# Good Scientific Data Management Practice

Prof. Dr. Wilhelm (Willi) Hasselbring Software Engineering Group

http://se.informatik.uni-kiel.de/

ISOS, March 22th, 2017













22.03.2017 W. Hasselbring

# Agenda

- What's the problem / challenge that I'm talking about?
  - And what I'm not talking about today ...
- What's the current state?
  - And what you could already do ...
- What to expect in the future?
  - And what you could do ...

# Scientific misconduct and other challenges

- There exist several examples of scientific misconduct, such as the case Jan Hendrik Schön.
  - I skip that part in my presentation.
- However, there are also other challenges to obey the rules of good scientific practice,
  - that are not scientific misconduct.
- Let's take a look at an example from the work of one of my former Ph.D. students...

# A Challenge for Arne's PhD research

#### Helmholtz Ocean System Science and Technology











#### Marine Biology Research

Publication details, including instructions for authors and subscription information: <a href="http://www.tandfonline.com/loi/smar20">http://www.tandfonline.com/loi/smar20</a>

Estimating the horizontal and temporal overlap of pelagic fish distribution in the Norwegian Sea using individual-based modelling

Kjell Rong Utne a & Geir Huse a

<sup>a</sup> Institute of Marine Research, Bergen, Norway Version of record first published: 25 Apr 2012.

http://dx.doi.org/10.1080/17451000.2011.639781

- Utne & Huse provide an abstract (in part mathematical) description of their individual-based model, but:
  - We cannot reconstruct the implementation from the provided information
  - Sources for calibration data are named (some are unpublished) but again we cannot reconstruct the specific input data and parameters used.
- Without releasing the source code and the input/configuration data of the model, reproducibility of the results is hard or even impossible.

## Reproducibility to Rectify Errors

PNAS 2016 vol. 113 no. 28 7900–7905, **DOI**: 10.1073/pnas.1602413113

Cluster failure: Why fMRI inferences for spatial extent have inflated false-positive rates

Anders Eklund<sup>a,b,c,1</sup>, Thomas E. Nichols<sup>d,e</sup>, and Hans Knutsson<sup>a,c</sup>

#### Significance

Functional MRI (fMRI) is 25 years old, yet surprisingly its most common statistical methods have not been validated using real data. Here, we used resting-state fMRI data from 499 healthy controls to conduct 3 million task group analyses. Using this null data with different experimental designs, we estimate the incidence of significant results. In theory, we should find 5% false positives (for a significance threshold of 5%), but instead we found that the most common software packages for fMRI analysis (SPM, FSL, AFNI) can result in false-positive rates of up to 70%. These results question the validity of some 40,000 fMRI studies and may have a large impact on the interpretation of neuroimaging results.

Genome Biology 2016 17:177 **DOI:** 10.1186/s13059-016-1044-7

# Gene name errors are widespread in the scientific literature

Mark Ziemann<sup>1</sup>, Yotam Eren<sup>1,2</sup> and Assam El-Osta<sup>1,3\*</sup>

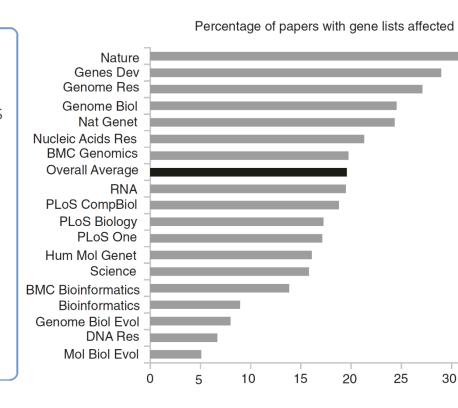
#### **Abstract**

The spreadsheet software Microsoft Excel, when used with default settings, is known to convert gene names to dates and floating-point numbers. A programmatic scan of leading genomics journals reveals that approximately one-fifth of papers with supplementary Excel gene lists contain erroneous gene name conversions.

**Keywords:** Microsoft Excel, Gene symbol, Supplementary data

Abbreviations: GEO, Gene Expression Omnibus;

JIF, journal impact factor



# Recommendations of the Commission on Professional Self Regulation in Science

#### Proposals for Safeguarding Good Scientific Practice January, 1998



#### Recommendation 7 (of 16):

- Primary data as the basis for publications shall be securely stored for ten years in a durable form in the institution of their origin.
- Experiments and numerical calculations can only be repeated if all important steps are reproducible.
   For this purpose, they must be recorded.

(Source: http://doi.org/10.1002/9783527679188.oth1)

"If I have seen further it is by standing on the shoulders of giants."

Isaac Newton, 1676

Science 2 December 2011:

Vol. 334 no. 6060 pp. 1226-1227

DOI: 10.1126/science.1213847

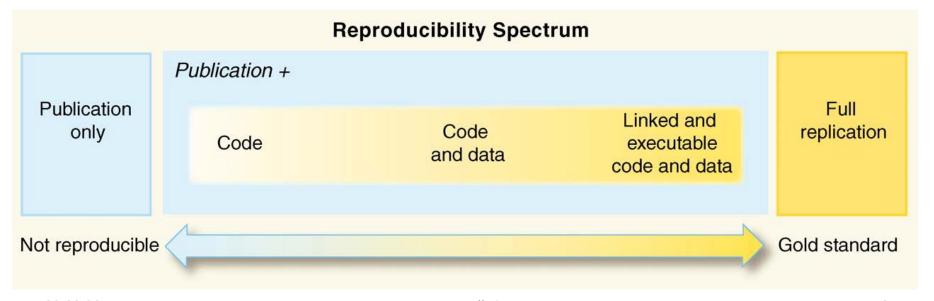


#### PERSPECTIVE

#### Reproducible Research in Computational Science

Roger D. Peng

"Replication is the ultimate standard by which scientific claims are judged."



# So, what's the problem / challenge that I'm talking about?



- For good scientific practice, it is important that research results may be
  - properly checked by reviewers and
  - possibly repeated and extended by other researchers.
  - This is of particular interest for "digital science" i.e. for in silico experiments
  - How can Software Systems and **Services Contribute?**

# What I'm not talking about?

Software and services for detecting plagiarism, such as

http://plagiarism-detector.com/



https://www.plagaware.com/



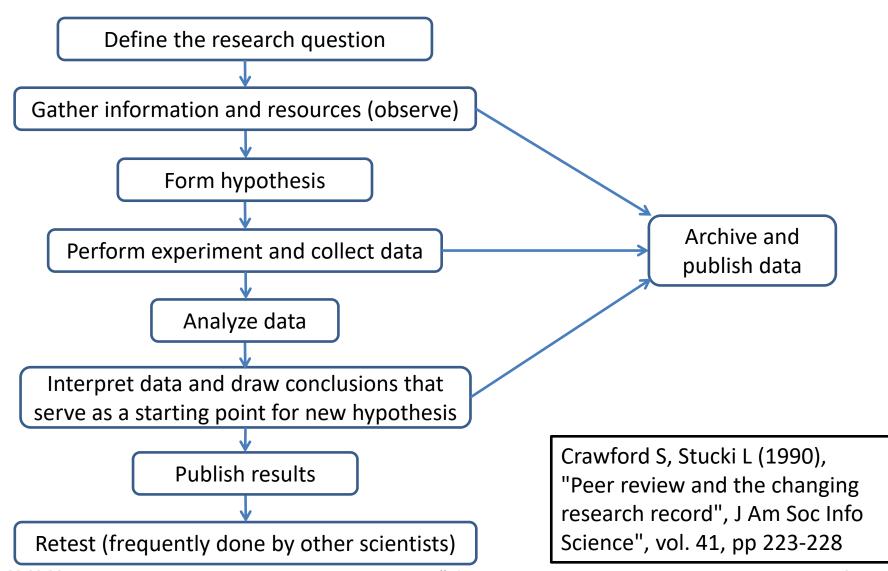
# What I'm not talking about?

- Establishing Software Engineering best practices in computational science, such as
  - Version and configuration management [Ploski et al. 2007]
  - Quality management
     [Waller et al. 2015, Hasselbring & Steinacker 2017]
  - Software architecture design and modeling [Hasselbring 2002, Hasselbring 2006, Reussner & Hasselbring 2008]
  - Domain-specific programming languages [Johanson & Hasselbring 2016, Johanson et al. 2016b, Johanson et al. 2017a]
  - Parallel and distributed programming
     [Hasselbring 1994, Hasselbring 2000, Wulf et al. 2016]
- To learn about such topics, you may attend my regular lectures (BSc, MSc) in Computer Science

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## Research Workflows



# Data Repositories (Services): Examples [Registry: http://www.re3data.org/]

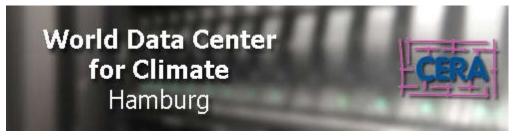


#### **PANGAEA®**

#### Data Publisher for Earth & Environmental Science

https://www.pangaea.de/





https://www.dkrz.de/daten/wdcc/





http://zenodo.org/

#### Virtuelle Forschungsumgebung in Kiel

Projekt

Workshops

Forschungsdatenmanagement

Aktivitäten

Kolloguium

Forschungsdatenmanagement

Umfrage zum Umgang mit Forschungsdaten

Kontext und Informationen

Plattform Data Management

Partner

Team

#### Forschungsdatenmanagement

Forschungsdaten sind die Daten, die in einer Virtuellen Forschungsumgebung vorgehalten werden, und sind die Grundlage wissenschaftlichen Arbeitens.

Allgemeine Informationen zum Forschungsdatenmanagement und Informationen mit Bezug zum Forschungsstandort Kiel sind auf den folgenden Seiten zusammengestellt

#### Aktivitäten

Lokale Angebote, und Information zu Vernetzungen und Kooperationen über den Standort Kiel hinaus sind iher gelistet.

#### Kolloquium Forschungsdatenmanagement

Das Kolloquium Forschungsdatenmanagement ist eine regelmäßig stattfindende Veranstaltungsreihe, in der die Möglichkeit zum Austausch über den Umgang mit Forschungsdaten über die Grenzen der verschiedenen Disziplinen an der CAU und ein Forum zur Diskussion aktueller Themen geboten werden.

#### Umfrage zum Umgang mit Forschungsdaten

Zur Ermittlung der vielfältigen Anforderungen der verschiedenen Fachdisziplinen wurde eine eine Umfrage zum Thema 'Umgang mit Forschungsdaten am Forschungsstandort Kiel' durchgeführt.

#### Kontext und Information

Nationale, europäische und internationale Rahmenbedingungen und damit zusammenhängende Informationen zum Thema Forschungsdaten sind ■ hier gelistet.

#### **Plattform Data Management**

Die Plattform Data Management bündelt die Kompetenzen bereits existierender Datenmanagement-Initiativen der Forschungsschwerpunkte Kiel Marine Science, Kiel Life Science und SECC mit dem Ziel, ein einrichtungsübergreifendes Forschungsdatenmanagement für den Forschungsstandort Kiel zu entwickeln und etablieren.

Neben der Neben der Kiel Data Management Infrastructure (KDMI) für Mereswissenschaften bilden die NEG-Projekte TrubFlow' der AG Software Engineering und Trußkiel des Servicezentrums Ferschung und Innovation und Rechenzentrums die wesentliche Grundlage für flexibles Forschungsdatenmanagement an der CAU.

- **I**✓ Ziele
- Aufgaben
- Leitlinie zum Umgang mit Forschungsdaten
- AG Forschungsdatenmanagement
- **III** Kolloquium Forschungsdatenmanagement
- Projekte

Kontakt

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- □
   □ tpaul-stueve@uv.unikiel.de



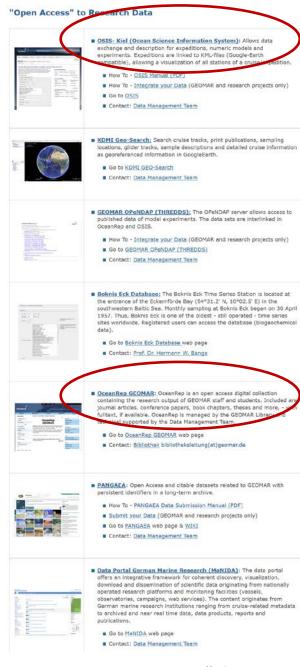
- AG Datenmanagement
- Exzellenzcluster "Ozean der Zukunft"
- Exzellenzcluster
  "Entzündungen an
  Grenzflächen"
- KDMI Kiel Data Management Infrastruktur
- PubFLow Publication
  Workflows for Scientific Data
- **DFG** Deutsche Forschungsgemeinschaft

http://www.uni-kiel.de/vfu/de/forschungsdatenmanagement

#### Kiel Data Management Portal

# Refer to the "hands on" seminars

https://portal.geomar.de/



#### "Access Controlled" Research Data (ongoing research)



- DSHIP land system: Contains tracks, stations and underway measurements from cruises by German research vessels.
- How To DSHIP Manual (PDF)
- Go to DSHIP web page
- Contact: Data Management Team



- Media Server (ProxSys): The media server stores videos and images with metadata description and provides access to larger collections for image reusage in other applications such as DIAS or GEOMAR image database.
  - How To ProxSys Manual (PDF)
  - How To Integrate your Data (GEOMAR and research projects only)
  - Go to Media Server web page
  - Contact: Data Management Team



- DIAS: A web based image annotation system under current development for marine research.
  - Go to DIAS web page
  - Contact: Dr. Timm Schöning



- Version Control: <u>Subversion</u> & <u>GitLab</u> (Version Control System for source code -models, scripts, matlab...-).
  - How To Subversion Manual and GitLab Manual
  - How To Integrate your Data (GEOMAR and research projects only)
  - Go to Subversion web page or GitLab web page
  - Contact: Data Management Team



- MEMENTO (Marine Methane an Nitrous Oxide): MEMENTO aims to become a valuable tool for identifying regions of the world ocean that should be targeted in future work to improve the quality of air-sea flux estimates.
  - Go to MEMENTO web page
  - Contact: Dr. Annette Kock

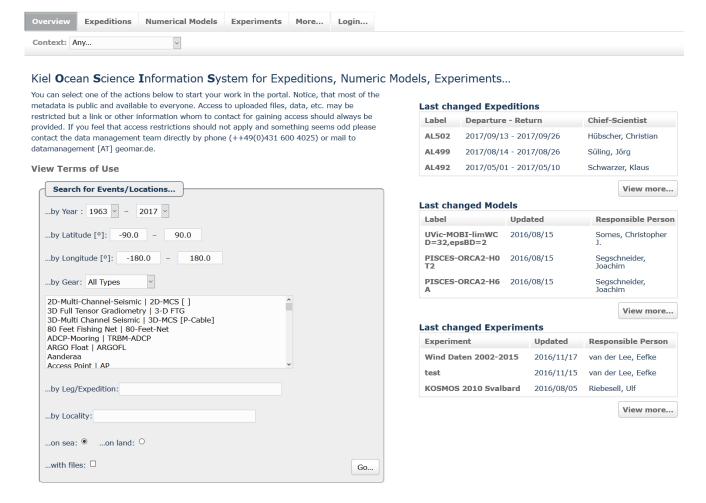


- CVOO database: The database for CTD bottle data allows search and export of environmental data from selected cruises in the context of a research project.
  - Go to CVOO database
  - Contact: Dr. Björn Fiedler



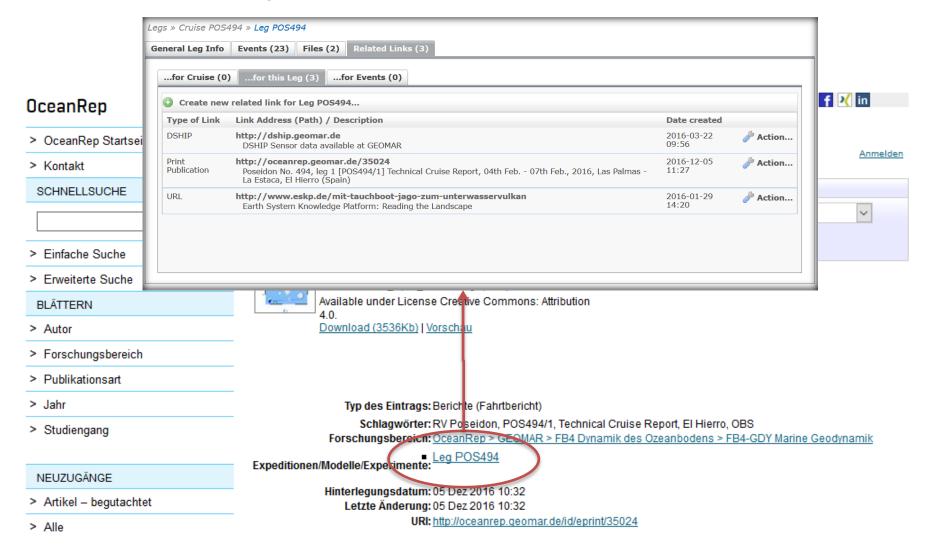
- <u>Cyclone database</u>: Cyclone track database of the Northern hemisphere derived from ERA/Interim or NCEP reanalyses. Tracks of a certain area can be searched, exported and displayed in Google Earth.
  - Go to Cyclone database
- Contact: Dr. Andreas Lehmann

# Kiel Data Management Infrastructure – OSIS: Ocean Science Information System

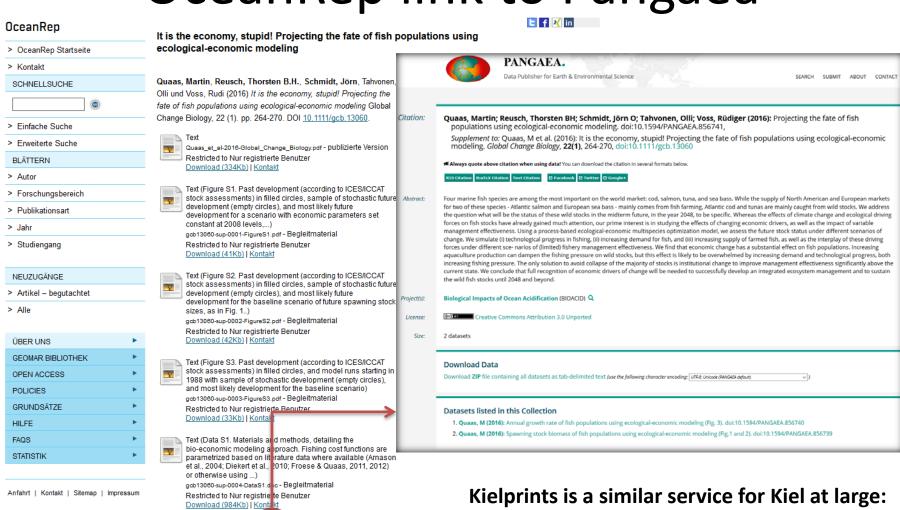


Source: Kiel Data Management Team, https://portal.geomar.de/kdmi

## OceanRep link to OSIS data, and back



# OceanRep link to Pangaea



Kielprints is a similar service for Kiel at large http://eprints.uni-kiel.de

Supplementary Data:

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## **Toward Publication Workflows**

#### Funded:

Software Engineering Group, University Kiel

#### **Associated:**

- Excellence cluster "Future Ocean"
- Data and computing center of GEOMAR
- Library of GEOMAR
- Computing center of University Kiel
- Library of University Kiel
- ZBW
   German National Library of Economics Leibniz Information Centre for Economics



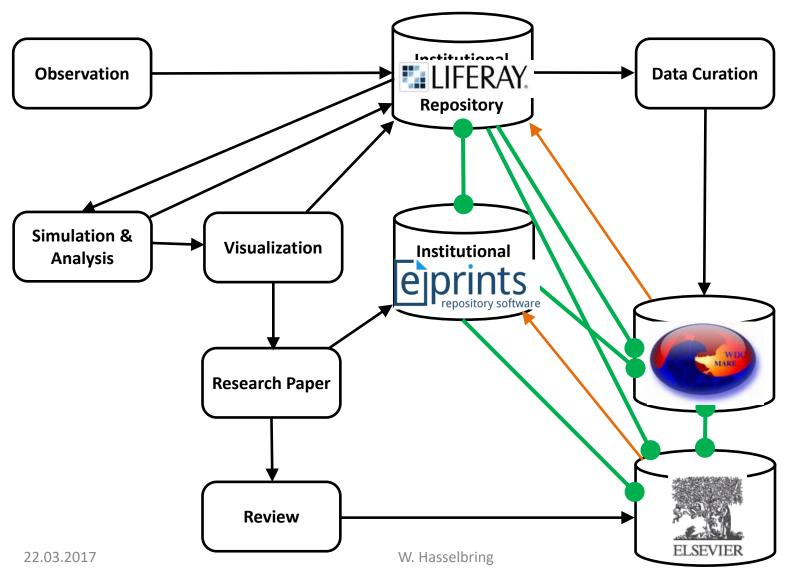




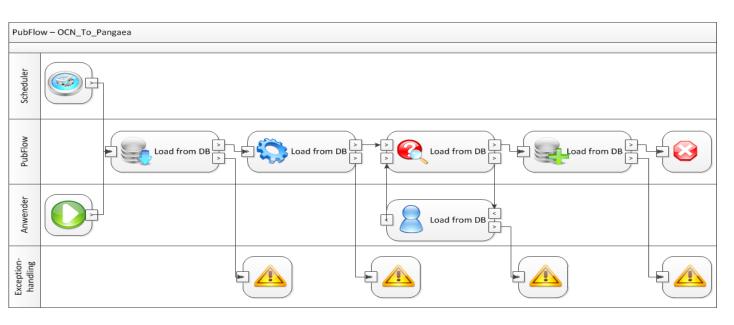


http://www.pubflow.uni-kiel.de/ [Brauer & Hasselbring 2013]

# Data and Paper Flow (in Ocean Science)



## CTD Workflow

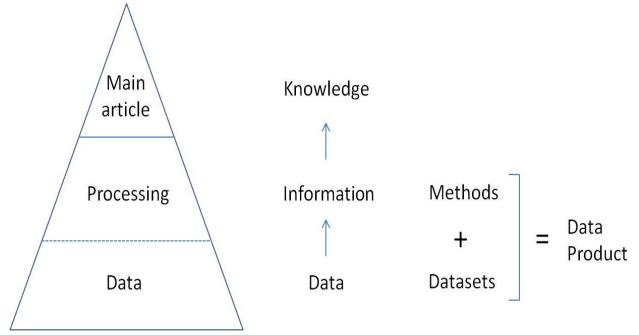








# Need to save data + processing (not yet addressed in PubFlow)



Algorithms + Data Structures = Programs

Source: Kunze, John A; Cruse, Patricia; Hu, Rachael; Abrams, Stephen; Hastings, Kirk; Mitchell, Catherine; et al. (2011). Practices, Trends, and Recommendations in Technical Appendix Usage for Selected Data-Intensive Disciplines. http://escholarship.org/uc/item/9jw4964t

# PERSPECTIVE



## The case for open computer programs

Darrel C. Ince<sup>1</sup>, Leslie Hatton<sup>2</sup> & John Graham-Cumming<sup>3</sup>

- "We argue that, with some exceptions, anything less than the release of source programs is intolerable for results that depend on computation.
- The vagaries of hardware, software and natural language will always ensure that exact reproducibility remains uncertain,
  - but withholding code increases the chances that efforts to reproduce results will fail."

DOI:10.1145/2658987

Shriram Krishnamurthi and Jan Vitek

# Viewpoint The Real Software Crisis: Repeatability as a Core Value

Sharing experiences running artifact evaluation committees for five major conferences.

"Science advances faster when we can build on existing results, and when new ideas can easily be measured against the state of the art."

Repeatability, not necessarily reproducibility

Several ACM SIGMOD, SIGPLAN, and SIGSOFT conferences have initiated artifact evaluation processes.

## What are we doing?

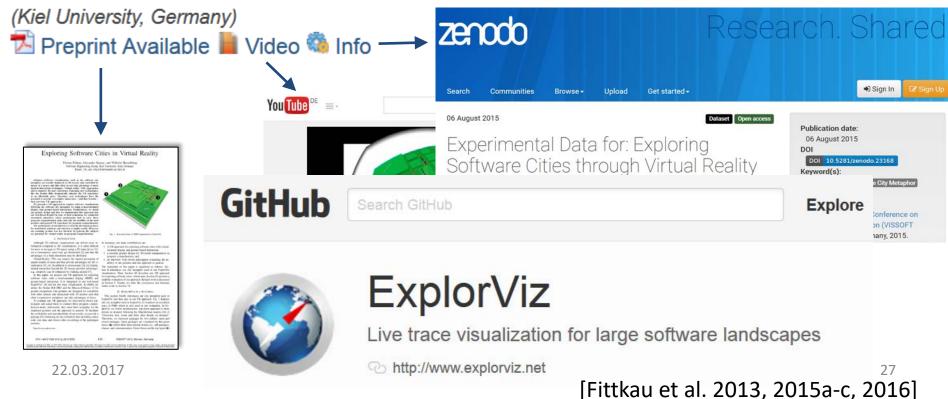
# Hierarchical Software Landscape Visualization for System Comprehension: A Controlled Experiment

Florian Fittkau, Alexander Krause, and Wilhelm Hasselbring Software Engineering Group, Kiel University, Kiel, Germany Email: {ffi, akr, wha}@informatik.uni-kiel.de



#### Exploring Software Cities in Virtual Reality

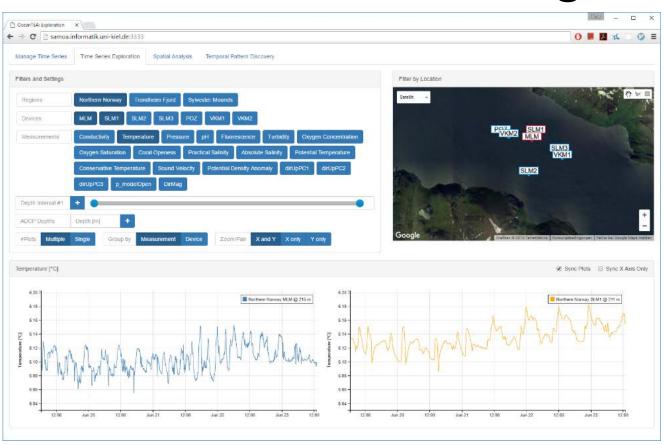
Florian Fittkau, Alexander Krause, and Wilhelm Hasselbring



# Cloud-Based Platform for Repeatable Ocean Observation Data Processing

#### OceanTEA





https://github.com/a-johanson/oceantea [Johanson et al. 2016a]



#### Publishing:

- Paper: https://www.journals.elsevier.com/ecological-informatics/
- Code: https://github.com/a-johanson/oceantea
- Software service with data: http://maui.se.informatik.uni-kiel.de:9090/

## Modeling Polyp Activity of *Paragorgia arborea*Using Supervised Learning

Arne Johanson,<sup>a</sup> Sascha Flögel,<sup>b</sup> Wolf-Christian Dullo,<sup>b</sup> Peter Linke,<sup>b</sup> Wilhelm Hasselbring<sup>a</sup>

<sup>a</sup> Software Engineering Group, Kiel University, Germany
<sup>b</sup> GEOMAR Helmholtz Centre for Ocean Research, Kiel, Germany

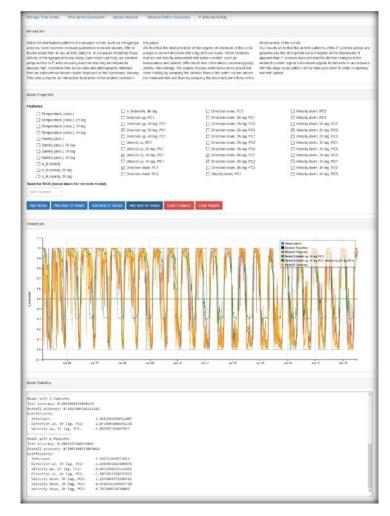
Abstract—While the distribution patterns of cold-water corals, such as Paragorgia arborea, have received increasing attention in recent studies, little is known about their in situ activity patterns. In this paper, we examine polyp activity in P. arborea using machine learning techniques to analyze high-resolution time series data and photographs obtained from an autonomous lander cluster deployed in the Stjernsund, Norway. An interactive illustration of the models derived in this paper is provided online as supplementary material.

We find that the best predictor of the degree of extension of the coral polyps is current direction with a lag of three hours. Other variables that are not directly associated with water currents, such as temperature and salinity, offer much less information concerning polyp activity. Interestingly, the degree of polyp extension can be predicted more reliably by sampling the laminar flows in the water column above the measurement site than by sampling the more turbulent flows in the direct vicinity of the corals.

Our results show that the activity patterns of the *P. arborea* polyps are governed by the strong tidal current regime of the Stjernsund. It appears that *P. arborea* does not react to shorter changes in the ambient current regime but instead adjusts its behavior in accordance with the large-scale pattern of the tidal cycle itself in order to optimize nutrient uptake.

#### 1 Introduction

Cold-water corals (CWCs) such as *Paragorgia arborea* and *Lophelia pertusa* can be found on continental shelves, slopes, and seamounts all over the world. Like tropical coral reefs, which inhabit shallower and warmer waters, CWC reefs are associated with high biodiversity as they provide habitat to many other species (Roberts and Cairns 2014; Roberts et al. 2006).





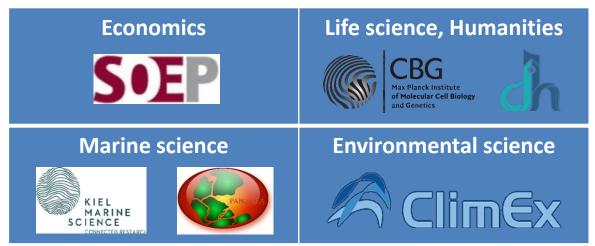


#### Generic Research Data Infrastructure



Leibniz-Informationszentrum Wirtschaft Leibniz Information Centre for Economics



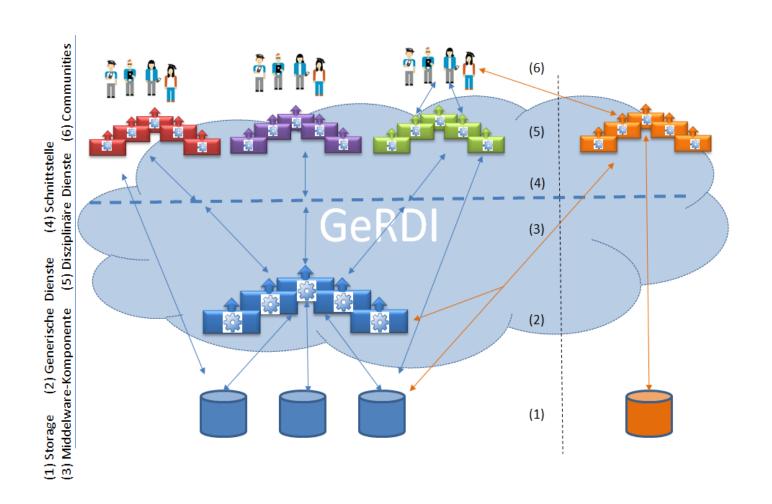






http://www.gerdi-project.de/

## **Envisioned GeRDI Architecture**



## What about social networks?



# Social Networking Meets Software Development:

Perspectives from GitHub, MSDN, Stack Exchange, and TopCoder Specific social networks for academics exist such as

ResearchGate

(http://www.researchgate.net) or

Mendeley

(http://www.mendeley.com/).

Andrew Begel, Microsoft

Jan Bosch, Chalmers University of Technology

Margaret-Anne Storey, University of Victoria

IEEE Software 30(1): 26-28, 2013

Digital Object Identifier: 10.1109/MS.2013.13

#### Policies and Incentives

- Funding agencies, such as the DFG, require strategies for research data management
  - Institutional data policies and infrastructures may help
  - "Modular" data management policy for Kiel Marine Sciences may already be reused
- Published data and code may be listed in CVs
- Cost benefit analysis of the DRYAD repository
  - Papers with published data receive higher citation counts:
    - Piwowar, Vision, Whitlock: "Data archiving is a good investment", Nature 473(285), 2011 http://dx.doi.org/10.1038/473285a

# Summary

- If you are **only** interested in getting a Ph.D.,
  - this talk was not really of interest to you, sorry.
- If you are (also) interested in scientific impact, publish
  - research papers,
  - research data,
  - documented code, and
  - do networking with related stakeholders.
- Software systems and services may help
- Outlook:
  - "Digital Ocean" in "Future Ocean Sustainability"

You may find these slides at: http://eprints.uni-kiel.de/37072

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