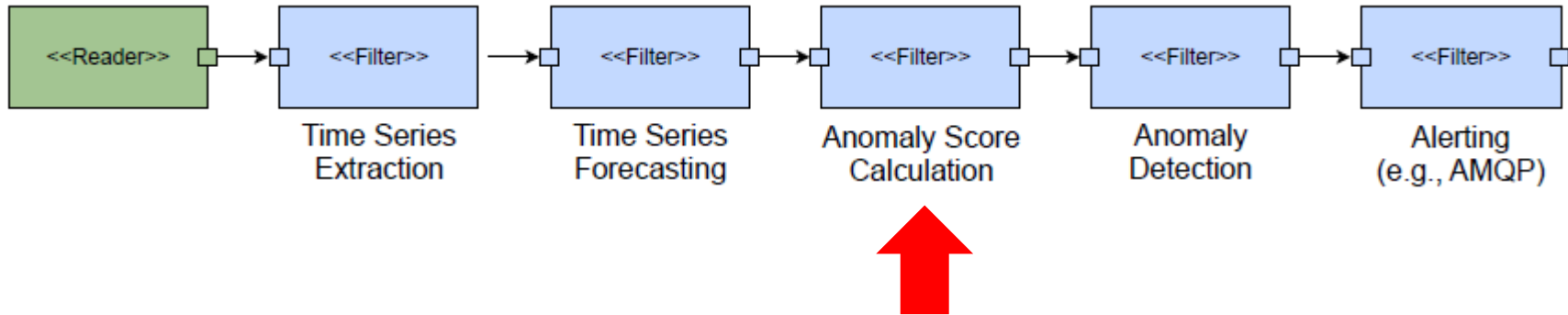


```
select sum(value) as aggregation
from MeasureEvent.win:time_batch( 1000 msec )
```

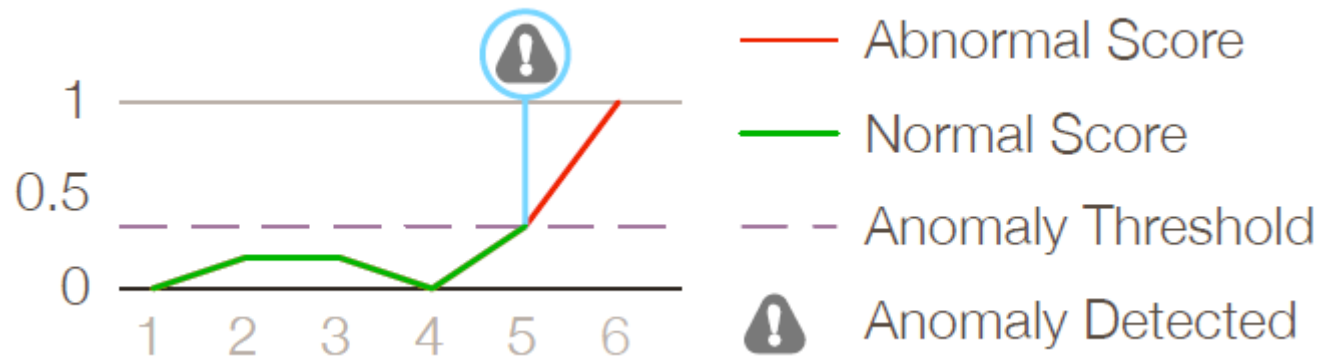
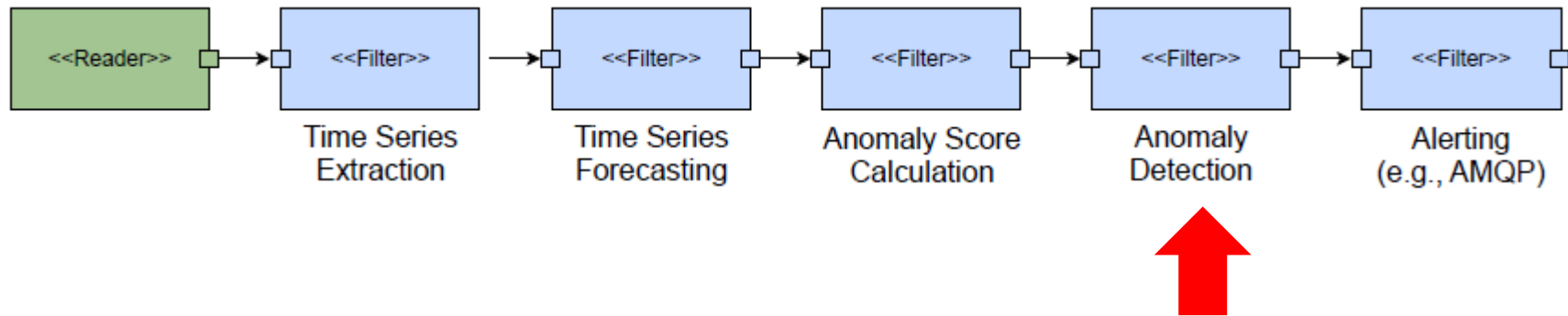

⊙PAD (cont'd) – Time Series Forecasting



⊙PAD (cont'd) – Anomaly Score Calculation

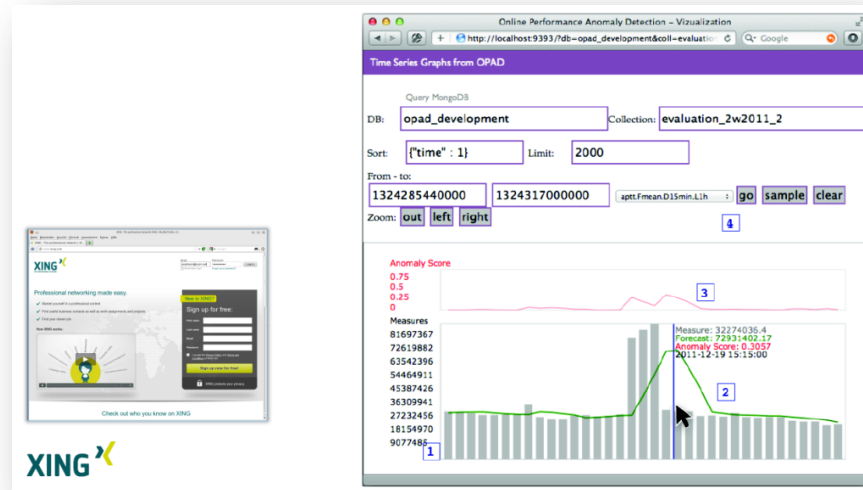


⊕PAD (cont'd) – Anomaly Detection



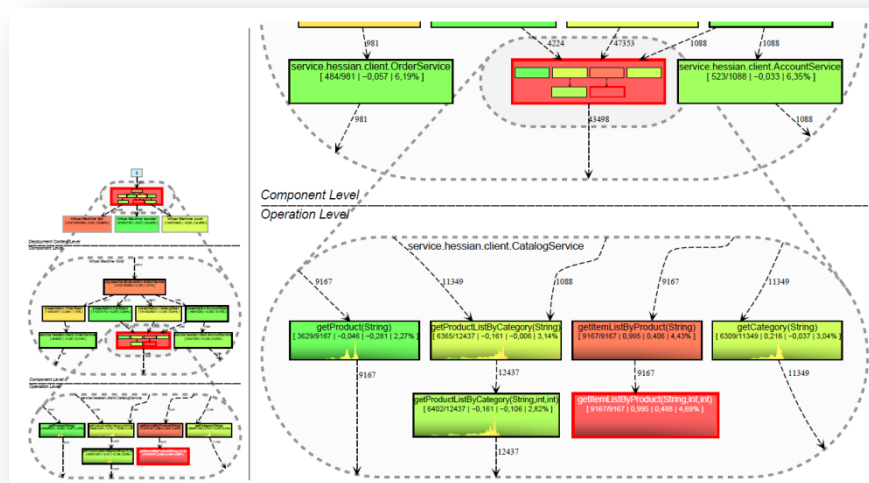
Kieker-based PPD&D Approaches

- Based on time series analysis (various algorithms)
- ⓈPAD part of Kieker release
- Limited to problem detection
- No architecture consideration
- Case study at XING



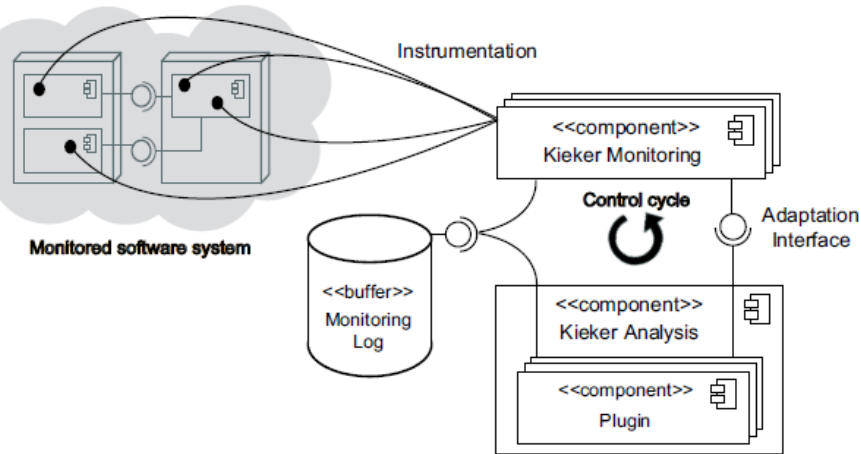
(Bielefeld, 2012), (Frotscher, 2013)

- Incorporates architectural knowledge (e.g., deployment, calling dependencies)
- Focusing on offline analysis
- Cf. Rohr (2015)



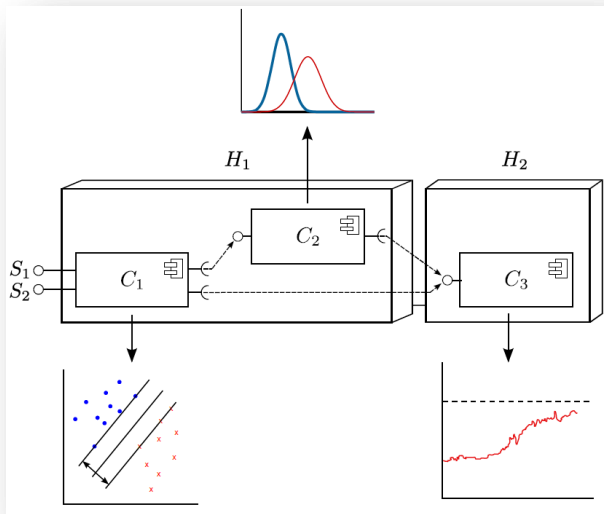
(Marwede et al., 2009)

Kieker-based PPD&D Approaches



(Ehlers et al., 2011, 2012)

- Adaptive monitoring
- OCL-based decisions
- Cf. Okanovic et al. (2013)
- No dynamic (bytecode) instrumentation



(Pitakrat et al., 2013, 2014)

- Proactive, hierarchical
- Inclusion of different statistical techniques (e.g., time series analysis, machine learning)
- Combination of multiple data sources (e.g., HDD SMART, log files) and architectural knowledge

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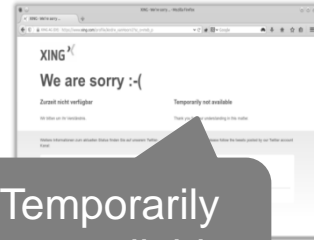
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Summary

- APM is an increasingly important topic
- PPD&D is one APM activity
- Mature APM tools exist
 - Commercial tools
 - Support monitoring for various platforms and technologies
 - Only basic support for problem detection and diagnosis
 - Kieker presented as an extensible open-source example
 - Kieker-based approaches for problem detection and diagnosis

Challenges for APM and PPD&D (Selection)

1. Laborious and Continuous APM Configuration

- APM configuration time consuming and error prone
- Problem intensified due to faster development cycles

2. Problem Detection and Diagnosis

- Alerting thresholds hard to determine and to maintain
- Manual diagnosis requires extensive expert knowledge
- APM experts are scarce goods

3. Detachedness of APM processes

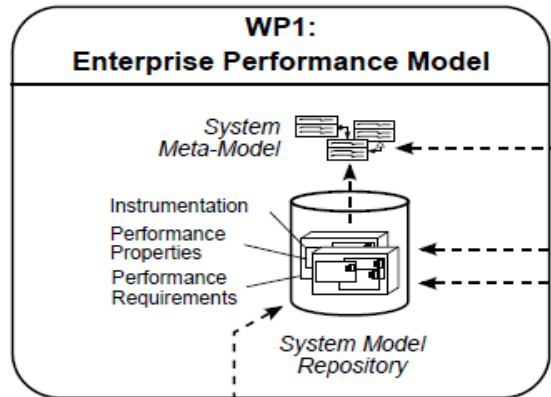
- APM processes often system-specific
- Few possibilities to deposit/reuse expert knowledge

Future Work:



diagnoseit

Expert-Guided Automatic Diagnosis of Performance Problems in Enterprise Applications



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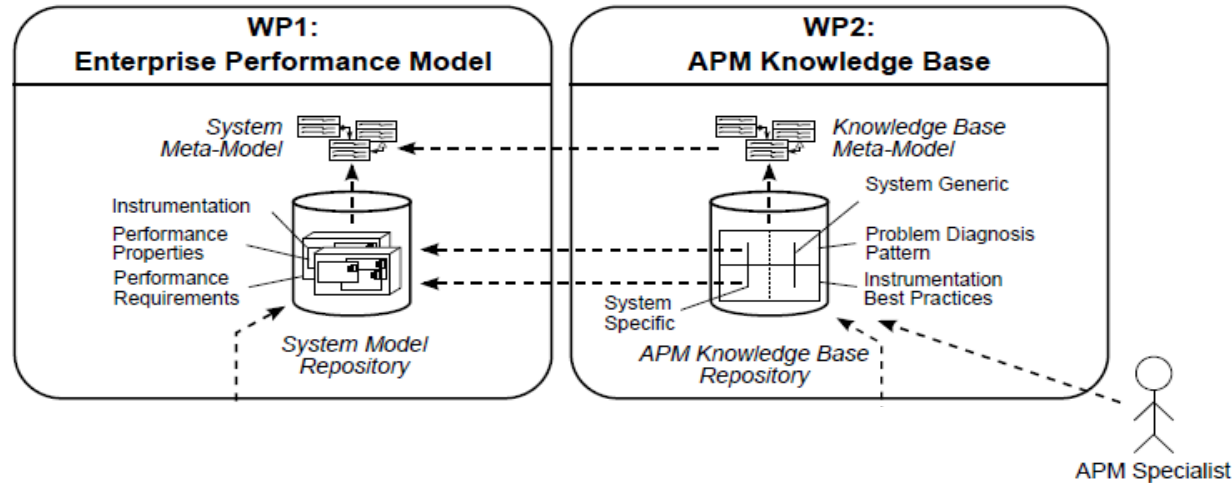
Federal Ministry
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Future Work:



diagnoseit

Expert-Guided Automatic Diagnosis of Performance Problems in Enterprise Applications



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diagnoseit

Expert-Guided Automatic Diagnosis of Performance Problems in Enterprise Applications

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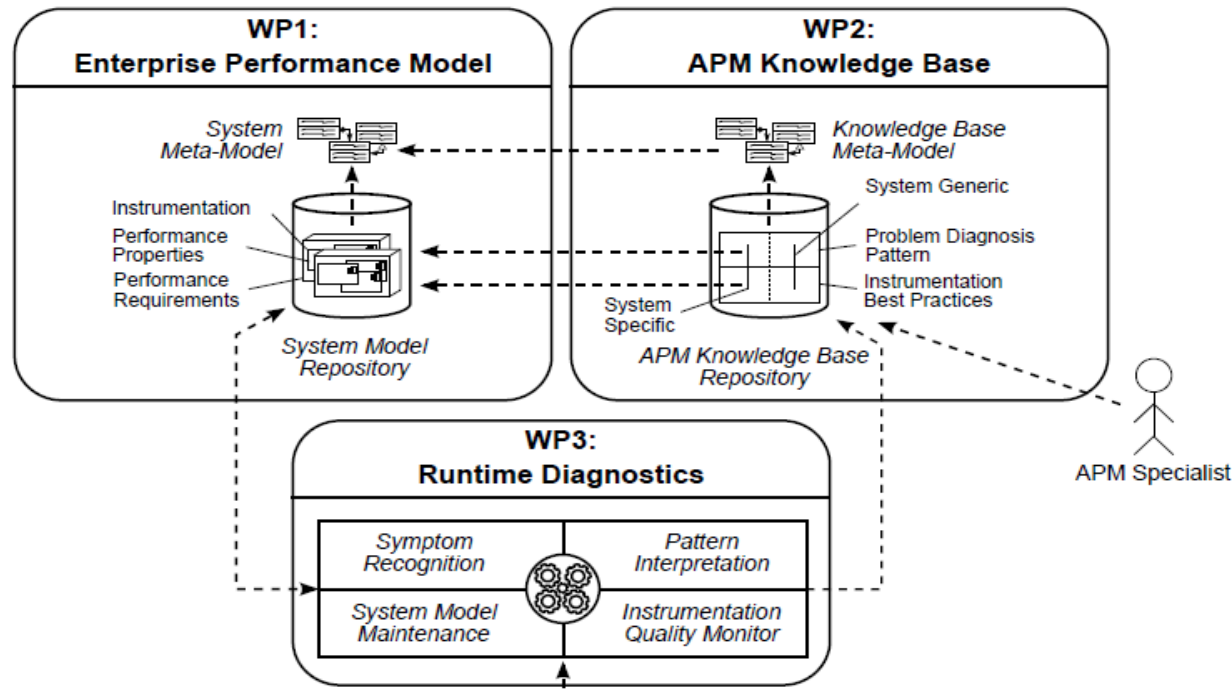
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diagnoseit

Expert-Guided Automatic Diagnosis of Performance Problems in Enterprise Applications

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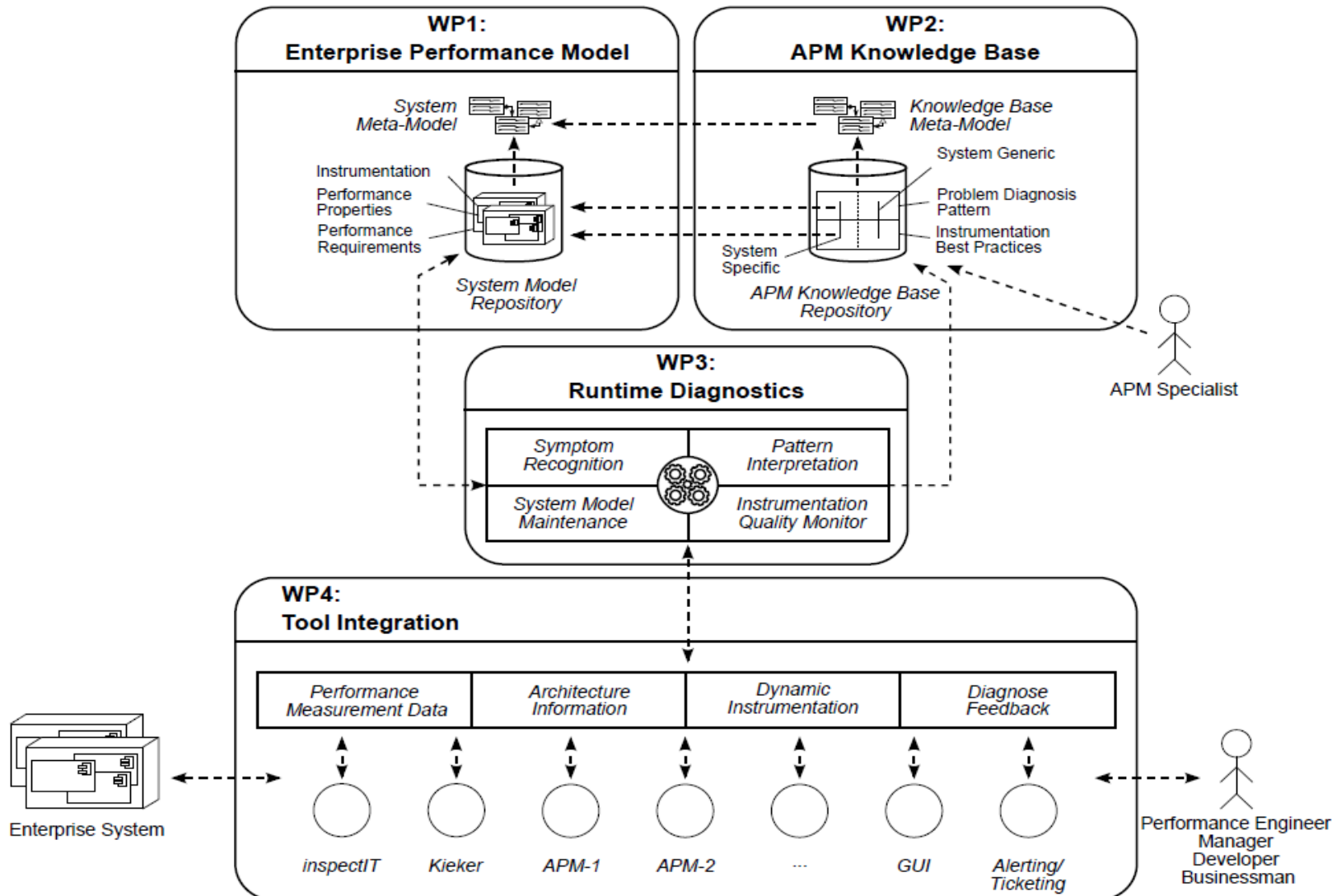
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The End – My APM Wish List

- **Transparency, Openness, Technology Transfer**

- e.g., sharing of best practices (libraries, white papers) for framework-specific instrumentation, problem detection and diagnosis

- **Interoperability**

- e.g., common formats for instrumentation description, configuration, measurement data (traces)

- **Reproducibility, Comparability**

- e.g., sample/benchmark applications and datasets, case studies



SPEC RG DevOps Performance Working Group

<http://research.spec.org/devopswg/>



<http://kieker-monitoring.net>



References

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- **(Fittkau et al., 2014)** F. Fittkau, A. van Hoorn, and W. Hasselbring. Towards a dependability control center for large software landscapes. In Proceedings of the 10th European Dependable Computing Conference (EDCC '14), IEEE, 2014.
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- **(Marwede et al., 2009)** N. S. Marwede, M. Rohr, A. van Hoorn, and W. Hasselbring. Automatic failure diagnosis support in distributed large-scale software systems based on timing behavior anomaly correlation. In Proc. CSMR '09. IEEE, 2009.

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- **(Pitakrat, 2013)** T. Pitakrat. Hora: Online failure prediction framework for component-based software systems based on kieker and palladio. In *Proc. SOSP 2013*. CEUR-WS.org, Nov. 2013.
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- **(Rohr, 2015)** M. Rohr. Workload-sensitive Timing Behavior Analysis for Fault Localization in Software Systems. PhD thesis, Department of Computer Science, Kiel University, Germany, 2015.
- **(Rohr et al., 2010)** M. Rohr, A. van Hoorn, W. Hasselbring, M. Lübcke, and S. Alekseev. Workload-intensity-sensitive timing behavior analysis for distributed multi-user software systems. In *Proc. WOSP/SIPEW '10*. ACM, 2010.

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- **(van Hoorn, 2014)** A. van Hoorn. Model-Driven Online Capacity Management for Component-Based Software Systems. PhD thesis, Department of Computer Science, Kiel University, Germany, 2014
- **(van Hoorn et al., 2009)** A. van Hoorn, M. Rohr, W. Hasselbring, J. Waller, J. Ehlers, S. Frey, and D. Kieselhorst. Continuous monitoring of software services: Design and application of the Kieker framework. TR-0921, Department of Computer Science, University of Kiel, Germany, 2009.
- **(van Hoorn et al., 2012)** A. van Hoorn, J. Waller, and W. Hasselbring. Kieker: A framework for application performance monitoring and dynamic software analysis. In Proc. ACM/SPEC ICPE '12. ACM, 2012.
- **(Wulf, 2012)** C. Wulf. Runtime visualization of static and dynamic architectural views of a software system to identify performance problems. B.Sc. Thesis, University of Kiel, Germany, 2010.

BONUS/BACK-UP

Netflix OSS Recipes Application (Motivating Example)

Netflix OSS RSS Reader

Enter the feed URL

NBCNews.com: Top NBCNews headlines

[Returning troops face 'white knuckled' first weeks](#)

[Country singer Mindy McCready dead in apparent suicide](#)


[Another meteor? 'Fireballs' light up Florida sky](#)

[Sources: Dornier tried to charm his way to Mexico](#)

[Gun suspect dead after hospital lockdown](#)

TechCrunch » Startups

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 Country singer Mindy McCready was found dead Sunday 'from what appears to be a single self-inflicted gunshot wound,' police said.

[Fly Your Flag? Let's See Some European Country Pavilions At Disrupt In New York](#)

[Iterations: How Founders Can Fight Through The Great Fragmentation Of Talent](#)

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[President Obama enjoys guys' weekend, golfs with Tiger Woods](#)

[?Saturday Night Live? on Marco Rubio's slip](#)

Netflix Inc. 2013

Source: <http://techblog.netflix.com/2013/03/introducing-first-netflix-oss-recipe-rss.html>

Sample Applications and Recipes

RECIPES-RSS



Recipes-rss

RSS Reader Recipes that uses several of the Netflix OSS components [More Info](#)

Stars: 191

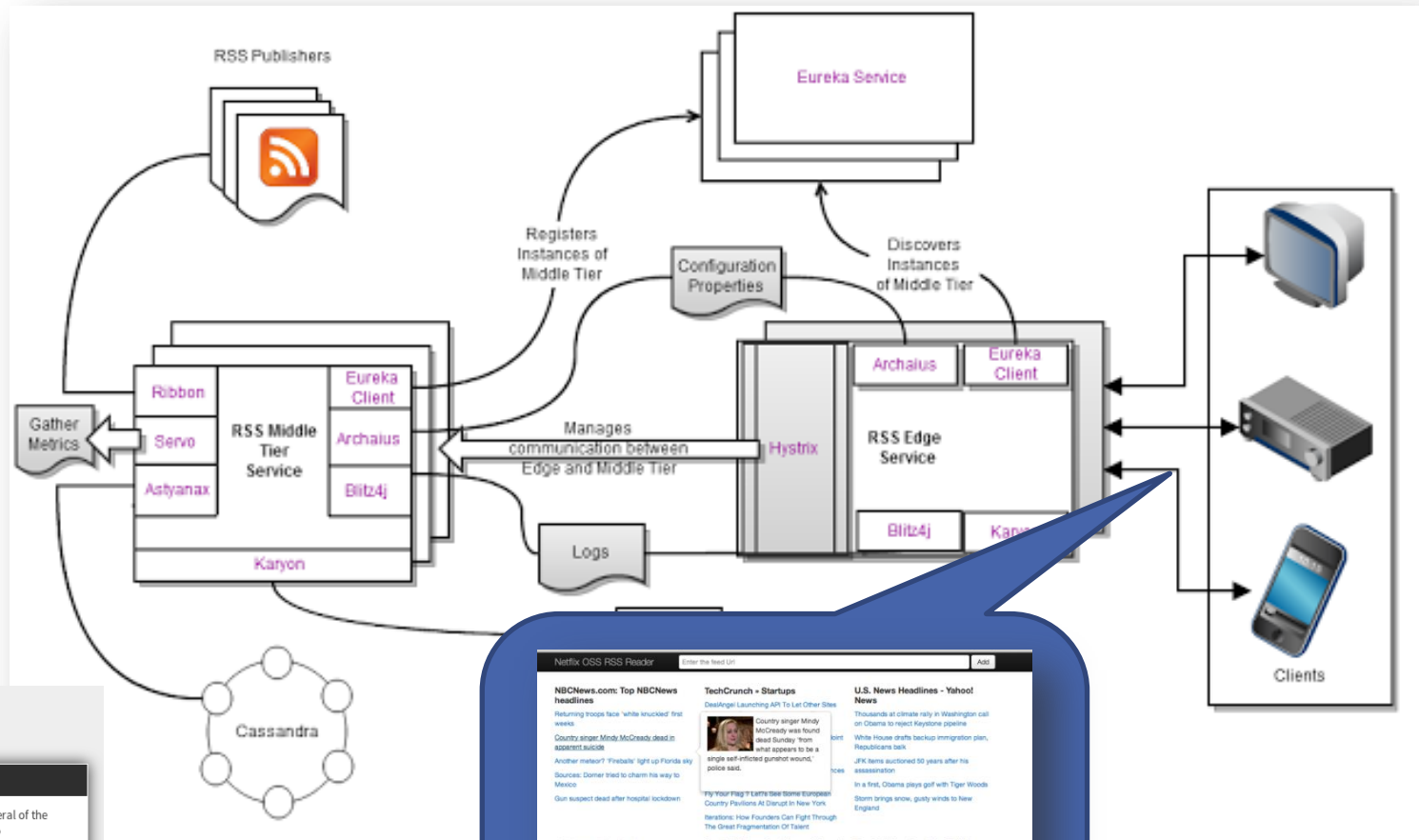
Forks: 55

Language: Java

Open Issues: 4

Updated: 03/11/15 @07:15:43

Netflix OSS Recipes Application (cont'd)



Sample Applications and Recipes

RECIPES-RSS

Recipes-rss

RSS Reader Recipes that uses several of the Netflix OSS components More Info

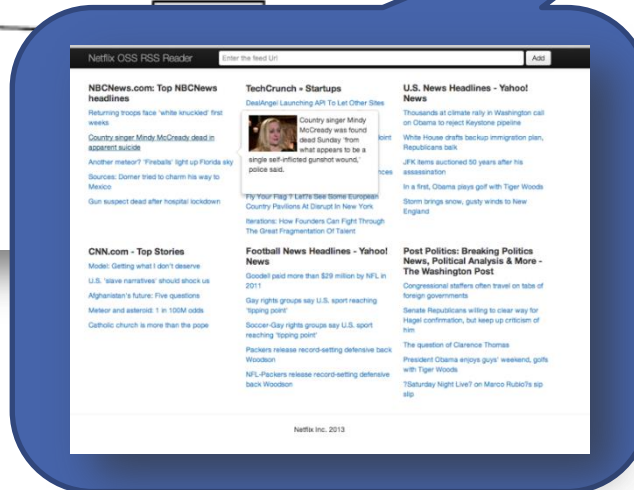
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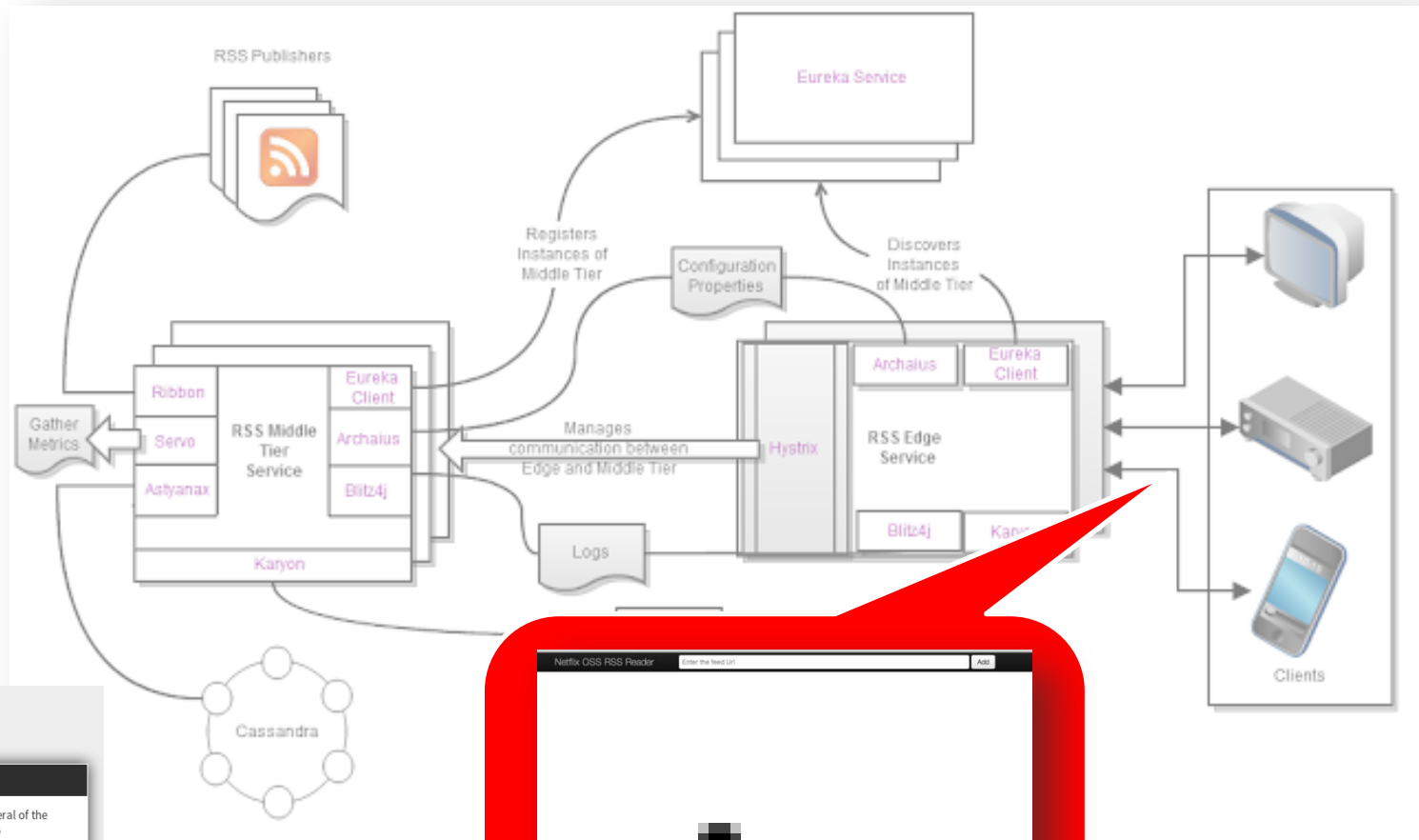
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Updated: 03/11/15 @07:15:43



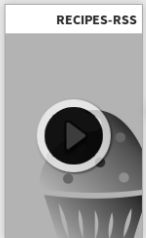
Source: <https://github.com/Netflix/recipes-rss/wiki/Architecture>

Problem Symptom 1: Increased Response Times



Source: <https://github.com/Netflix/recipes-rss/wiki/Architecture>

Sample Applications and Recipes

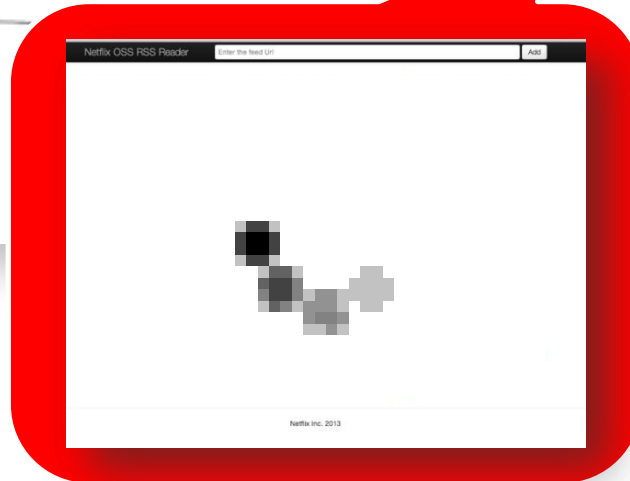


Recipes-rss

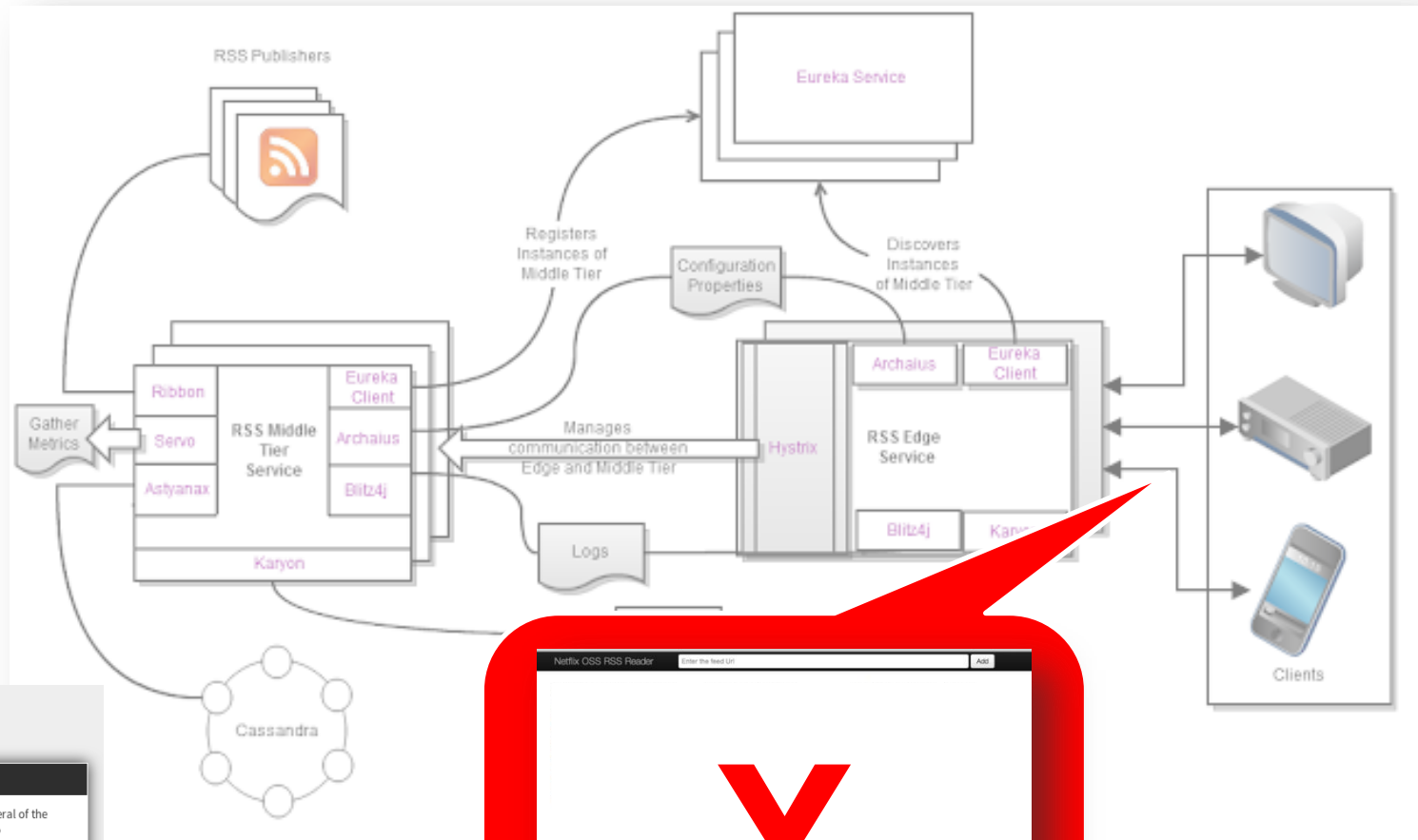
RSS Reader Recipes that uses several of the Netflix OSS components [More Info](#)

Stars: 191
Forks: 55
Language: Java
Open Issues: 4
Updated: 03/11/15 @07:15:43

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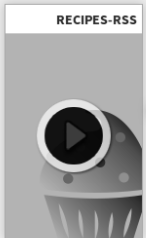


Problem Symptom 2: Service Unavailability



Source: <https://github.com/Netflix/recipes-rss/wiki/Architecture>

Sample Applications and Recipes

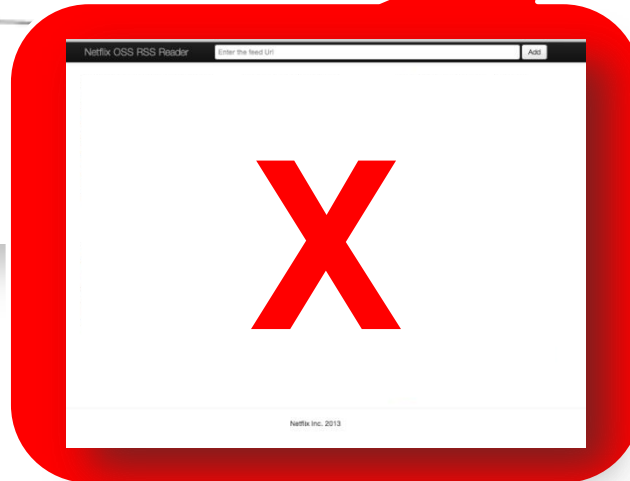


Recipes-rss

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Problem Detection and Diagnosis Approaches

- Features
 - Reactive vs. proactive
 - Manual vs. automatic
 - State-based vs. transaction-based etc.
- Statistical techniques
 - Time series analysis
 - Anomaly detection (incl. change detection)
 - Machine learning etc.

Example Kieker Plots for Netflix OSS Recipes Application

Edge

Middletier

