



AMERICAN METEOROLOGICAL SOCIETY

Journal of Atmospheric and Oceanic Technology

EARLY ONLINE RELEASE

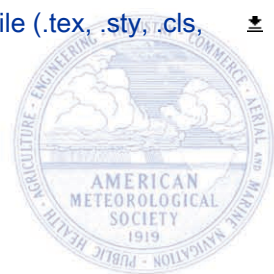
This is a preliminary PDF of the author-produced manuscript that has been peer-reviewed and accepted for publication. Since it is being posted so soon after acceptance, it has not yet been copyedited, formatted, or processed by AMS Publications. This preliminary version of the manuscript may be downloaded, distributed, and cited, but please be aware that there will be visual differences and possibly some content differences between this version and the final published version.

The DOI for this manuscript is doi: 10.1175/JTECH-D-18-0168.1

The final published version of this manuscript will replace the preliminary version at the above DOI once it is available.

If you would like to cite this EOR in a separate work, please use the following full citation:

Merckelbach, L., A. Berger, G. Krahnemann, M. Dengler, and J. Carpenter, 2019: A dynamic flight model for Slocum gliders and implications for turbulence microstructure measurements. *J. Atmos. Oceanic Technol.* doi:10.1175/JTECH-D-18-0168.1, in press.



1 **A dynamic flight model for Slocum gliders and implications for turbulence**
2 **microstructure measurements**

3 Lucas Merckelbach* and Anja Berger

4 *Institute of Coastal Research, Helmholtz-Zentrum Geesthacht, Geesthacht, Germany*

5 Gerd Krahnmann and Marcus Dengler

6 *GEOMAR Helmholtz Centre for Ocean Research Kiel, Kiel, Germany*

7 Jeffrey R. Carpenter

8 *Institute of Coastal Research, Helmholtz-Zentrum Geesthacht, Geesthacht, Germany*

9 *Corresponding author address: Lucas Merckelbach, Institute of Coastal Research, Helmholtz-

10 Zentrum Geesthacht, Max-Planck-Straße 1, 21502 Geesthacht, Germany

11 E-mail: lucas.merckelbach@hzg.de

ABSTRACT

12 The turbulent dissipation rate ε is a key parameter to many oceanographic
13 processes. Recently gliders have been increasingly used as a carrier for mi-
14 crostructure sensors. Compared to conventional ship-based methods, glider-
15 based microstructure observations allow for long duration measurements un-
16 der adverse weather conditions, and at lower costs. The incident water veloc-
17 ity U is an input parameter for the calculation of the dissipation rate. Since U
18 can not be measured using the standard glider sensor setup, the parameter is
19 normally computed from a steady-state glider flight model. As ε scales with
20 U^2 or U^4 , depending whether it is computed from temperature or shear mi-
21 crostructure, flight model errors can introduce a significant bias. This study
22 is the first to use measurements of in-situ glider flight, obtained with a pro-
23 filing Doppler velocity log and an electromagnetic current meter, to test and
24 calibrate a flight model, extended to include inertial terms. Compared to a
25 previously suggested flight model, the calibrated model removes a bias of
26 approximately 1 cm s^{-1} in the incident water velocity, which translates to
27 roughly a factor of 1.2 in estimates of the dissipation rate. The results further
28 indicate that 90% of the estimates of the dissipation rate from the calibrated
29 model are within a factor of 1.1 and 1.2 for measurements derived from mi-
30 crostructure temperature sensors and shear probes, respectively. We further
31 outline the range of applicability of the flight model.

32 **1. Introduction**

33 The dissipation rate of turbulent kinetic energy is a parameter that plays a key role in many
34 physical and biogeochemical processes in oceans and coastal seas. However, direct oceanic mea-
35 surements of turbulence are relatively scarce, as most observations stem from free-falling profilers,
36 operated from seagoing vessels. The monetary and labor cost of taking such profiles is therefore
37 substantial, and is most often limited to relatively calm conditions.

38 An emerging alternative to ship-based profiling is the use of ocean gliders, a class of buoyancy-
39 driven autonomous underwater vehicles (Davis et al. 2002; Rudnick 2016). Although gliders have
40 been increasingly used over almost two decades, it is only recently that turbulence profilers have
41 been mounted onto gliders (Wolk et al. 2009; Fer et al. 2014; Peterson and Fer 2014; Palmer et al.
42 2015; Schultze et al. 2017; St. Laurent and Merrifield 2017; Scheifele et al. 2018). The glider
43 as measurement platform has relatively low levels of vibration and mechanical noise, mainly due
44 to the absence of a propeller. This makes gliders suitable for turbulence observations using shear
45 probes. Using gliders as an alternative to ocean-going ships removes a substantial part of the
46 human factor in the data collection process, and therefore eases long duration data collection,
47 while reducing costs, and effectively removes any measurement constraints imposed by adverse
48 weather conditions.

49 Despite the advantages of glider-based turbulence measurements, the major drawback of this
50 setup is the uncertainty in the flight of the glider. A required parameter in the processing of
51 microstructure shear and temperature measurements is the speed of flow past the sensors. The
52 speed through water enters the processing raised to the fourth power when using airfoil shear
53 probes, and to the second power when using micro-temperature sensors (see Sec. 6a for details).
54 For free-falling vertical profilers, this is usually determined from the rate of change of pressure.

55 For glider-based measurements, this only gives the vertical speed of the glider, with a horizontal
56 speed that must be either measured directly, or modelled.

57 It is uncommon for a microstructure glider to be equipped with a device that directly measures
58 (a horizontal component of) the speed through water, so that often a flight model is used for the
59 computation of turbulent dissipation (Fer et al. 2014; Peterson and Fer 2014; Palmer et al. 2015;
60 Schultze et al. 2017; St. Laurent and Merrifield 2017; Scheifele et al. 2018).

61 The most commonly used flight model is that of Merckelbach et al. (2010), who assume a
62 steady-state balance between buoyancy, drag and lift, and use the measured pitch angle and buoy-
63 ancy change achieved by the buoyancy engine, to compute the speed through water (Fer et al.
64 2014; Peterson and Fer 2014; Palmer et al. 2015; Schultze et al. 2017; Scheifele et al. 2018). The
65 numerical evaluation of these forces requires values to be attributed to a number of coefficients,
66 such as the glider density, compressibility, drag and lift coefficients. Merckelbach et al. (2010)
67 show that the glider density, compressibility, and drag coefficients can be determined from stan-
68 dard glider sensors, however, they note that it is not possible to simultaneously determine the lift
69 coefficient without a direct measurement of the horizontal component of the glider speed.¹ The
70 model therefore relies on tabulated coefficients from aerodynamic studies of bodies of similar
71 shape in its specification of lift coefficients. To date, no study has been published where the glider
72 flight model by Merckelbach et al. (2010) is calibrated and compared with direct measurements of
73 the glider velocity through water.

74 In summary, glider-based turbulence microstructure measurements represent new possibilities
75 for sampling ocean turbulence, but suffer from uncertainties in glider flight models which are par-

¹Depth-averaged velocities computed using surface GPS data, as attempted by Merckelbach et al. (2010), would provide such a measurement. However, for calibrating the lift coefficient, water velocities along the track of the glider must be known with a bias of less than approximately 1 cm s⁻¹, making this method unreliable.

76 ticularly sensitive, and as yet untested. In this work, a Teledyne WebbResearch Slocum Electric
77 Shallow glider has been fitted with a Teledyne RDI Explorer Doppler Velocity Log (DVL). This
78 device primarily measures the horizontal and vertical components of the glider velocity with re-
79 spect to the sea bed (bottom track), provided the seabed is within the acoustic range of about 80
80 m. A profiling mode also allows the measurement of the glider velocity relative to the water at
81 some distance below the glider (about 5-15 m). In addition, we also utilise data collected from
82 an electromagnetic current meter mounted inside a microstructure package to assess glider flight
83 characteristics. Using these data we calibrate and extend the glider flight model of Merckelbach
84 et al. (2010) based on direct measurements of glider flight, as well as examine the implications for
85 the accuracy of turbulent dissipation rate estimates as measured with glider-mounted microstruc-
86 ture sensors.

87 **2. Background: steady-state glider flight model**

88 Key to the work presented herein is a steady-state planar glider flight model developed by Mer-
89 ckelbach et al. (2010) in order to obtain vertical water velocities from glider observations. The
90 model is based on a horizontal (x) and vertical (z) force balance, in which the acceleration terms
91 are neglected, given by ²

$$0 = \sin(\theta + \alpha)F_L - \cos(\theta + \alpha)F_D \quad (1)$$

$$0 = F_B - F_g - \cos(\theta + \alpha)F_L - \sin(\theta + \alpha)F_D, \quad (2)$$

²This system of equations is identical to the equations given by Merckelbach et al. (2010), if their equations are corrected for an unfortunate sign error.

92 where the pitch angle θ and the angle of attack α are defined in Figure 1. The forces that act on
 93 the glider are due to buoyancy F_B , gravity F_g , lift F_L and drag F_D :

$$F_g = m_g g, \quad (3)$$

$$F_B = g\rho (V_g(1 - \varepsilon_c P + \alpha_T(T - T_0)) + \Delta V_{bp}), \quad (4)$$

$$F_D = \frac{1}{2}\rho S U^2 (C_{D_0} + \alpha^2 C_{D_1}), \quad (5)$$

$$F_L = \frac{1}{2}\rho S U^2 C_L(\alpha), \quad (6)$$

$$C_L(\alpha) = a\alpha, \quad (7)$$

94 where m_g is the mass of the glider, g the acceleration due to gravity, ρ the in-situ density, V_g the
 95 volume of the glider at atmospheric pressure, ε_c the coefficient of compressibility, α_T , the thermal
 96 expansion coefficient of the glider, ΔV_{bp} the volume change achieved by the buoyancy engine, S
 97 the total surface area of the wings, U the magnitude of the glider speed through water, and C_{D_0} and
 98 C_{D_1} the parasite and induced drag coefficients, respectively. For small angles of attack α , the lift
 99 coefficient C_L is assumed to be linear in the angle of attack, proportional to the lift angle coefficient
 100 a .

101 An expression for U can be obtained by either eliminating F_D or F_L from (1) and (2), and substi-
 102 tuting from (5) and (6), respectively, relating U to either drag coefficients, or lift angle coefficients.
 103 Expressed in drag coefficients, we get

$$\sin(\theta + \alpha)(F_B - F_g) - \frac{1}{2}\rho S U^2 (C_{D_0} + C_{D_1} \alpha^2) = 0. \quad (8)$$

104 In addition, an expression for the angle of attack is found by combining (1), and (5)–(7), yielding

$$\alpha = \frac{C_{D_0} + \alpha^2 C_{D_1}}{a \tan(\theta + \alpha)}. \quad (9)$$

Equations (8) and (9) provide a model to compute the steady state flight condition at any time, given measurements of the buoyancy drive, pitch angle, and in-situ density, and a set of model coefficients for drag, lift, compressibility and thermal expansion (if applicable).

Merckelbach et al. (2010) determine model coefficients by minimising a cost-function that is mathematically identical to

$$R_0 = \frac{1}{N} \sum_{i=0}^{N-1} \left[U[i] \sin(\theta[i] + \alpha[i]) + \frac{dh}{dt}[i] \right]^2. \quad (10)$$

Herein, the depth-rate dh/dt and pitch θ are observations with index i from a total of N values, and U and α are the corresponding model results using (8) and (9). It can be shown, however, that the cost-function does not yield unique values if both the parasite drag and the lift angle coefficient are present in the minimisation parameter space. Although the model may be optimised for the vertical velocity component, the horizontal velocity component, and therefore, the speed through water, depends on the actual value of the lift angle coefficient. This means that depth-rate observations alone are not sufficient to calibrate a glider flight model that also computes accurately the glider speed through water. Consequently, additional measurements of a non-vertical glider velocity component are required, and are presented in Section 4.

3. Dynamic glider flight model

Although the steady-state glider flight assumption seems reasonable for most practical situations, a dynamic, non-steady-state glider flight model may provide a better estimate of the glider speed for rapidly changing conditions, for example when strong density gradients are present or around dive-to-climb turning points. Requiring little additional effort, such a dynamic flight model can be obtained by (re-)inserting the acceleration terms into the steady-state model. Besides the glider mass accounting for its inertia, also the so-called *added mass* needs to be considered. Added

126 mass terms arise from the fact that if a submerged body accelerates, not only does the body's mass
 127 oppose the acceleration, also the flow around the body changes. From an energy principle it then
 128 follows, that the body does work on the ambient water mass and additional forces act on the
 129 submerged body (Imlay 1961). These additional forces can be conveniently written as a 6x6 mass
 130 matrix, multiplied by a vector composed of three linear and three angular acceleration components
 131 (Newman 1977).

132 For planar flight with negligible rotational accelerations, which are typical for glider flight,
 133 the inertial forces to be inserted into the dimensional steady-state model can be simplified to
 134 $\mathbf{M} \begin{bmatrix} du/dt & dw/dt \end{bmatrix}^T$. Herein u and w are the horizontal and vertical glider velocity components in
 135 a georeferenced coordinate system, and \mathbf{M} is a 2x2 matrix, composed of the glider mass on the di-
 136 agonal, $m_g \mathbf{I}$, where \mathbf{I} is the identity matrix, and a 2x2 matrix \mathbf{m} , representing the added mass terms.
 137 When expressed in the orthogonal glider referenced coordinate system, (ξ, η) (see Figure 1), with
 138 its axes (from the glider's perspective) pointing forward and upward, the added mass matrix \mathbf{m} for
 139 a glider shaped object can adequately be described by a diagonal matrix, $\text{diag}(m_{11}, m_{22})$, where
 140 m_{11} and m_{22} are the dominant added mass components (Imlay 1961; Newman 1977). Expressed in
 141 the (x, y) coordinate system, the transformed \mathbf{m} is not necessarily diagonal, and the inertia matrix
 142 becomes

$$\mathbf{M} = m_g \mathbf{I} + \begin{bmatrix} m_{11} \cos^2(\theta) + m_{22} \sin^2(\theta) & (m_{11} - m_{22}) \cos(\theta) \sin(\theta) \\ (m_{11} - m_{22}) \cos(\theta) \sin(\theta) & m_g + m_{22} \cos^2(\theta) + m_{11} \sin^2(\theta) \end{bmatrix}. \quad (11)$$

143 Based on the expressions given in Imlay (1961) for a finned prolate spheroid shaped glider with a
 144 length of 2 m, the numerical values of the added mass terms are estimated at $m_{11} = 0.2 \cdot m_g$ and
 145 $m_{22} = 0.92 \cdot m_g$.

146 With this result, the dynamic flight model can be written as [see (1), (2)]

$$\mathbf{M} \frac{d}{dt} \begin{bmatrix} u \\ w \end{bmatrix} = \begin{bmatrix} \sin(\theta + \alpha)F_L - \cos(\theta + \alpha)F_D \\ F_B - F_g - \cos(\theta + \alpha)F_L - \sin(\theta + \alpha)F_D \end{bmatrix}. \quad (12)$$

147 To integrate this initial value problem using a classical Runge-Kutta (RK4) explicit method, we
148 specify $u = w = 0$ as initial conditions, and when the glider is at the surface. Furthermore, the
149 incident flow velocity is set equal to $U = \sqrt{u^2 + w^2}$. In fact, this is true only if the water column
150 behaves as a steady-state flow without shear. However, under most conditions the oceanic shear
151 is small so that the errors of the estimated lift and drag forces can be assumed small too. We also
152 note that transient effects due to changing flow conditions on the drag and lift forces generated,
153 are not accounted for. Both the steady-state and dynamic models are implemented in Python 3.
154 The source code, documentation and examples are available at a public repository under the MIT
155 software license (Merckelbach 2018).

156 4. Experimental data

157 *a. Instrumentation*

158 The glider COMET is a Teledyne Webb Research G2 shallow electric glider, equipped with a
159 Seabird GP-CTD, a Rockland Scientific Microrider, and a profiling Teledyne RDI 600 kHz phased
160 array Explorer DVL (Doppler Velocity Log). The CTD received a firmware update allowing it to
161 sample at 1 Hz, rather than the default 0.5 Hz. A thermal lag correction algorithm, similar to
162 that described by Garau et al. (2011) was applied to correct the measured conductivity within the
163 conductivity cell. The Microrider sampled pressure and pitch at 64 Hz.

164 The DVL mounted on glider COMET measured velocities acoustically along four beams in Janus
165 configuration at a 30° angle. The device was mounted in a separate hull section, placed in front
166 of the science bay (Figure 1). Since the DVL is primarily designed to measure bottom track

167 velocities, it was installed downward-looking, and mounted with a forward pitch angle of 11° .
168 The mounting angle ensures that if the glider is at a nominal dive angle of 26° , the principal axis
169 of the tetrahedron defined by three of its beams is aligned with the vertical ordinate.

170 Besides measuring bottom track velocities, the DVL was configured (by means of a firmware
171 upgrade) to collect three-dimensional current profiles, like a classical acoustic Doppler current
172 profiler (ADCP). The DVL was set up to continuously record ensembles, each consisting of 10
173 profile pings with a bin size of 2 m, and 2 bottom track pings. With a typical ping rate of 7 Hz (see
174 the instrument's datasheet (TeledyneRDI 2017)) the measurement time of an ensemble amounted
175 to up to 1 second, during which the vertical distance travelled by the glider was about 10-15 cm.
176 The realised sample rate of the ensembles was between once per 4 and 3 seconds, indicating a
177 significant amount of time required to process and store the ensemble data.

178 According to the DVL's datasheet, the standard deviations for single bottom track and velocity
179 profile pings are 1.0 and 4.7 cm s^{-1} , respectively. For the configuration used, the standard devia-
180 tion of the profile velocity relative to the sea bed, computed from an ensemble amounts, to 1.7 cm
181 s^{-1} , assuming that all pings can be treated as independent variables. As this is calculated for ideal
182 conditions, we use a more conservative value, estimated at $\sigma = 2.5 \text{ cm s}^{-1}$, allowing for additional
183 uncertainty due to vertical shear, horizontal heterogeneity of the flow, and pitch, heading and roll
184 readings.

185 The DLV measurements are georeferenced using the pressure measured by the glider, after cor-
186 recting for a small delay of about 3 seconds in DVL measurements. The time delay was computed
187 for each profile by matching the glider and DVL time stamps of the pitch, a parameter that is
188 measured by the glider's attitude sensor and fed into the DVL.

189 Raw DVL data were subjected to a number of quality checking algorithms to mask low quality
190 data, as well as data correction algorithms. Following Todd et al. (2017), a pipeline of operations

191 was set up to correct the speed of sound using the salinity measured by the glider; correct for
192 offsets in roll and pitch; mask relative water velocities and bottom track velocities that exceed
193 0.75 m s^{-1} ; mask velocities for which the signal-to-noise ratio (SNR) is smaller than 3, where
194 $\text{SNR} = 10^{(S_{\text{dB}} - N_{\text{dB}})/10}$ with S_{dB} and N_{dB} the signal and noise levels in dB; and finally, mask veloc-
195 ities the signal levels of which exceed 75 dB. It is noted that in this work the SNR threshold was
196 set more permissively to 3, rather than 20 as used in Todd et al. (2017).

197 In order to compute eastward, northward and upward velocity components, the DVL uses head-
198 ing, pitch and roll angles that are reported by the glider. It was found that the difference between
199 the upward bottom track velocity and the depth-rate was positively biased for up casts and neg-
200 atively biased for down casts. It turned out that the tilt sensor had leaked a small amount of
201 electrolyte fluid, so that pitch and roll angles were reported larger than they were in reality. The
202 associated error in the pitch and roll angles is proportional to their real values (Pheiffer, pers.
203 comm.). Matching upward bottom track velocity and depth-rate yielded a scaling factor of 0.83.
204 Prior to the step to correct the pitch and roll offsets in the processing pipeline, the DVL velocities
205 were recomputed using scaled pitch and roll angles.

206 The glider IFM03 is a Teledyne WebbResearch G1 deep glider (short version) equipped with an
207 unpumped Seabird CTD and a Rockland Scientific Microrider (similar to the one mounted on top
208 of the glider COMET). Added to this Microrider (by Rockland Scientific) was an electromagnetic
209 current (EMC) sensor (AEM1-G, by JFE Advantech Co. LTD). CTD data were recorded at a
210 sample rate of 1 Hz, and were corrected for thermal lag effects following Garau et al. (2011). The
211 relevant Microrider data (pitch, pressure and EMC velocity) were logged at a rate of 64 Hz.

212 The EMC sensor measures the axial speed of the glider through the water. The sensor was
213 calibrated in a water tank by the manufacturer JFE Advantech. This was done by towing the EMC
214 sensor mounted to a MicroRider hull at different speeds through the tank. Although the EMC

215 sensor is sampled at 64 Hz, the sensor itself has a measurement frequency of 15 Hz. During the
216 post-processing the velocity measurements were averaged to yield a time series with a frequency of
217 1 Hz, with each sample being the average of about 10 – 15 individual measurements. The accuracy
218 of the velocity measurements claimed by the manufacturer is 0.5 cm s^{-1} or 2% of the readings³,
219 without stating the measurement bandwidth. It is therefore not clear how much averaging of raw
220 data samples is required to obtain this accuracy. We assume, that the uncertainty in the EMC
221 readings is 1 cm s^{-1} or better for the velocity data averaged to 1 Hz time series.

222 *b. Datasets*

223 For the analysis of the glider flight model three datasets were selected (see Table 1 for a sum-
224 mary). Dataset I was selected, because the data of glider COMET include water velocity measure-
225 ments measured with a DVL. Importantly, the water depth was shallow enough ($\approx 60 \text{ m}$) for the
226 DVL to record bottom track velocities during the glider’s down casts, which is essential to com-
227 pute relative glider velocities (Section 4c). Due to the presence of a strong halocline, the dives
228 were limited to depths of 40 m. The glider was flown with pitch angles set to $\pm 26^\circ$.

229 Dataset III was selected, because IFM03 carried a Microrider that was retrofitted with an EMC,
230 the measurement principle of which is entirely different than the DVL. In contrast to dataset I,
231 this dataset also contains reliable velocity measurements on the up casts, as well as during the
232 transitions from dive to climb. This glider was programmed to dive to 800 m, or when limited by
233 topography, to 15 m above the sea bed. From this dataset, we selected a sequence of profiles where
234 the glider dives to the full 800 m. The glider was flown with fixed battery positions resulting in
235 typical down cast and up cast pitch angles of 32° and 28° , respectively.

³<https://rocklandscientific.com/wp-content/uploads/2017/05/RSI-Data-Sheet-MicroPodEM-A4-1.00-web.pdf>

236 Dataset II used the same glider setup as dataset I, however, the glider was flown in water depths
237 that were too large to obtain bottom track velocities for most of the profiles. Hence, the DVL data
238 from this dataset are not used. This dataset is still of interest, because the day prior to recovery was
239 used to fly the glider for short periods at two different pitch angles than the standard 26° , namely
240 $\pm 20^\circ$, and $\pm 30^\circ$, in order to quantify the effects of the induced drag.

241 *c. Relative glider velocities from DVL measurements*

242 The velocity profile data, configured to be outputted by the DVL as eastward, northward and
243 upward velocity components, represent the water velocity relative to the glider. The first bin is
244 found at 2.92 m from the transducer. However, the data from the first two bins often show a signal
245 that is distinctively different from the other bins and therefore the first two bins are excluded from
246 the analysis to follow. The echos from bins positioned further away than about 15 m were often
247 weak, not yielding a signal with a sufficiently high signal-to-noise ratio. As a result, the typical
248 range for which meaningful data were obtained is some 7 to 15 m away from the glider. In the
249 presence of significant vertical shear, the relative velocity of the glider measured by the DVL
250 from a particular depth may not represent the actual relative velocity at the depth of the glider. In
251 order to improve the estimates of the relative water velocity at the glider's position, we used the
252 following approach.

253 Consider a glider collecting data using a downward-looking DVL while diving (Figure 3). When
254 a profile ensemble is collected, water velocities relative to the glider are measured at a distance of
255 about 10 m below the glider and turned into absolute water velocities by accounting for the abso-
256 lute glider velocity using the bottom track velocity. Some 100 seconds later, assuming a nominal
257 depth-rate of 10 cm s^{-1} , the glider reaches a depth at which it previously collected velocity data.
258 On the assumption that vertical changes in currents are much greater than horizontal changes,

259 we estimate the water velocity at the current glider’s depth from previously collected profile en-
260 sembles, and then compute the relative glider velocity by subtracting the glider absolute velocity
261 (bottom track velocity) at this depth.

262 The drawback of this method is that in estimating the water velocity components at the glider’s
263 position, an average is constructed from profile ensembles taken 100 – 200 seconds earlier. No
264 tendencies, or “future” profile ensemble data are taken into account. As an alternative, we also
265 implemented a simple Kalman filter. A Kalman filter operates by propagating the mean and co-
266 variance of a state using a dynamic model, in an optimal way, given a time series of observations
267 of the process (see e.g. Anderson and Moore 2005; Simon 2006). As dynamic model we choose
268 a simple one: the acceleration of a current component (eastward, northward or up) at given depth
269 is constant, with a model uncertainty of $\sigma^2 = 1 \times 10^{-16} \text{ m}^2 \text{ s}^{-4}$ to reflect the fact that the model
270 is only approximate in describing the real system. The state vector consists of a velocity compo-
271 nent at given depth, and the corresponding acceleration. This filter is run for each component and
272 depth-bin separately, using measurements of the water velocity when they become available. The
273 variance of the measurement noise is estimated at $\sigma^2 = 0.025^2 \text{ m}^2 \text{ s}^{-2}$, see also Section 4a. The
274 filter is implemented as a forward-backward smoother, or so-called RTS filter after Rauch, Tung
275 and Striebel who presented this filter in 1965, see Simon (2006). This filter is first run forward and
276 then backward in time, making maximal use of available data.

277 In comparison with the Kalman filter, the averaging method is much simpler to implement and
278 is also computationally considerably more efficient. The Kalman filter method, however, produces
279 smoother, less noisy data, and has been used in the results reported in this work.

280 *d. Incident water velocity from EMC measurements*

281 The one-dimensional EMC sensor measures the velocity component along the principal axis
282 of the glider (the ξ -axis, see Figure 1). Therefore, the incident water velocity derived from this
283 sensor, U_{EMC} , relates to the actual measured velocity, \hat{U}_{EMC} , as

$$U_{\text{EMC}} = \frac{\hat{U}_{\text{EMC}}}{\cos(\alpha)}. \quad (13)$$

284 Since α is not measured, values computed from the steady-state model, for example, can be used
285 instead. These are generally small, so that $\cos(\alpha) = 1 + \mathcal{O}(\alpha^2)$. However, it is noted that due
286 to local shear, the angle of attack may not always be small, leading to (13) being a lower bound
287 estimate of the actual incident velocity.

288 During the processing of the EMC data it was found that the vertical water velocity computed
289 from U_{EMC} and the glider's pitch angle was consistently larger in magnitude than the measured
290 depth-rate. In contrast to the glider COMET, we have confidence in the pitch angles reported by
291 glider IFM03, as they were nearly identical to the pitch angles reported by its Microrider sensor.
292 Therefore, we applied a scaling factor to the velocities reported by the EMC so that the difference
293 between the vertical velocity component and the depth-rate vanishes. Using the angle of attack
294 estimated from the steady-state model (with lift angle and induced drag settings found for glider
295 COMET, see next section), the factor was found to be equal to 0.93. A similar scaling factor
296 was found by the Mircorider's manufacturer during tests with a SeaExplorer glider with a built-in
297 Microrider with fitted EMC sensor, and an additionally mounted ADCP (R. Lueck, pers. comm.).

298 **5. Glider flight model calibration and results**

299 It is not possible to find optimal choices for both C_{D_0} and a when using only the depth-rate mea-
300 surement as a model constraint; an additional velocity measurement with a significant orthogonal

301 (horizontal) component is required. In this section, we use measurements of the incident water
 302 velocity as additional model constraint to calibrate for the lift angle coefficient. This is done first
 303 for the DVL measurements and then for the EMC measurements.

304 Numerical values of drag and lift coefficients have a meaning only, if referenced to a known
 305 surface area S (see also equations (5) and (6)). In this study, we follow the conventions used in
 306 aerodynamics, and use the surface area of the wings as reference area, giving $S = 0.1 \text{ m}^2$. Another
 307 choice for S could be the frontal area. To express drag and lift coefficients, referenced to the
 308 frontal area, the numerical values found in this study are to be multiplied by the ratio of wing area
 309 to frontal area.

310 In the subsections below, the value for the induced drag coefficient C_{D_i} used by the flight models,
 311 is preset to 10.5 rad^{-2} , anticipating the result presented at the end of this section where we also
 312 estimate the optimal value of C_{D_i} .

313 *a. Lift angle coefficient*

314 A simple approach is taken to estimate the optimal value of the lift angle coefficient a . To that
 315 end, an additional cost-function R_1 is defined as

$$R_1 = \frac{1}{N} \sum_{i=0}^{N-1} (U[i] - U_{\text{DVL}}[i])^2, \quad (14)$$

316 where $U_{\text{DVL}}[i]$ is the incident water velocity derived from the DVL measurements with index i . The
 317 cost-function R_0 (10) is minimised for the parameter space $\{C_{D_0}, m_g\}$, for a range of preset values
 318 of a . Then, for each triplet (C_{D_0}, m_g, a) , the cost-function R_1 is evaluated.

319 Figure 4 summarises the results of these successive minimisation steps, using the steady-state
 320 model (solid lines) and the dynamic model (dashed lines), applied to data from a subinterval of
 321 four hours of data collected on 23 June 2017. The figure shows the optimal values for the parasite

322 drag coefficient and the mass (by minimising R_0) for a range of preset values of a . It is seen that
 323 the mass is independent of the value of the lift angle coefficient, but the drag coefficient is not.
 324 Moreover, the steady-state model estimates lower values for C_{D_0} than the dynamic model does, the
 325 explanation of which is left for Section 5c. The optimal value for a (for which the cost-function R_1
 326 is minimal) is found to be $a \approx 7.4 \text{ rad}^{-1}$, for both models, where also the mean difference between
 327 modelled and observed incident velocity is approximately zero.

328 We can now repeat the procedure to determine the glider flight model parameters C_{D_0} , m_g and a ,
 329 but using the EMC derived incident velocity instead as the required non-vertical velocity compo-
 330 nent. The results are shown in Figure 5, and are found to be in line with the results obtained from
 331 the DVL data (cf. Figure 4). The data show a similar relationship between optimised lift and drag
 332 coefficient, and also the mass appears to be independent of the lift angle coefficient. For this glider
 333 (IFM03) an unbiased difference between measured and modelled incident velocities is found for
 334 $a = 7.5 \text{ rad}^{-1}$, which is slightly higher than found for glider COMET.

335 In contrast to the DVL, the EMC provides continuous velocity data on both the up and down
 336 casts, so that the cost-function R_0 can be modified to include a non-vertical velocity component,
 337 yielding

$$R_2 = \frac{1}{N} \sum_{i=0}^{N-1} \kappa \left(U[i] \sin(\theta[i] + \alpha[i]) + \frac{dh}{dt}[i] \right)^2 + (1 - \kappa) (U[i] - U^{\text{EMC}}[i])^2, \quad (15)$$

338 where κ is a weighting coefficient, set to $\kappa = 1/2$, giving both velocity components equal impor-
 339 tance assuming that the accuracy of their measurements is similar. The additional constraint allows
 340 for minimising R_2 for the parameter triplet C_{D_0} , m_g and a simultaneously, yielding $C_{D_0} = 0.136$,
 341 $m_g = 59.454 \text{ kg}$ and $a = 7.7 \text{ rad}^{-1}$, indicated by the cross symbols in Figure 5.

342 The values for the lift angle coefficient, found for the gliders COMET (DVL) and IFM03 (EMC),
 343 are only slightly different. Figures 4 and 5 show, however, that a variation in a of 1 rad^{-1} would

344 lead to a bias in the incident velocity of approximately 6 mm s^{-1} and 3 mm s^{-1} for gliders COMET
345 and IFM03, respectively. Given the uncertainties in the velocity measurements, we consider these
346 findings to be consistent.

347 *b. Induced drag coefficient*

348 The induced drag coefficient C_{D_i} is another shape parameter, the setting of which may influence
349 the results after calibrating the model for m_g , C_{D_0} , and a . From (9) it follows that the effect of the
350 induced drag can be absorbed into the parasite drag coefficient if the glider is flown with pitch
351 angles that are similar in magnitude for the up and down casts and (near) constant over time. In
352 most cases this is how gliders are operated, and this second-order effect has little consequence on
353 the model results. However, when operating gliders with microstructure sensors, the pitch battery
354 position is usually fixed to avoid vibrations that can interfere with shear probe measurements
355 during the moving of the pitch battery. As a consequence, especially for deep glider profiles, the
356 pitch angle may vary substantially due to changes in the in-situ water density and compression of
357 the hull, so that changes in flight due to the induced drag depend on the depth. The compressibility
358 of the hull also causes the flight to change with depth, and hence it is difficult to distinguish
359 between both effects.

360 At the end of the glider experiment in dataset II, the pitch settings of the glider COMET were
361 varied. Over the course of a day, the target pitch was changed to the following three values
362 $\theta_t = 16^\circ, 19^\circ, 27^\circ$, where the absolute values of the target pitch angles θ_t were the same for up
363 and down casts. Using a lift angle coefficient $a = 7.5 \text{ rad}^{-1}$ as found previously, the glider flight
364 model was calibrated for the mass and total drag coefficient, $C_D = C_{D_0} + \alpha^2 C_{D_i}$, for the three
365 subsets, each having a narrow range of pitch angles, see Figure 6, right-hand side panel. The
366 optimisation routine yields for each pitch band a different value for C_D . Since the angle of attack

367 can be assumed more or less constant within each pitch band, C_D can be plotted as function of the
368 corresponding angle of attack, see the blue dots in Figure 6, left panel. As the induced drag effect
369 is proportional to the angle of attack squared, a parabola is fitted to the data, yielding $C_{D_0} = 0.147$
370 and $C_{D_1} = 10.5 \text{ rad}^{-2}$. The value found for the induced drag coefficient is significantly higher than
371 the one estimated by Merckelbach et al. (2010), who suggested a total value for the induced drag
372 of about 3 rad^{-2} . The discrepancy is most likely to be due to the protruding features that the glider
373 has, such as the tail fin, the CTD, and most importantly the Microrider package, which was not
374 considered by Merckelbach et al. (2010).

375 Like the parasite drag coefficient, the induced drag coefficient is likely to change when the
376 vehicle gets biofouled. The value quoted here, was determined for a glider without noticeable
377 biofouling. But, as argued before, the effect due to the induced drag is of second-order importance,
378 and some change in the induced drag coefficient due to biofouling is likely to be insignificant.

379 *c. Results*

380 After calibrating the flight model for mass, parasite and induced drag coefficients, and lift angle
381 coefficients above, we use subsets of the data and solve both the steady-state and dynamic model
382 to yield time series of incident water velocities. By comparing the time series with measurements
383 we can assess the model performance.

384 First we compare the model results with measurements obtained with the DVL for two subsets
385 of about four and nine hours of data, respectively. Measurements and model results are shown
386 in Figure 7 for two intervals comprising four full yos down to 40 m of water depth spanning
387 about 30 minutes on 23 June 2017 (top panels) and on 24 June 2017 (bottom panels), respectively.
388 The incident water velocity computed from the DVL measurements are available only for water
389 depths in excess of about 10 m and during down casts. The DVL measurements (blue curves, left-

390 hand side panels) show that the glider quickly gains speed when starting the down cast and then
391 gradually slows down as the it gets deeper. This deceleration is also reproduced in the incident
392 water velocities computed by the steady-state model (orange) and the dynamic model (red). The
393 reason for this is that the in-situ density increases with depth, reducing the glider's weight in
394 water with depth. The deceleration observed during the up casts are due to the same reason. In a
395 situation like this, when the glider decelerates during most of the up and down casts, the dynamic
396 model adjusts to the observed change in density with a response time of the order of a minute,
397 whereas the steady-state model adjusts instantly. As a result, the steady-state model computes
398 lower speeds through water than the dynamic model would for the same flight model parameter
399 setting. So, if both models are calibrated separately, the steady-state model has a lower drag
400 coefficient, compensating the lower speed through water, as we observed in Section 5 (Figure 4).

401 For depths greater than about 12-15 m, down to the dive-to-climb turning points, the steady-
402 state and dynamic model appear to yield identical results, indicating that, as expected, for most of
403 each cast the glider's dynamical behaviour can be considered steady-state. Only for short periods
404 after changing cast direction, the dynamic model shows a gradual response to the sudden changes
405 in forcing, where the steady-state model predicts unrealistic overshoots. Discrepancies between
406 steady-state and dynamic model near the dive-to-climb turning points are present, but not clearly
407 visible in this figure. The model performance during rapid changes when changing from down
408 cast to up cast are discussed in detail below.

409 Restricted to the steady-state region, both models compare favourably with the measurements.
410 In particular for the data from 23 June (top panel), the model estimates are typically within 1-2
411 cm s^{-1} of the observations. For 24 June, however, the measurements exhibit more variability with
412 discrepancies between observations and model estimates amounting up to about 4 cm s^{-1} . The
413 difference in the degree of agreement between model and observations is most likely related to

414 environmental factors. Indeed, the variance of the water velocity relative to the bed in the flight
415 direction, computed as an average per profile for the profiles shown, is more than 15 times larger
416 for the profiles of 24 June than those of 23 June. Because the lift and drag force parameterisations
417 do not account for the presence of shear, it seems likely that the increased levels of shear on 24
418 June contribute to the increased variability in observed incident water velocity.

419 A subset of data comprising close to two days of data of the glider IFM03 is processed in a
420 similar way. A selection of 2 full yo cycles is shown in Figure 8 comparing the measurements
421 with the modelled results from the dynamic model. Since for most of the 800 m dives, the steady-
422 state and dynamic models produce identical results, the data of the former are not displayed. Also,
423 note that in comparison with the glider COMET, the time scale is condensed by a factor of 20 due
424 to the deeper dives.

425 The incident water velocity measured by the EMC agrees, on average, well with the results of
426 the dynamic model. However, some variability captured by the EMC sensor, is not represented by
427 the dynamic model. Differences between modelled and observed velocities amount to up to about
428 4 cm s^{-1} and have time scales of minutes. Like the previous dataset, most of the discrepancies
429 between measurements and modelled results are attributed to the local variability in the flow.
430 Another recurrent feature is that at the beginning of the first dive (and for this glider all dives as all
431 dive cycles within this time interval comprised one full yo only), the glider accelerates slower than
432 the model estimates. This is most likely due to trapped air bubbles, as discussed in Section 6c.

433 6. Discussion

434 *a. Implications for turbulent microstructure measurement*

435 Two key findings from the above analysis are (i) the values of calibrated drag and lift parameters
436 are similar between two different gliders applied in different conditions, and (ii) that the time
437 series show good agreement between the observed and modelled glider speed through water, U .
438 A question that naturally arises is what errors a (calibrated) glider flight model then produces
439 in U , and what implications this has for estimates of the dissipation rate from temperature and
440 shear microstructure. These errors add to the uncertainty of the dissipation rate measurements
441 over that for standard free-fall profilers, where the speed along the sensors is estimated from the
442 pressure rate of change. Although not rigorously derived, the uncertainties of free-fall profilers
443 are generally estimated at a factor of approximately two (Dewey and Crawford 1988; Moum et al.
444 1995).

445 To estimate the errors produced from deviations in the measured and modelled glider speeds,
446 we first note the scaling of the dissipation rate, ε , with the flow speed past the sensors, U . For ε
447 measured with airfoil shear probes,

$$\varepsilon = \frac{15}{2} \nu \overline{\left(\frac{\partial v}{\partial x}\right)^2}, \quad (16)$$

448 where x represents distance in the glider path direction, ν denotes across-path velocity fluctuations,
449 ν is the kinematic viscosity, and the bar denotes a mean. The probe returns a signal, $E(t)$, that
450 is proportional to $U\nu$ (the lift force on the probe), so we can express the across path velocities
451 as $v \propto E/U$. Spatial gradients of ν are then found using Taylor's frozen turbulence hypothesis,
452 whereby

$$\frac{\partial v}{\partial x} = \frac{1}{U} \frac{\partial v}{\partial t} \propto \frac{1}{U^2} \frac{\partial E}{\partial t}. \quad (17)$$

453 Therefore,

$$\varepsilon \propto \frac{1}{U^4} \overline{\left(\frac{\partial E}{\partial t}\right)^2}, \quad (18)$$

454 showing that ε scales with the fourth power of the flow speed past the sensors, and will thus be
455 sensitive to errors in its estimation. Note that if ε is measured by using microstructure temperature
456 sensors (Gregg 1999; Ruddick et al. 2000) then $\varepsilon \propto U^{-2}$ due to the lack of U dependence arising
457 from the lift force in the case of shear probes.

458 Errors in the estimation of ε arising from deviations between the glider flight model and the true
459 speed through water, will therefore appear through the factor $(U_{\text{meas}}/U_{\text{dyn}})^n$, where $n = \{2, 4\}$ for
460 measurements from microstructure temperature sensors, and shear probes, respectively, and the U
461 ratio corresponds to the measured speed to that obtained from the dynamic model. These factors
462 are computed as profile averages (indicated by angled braces) in Figure 9 from the DVL data sets.
463 It shows averages as solid lines with the shaded area indicating twice the standard deviation in
464 the data for both DVL data sets. Depths shallower than 12 m are not shown, since no reliable
465 DVL data can be collected (see also Section 4c). Although some bias towards positive or negative
466 differences, amounting to close to 1 cm s^{-1} exists, the bias is not systematic, as it is different for
467 two consecutive deployments. When multiple deployments are considered, there is negligible bias
468 in the difference between mean measured and modelled speeds.

469 The histograms in Figure 9 show that 90% of the errors expected in dissipation rate estimates due
470 to modelled glider speeds are within a factor of 0.67–1.43 for shear probe measurements ($n = 4$),
471 and within 0.82–1.26 for temperature microstructure ($n = 2$). These errors should be compared to
472 the factor of 2 uncertainty normally associated with dissipation rate measurements from vertical
473 profilers (Dewey and Crawford 1988; Moum et al. 1995). In addition, it is not clear how much
474 of this difference between modelled and measured speeds can be attributed to the need to use

475 DVL measurements that are not coincident in time and space with the glider position, and require
476 averaging to reduce measurement noise.

477 An advantage the EMC sensor has over the DVL is that it measures velocities co-located in
478 time and space with the glider flight model. As long as the instantaneous angle of attack remains
479 small, the measured quantity represents the glider speed through water, an assumption we have
480 made in the analysis. The same profile averaged quantities as in Figure 9, but now for the EMC
481 sensor, are shown in Figure 10. For depths deeper than 150 m, we also find unbiased results, with
482 error ratios that have a smaller spread than for the DVL [i.e., 90% of the data lie in the ranges of
483 $0.83 < \langle (U_{\text{EMC}}/U_{\text{dyn}})^4 \rangle < 1.20$ and $0.91 < \langle (U_{\text{EMC}}/U_{\text{dyn}})^2 \rangle < 1.09$]. The velocity measurements
484 made by the EMC sensor have a standard deviation that is about an order of magnitude smaller
485 than the readings from the DVL (Section 4a), so that this suggests that a fraction of the spread of
486 the data observed for the DVL (and possibly also for the EMC) could be due to uncertainty in the
487 measurements.

488 If the steady-state model were applied to the present data, but using a lift angle coefficient of 6.1
489 rad^{-1} (Merckelbach et al. 2010), then the bias in the difference between measured and modelled
490 incident velocities would be 1.3 and 0.5 cm s^{-1} for datasets I (Figure 4) and III (Figure 5), respec-
491 tively. The associated biases in the estimates for the dissipation rate applied to dataset I (dataset
492 III) would be an underestimation of a factor of 1.10 (1.05) and 1.20 (1.07) for estimates from tem-
493 perature microstructure sensors and shear probes, respectively. The bias sensitivity to the error in
494 the specification of the lift angle coefficient depends on steepness of the dives and climbs, where
495 smaller pitch angles cause larger biases.

496 *b. Dynamic versus steady state flight*

497 Thus far, we have concluded that for most of the dive and climb, the glider can be considered
498 in a regime of steady-state. This is demonstrated by the close agreements between steady-state
499 and dynamic model results throughout most of the flight (Figures 7, 8). Since implementing the
500 dynamic model is computationally more expensive, this brings up the question of whether the extra
501 effort is needed. The dynamic model handles situations better which involve sudden and significant
502 changes in the forcing. Examples of such instances are when pitch battery positions change during
503 flight, when the glider experiences a strong pycnocline, or during the transit from down cast to up
504 cast. Standard practice is to discard microstructure data when steady-state conditions are not met.
505 Employing a dynamic model however, may somewhat relax this restriction.

506 To examine this in more detail we focus on a transition from dive to climb. Figure 11 is a zoom-
507 in of such an event of the time series from Figure 8, showing the speed through water during the
508 last segment of a down cast, its transition to the up cast (marked by the gray box) and a full up
509 cast, until the glider reached the surface. Most of the flight is in the steady-state regime as the
510 results of the steady-state model (orange curve) and dynamic model (red curve) are indiscernible
511 in this regime. During the transition from down cast to up cast (gray box, and highlighted in
512 the in-set), the model results diverge and the flight is not steady-state. As soon as the glider
513 initiates the transition, the pitch battery is set to its position for the upcast, suddenly increasing
514 the pitch angle (green curve in inset). Concurrently, the measured speed through water is seen to
515 decrease. After reaching a minimum velocity of 12 cm s^{-1} , the glider gradually accelerates, until
516 the flight becomes steady-state again. The steady-state model computes false behaviour during the
517 transition. The dynamic model, however, does reproduce the dip in speed.

518 *c. Flight model error sources*

519 Although errors in the velocity measurements lead to discrepancies between measured and mod-
520 elled incident velocities, some of these discrepancies can be related to the model failing to capture
521 all aspects of the glider flight. For water depths less than 150 m, discrepancies between observed
522 and modelled velocities are very clearly present in the dataset of glider IFM03 (Figure 10). It is un-
523 likely that these discrepancies can be related to inaccurate velocity measurements. It is, however,
524 a known issue for Slocum gliders with an oil-based displacement pump, such as the glider IFM03,
525 that air can diffuse into the oil system. Air bubbles in the oil system will lead to inaccurate report-
526 ing of the actual glider volume change, causing the flight model to compute erroneous buoyancy
527 forces, and as a result erroneous flight velocities. Because there is currently no way to measure
528 how much air is present, and where it resides, this aspect is not included in the flight model. The
529 effect of air bubbles can be identified by comparing the computed vertical glider velocities with
530 the observed depth rate; if calibrated for deep dives, the presence of air bubbles manifests itself as
531 a bias in the vertical velocity difference during the shallower part of the dives.

532 A further assumption underlying both the steady-state and dynamic flight models is that the
533 ocean currents are steady and free of shear. The consequence is that in the presence of, for ex-
534 ample, vertical shear and internal waves with short periods, the inertia of the glider can cause the
535 instantaneous incident velocity to be significantly different from the modelled incident velocity,
536 introducing errors in the drag and lift forces computed by the model. Unfortunately, this issue is
537 not remedied by the dynamic model developed herein, despite having the inertial terms included.
538 This is because the model cannot discern between the glider velocity in an inertial frame, the time
539 derivative of which equals the acceleration, and the incident water velocity (relative to the glider),
540 which is used in the parameterisations of the lift and drag forces. The results shown in Figures 7,

541 and 8 indicate that the discrepancies observed between the measured incident velocities and the
542 dynamic model results occur on time scales of the order of 1–10 minutes, and are likely caused by
543 the model failing to capture the effects due to an unsteady ocean.

544 The present measurements suggest that the steady ocean assumption introduces errors in the
545 estimates of the dissipation rate that are within acceptable levels given the current uncertainties
546 in microstructure estimates. This may not be true anymore for more dynamic environments than
547 those encountered in this study, so that in those conditions measuring the dissipation rate with an
548 acceptable accuracy may still require direct measurements of the glider flight.

549 **7. Conclusions**

550 This study is the first to use measurements of in-situ glider flight to test, calibrate, and extend
551 a glider flight model. Our principal motivation is to quantify and reduce uncertainties in the use
552 of flight models for turbulent microstructure studies. Calibration of the steady-state model of
553 Merckelbach et al. (2010) resulted in changes in the lift angle coefficient to $a = 7.5 \text{ rad}^{-1}$, as
554 well as the induced drag coefficient $C_{D_i} = 10.5 \text{ rad}^{-1}$. This change in the value of the lift angle
555 coefficient from that reported in Merckelbach et al. (2010) results in a reduction of the difference
556 between measured and modelled incident water velocity of about $0.5\text{--}1.3 \text{ cm s}^{-1}$.

557 Measurements of in-situ glider flight allow us to quantify errors in dissipation rate calculations
558 associated with errors in the incident water velocity predicted by the flight model. Using veloc-
559 ities from the EMC as a baseline, we found that 90% of the estimates of dissipation rate based
560 on the calibrated flight model are within a factor of 1.1 and 1.2 for measurements derived from
561 microstructure temperature sensors and shear probes, respectively. The uncalibrated model would
562 produce a bias of factors of 1.05–1.10 and 1.07–1.20, for temperature and shear microstructure,
563 respectively. The uncertainty in dissipation rate estimates can be attributed to the local variabil-

564 ity in the flow, which is not accounted for in the flight model, as well as possible noise from the
565 velocity measurement itself. When using water velocities measured with the DVL, for which the
566 estimates are more prone to instrument noise, the factors are slightly larger, namely 1.2 and 1.4,
567 respectively.

568 To better represent the hydrodynamics we have extended the steady-state glider flight model of
569 Merckelbach et al. (2010) to a dynamic model by including the inertial and added mass terms.
570 A comparison of the two models found that the flight is largely well-described by the steady-
571 state model, and that only when conditions change rapidly, such as during dive-climb transitions,
572 does the steady-state model fail, whereas the dynamic model predicts the incident water velocity
573 reasonably well.

574 *Acknowledgments.* We are grateful to Rolf Lueck (Rockland Scientific) for the useful discussion
575 on the interpretation of the velocities measured with the electromagnetic current meter. We also
576 thank David Pheifer (True North Technologies) for sharing his expertise on how to correct the
577 attitude data of glider COMET. The bathymetry used in this work were provided by GEBCO
578 (www.gebco.net). This paper is a contribution to the projects M6 and T2 of the Collaborative
579 Research Centre TRR 181 “Energy Transfer in Atmosphere and Ocean”, and the project B6 of the
580 Collaborative Research Centre SFB 754 “Climate - Biogeochemistry Interactions in the Tropical
581 Ocean”, all funded by the German Research Foundation (Deutsche Forschungsgemeinschaft). Part
582 of the measurements (dataset I) took place during the Expedition Clockwork Ocean, which was
583 partially supported by the Helmholtz-Zentrum Geesthacht as part of its PACES II programme. We
584 thank the captain and crew of the R/V Elisabeth Mann Borgese for the excellent technical support
585 and express our appreciation for the constructive comments and suggestions by two anonymous
586 reviewers.

587 The data used in this manuscript are available under <https://doi.org/10.5281/zenodo.2270123>.

588 **References**

589 Anderson, B. D., and J. D. Moore, 2005: *Optimal Filtering*. Dover Publications.

590 Davis, R., C. Eriksen, and C. Jones, 2002: Autonomous buoyancy-driven underwater glid-
591 ers. *Technol. Appl. Auton. Underw. Veh.*, Taylor and Francis, Ed., London, 324, doi:10.1201/
592 9780203522301.ch3.

593 Dewey, R., and W. Crawford, 1988: Bottom stress estimates from vertical dissipation rate profiles
594 on the continental shelf. *J. Phys. Oceanogr.*, **18**, 1167–1177.

595 Fer, I., A. K. Peterson, and J. E. Ullgren, 2014: Microstructure measurements from and underwater
596 glider in the turbulent Faroe Bank channel overflow. *J. Atmos. Ocean. Technol.*, **31**, 1128–1150.

597 Garau, B., S. Ruiz, W. G. Zhang, A. Pascual, E. Heslop, J. Kerfoot, and J. Tintoré, 2011: Thermal
598 lag correction on slocum ctd glider data. *Journal of Atmospheric and Oceanic Technology*, **28**.

599 Gregg, M., 1999: Uncertainties and limitations in measuring ϵ and χ_T . *J. Atmos. Oceanic Technol.*,
600 **16**, 1483–1490.

601 Imlay, F., 1961: The complete expressions for added mass of a rigid body moving in an ideal fluid.
602 Tech. Rep. 1528, David Taylor Model Basin.

603 Merckelbach, L., 2018: Glider flight v1.0.0. URL <https://github.com/smerckel/gliderflight>, doi:
604 10.5281/zenodo.2222694.

605 Merckelbach, L., D. Smeed, and G. Griffiths, 2010: Vertical velocities from underwater gliders.
606 *Journal of Oceanic and Atmospheric Technology*, **27**, 547–563.

- 607 Moum, J., M. Gregg, R. Lien, and M. Carr, 1995: Comparison of turbulence kinetic energy dissi-
608 pation rate estimates from two ocean microstructure profilers. *J. Atmos. Oceanic Technol.*, **12**,
609 346–366.
- 610 Newman, J., 1977: *Marine hydrodynamics*. MIT Press.
- 611 Palmer, M., G. Stephenson, M. Inall, C. Balfour, A. Düsterhus, and J. Green, 2015: Turbulence
612 and mixing by internal waves in the Celtic Sea determined from ocean glider microstructure
613 measurements. *J. Marine Sys.*, **144**, 57–69, doi:10.1016/j.marsys.2014.11.005.
- 614 Peterson, A. K., and I. Fer, 2014: Dissipation measurements using temperature microstructure
615 from an underwater glider. *Meth. Oceanogr.*, **10**, 44–69, doi:10.1016/j.mio.2014.05.002.
- 616 Ruddick, B., A. Anis, and K. Thompson, 2000: Maximum likelihood spectral fitting: the Batchelor
617 spectrum. *J. Atmos. Oceanic Technol.*, **17**, 1541–1555.
- 618 Rudnick, D., 2016: Ocean research enabled by underwater gliders. *Ann. Rev. Mar. Sci.*, **8** (1),
619 519–541, doi:10.1146/annurev-marine-122414-033913.
- 620 Scheifele, B., S. Waterman, L. Merckelbach, and J. Carpenter, 2018: Measuring the dissipation
621 rate of turbulent kinetic energy in strongly stratified, low-energy environments: A case study
622 from the Arctic Ocean. *J. Geophys. Res. Oceans*, **123**, doi:10.1029/2017JC013731.
- 623 Schultze, L., L. Merckelbach, and J. Carpenter, 2017: Turbulence and mixing in a shallow
624 stratified shelf sea from underwater gliders. *J. Geophys. Res. Oceans*, **122**, doi:10.1002/
625 2017JC012872.
- 626 Simon, D., 2006: *Optimal State Estimation*. Wiley and Sons, 526 pp.
- 627 St. Laurent, L., and S. Merrifield, 2017: Measurements of near-surface turbulence and mixing
628 from autonomous ocean gliders. *Oceanogr.*, **30**, 116–125, doi:10.5670/oceanog.2017.231.

629 TeledyneRDI, 2017: Rdi explorer dvl datasheet. Retrieved from
630 http://www.teledynemarine.com/Lists/Downloads/explorer_ddatasheet_hr.pdf
631 at 30 November 2018.

632 Todd, R., D. Rudnick, J. Sherman, W. Owens, and L. George, 2017: Absolute velocity estimates
633 from autonomous underwater gliders equipped with doppler current profilers. *Journal of Atmo-*
634 *spheric and Oceanic Technology*, **34 (2)**, 309–330.

635 Wolk, F., R. Lueck, and L. St. Laurent, 2009: Turbulence measurements from a glider. *13th Work-*
636 *shop on Physical Processes in Natural Waters Proceedings*, 1-11.

637 **LIST OF TABLES**

638 **Table 1.** Dates and regions of the datasets used. 33

Dataset	Glider	Velocity Sensor	Region	Start	End
I	COMET	DVL	Baltic Sea (SW)	2016 June 20	2016 June 26
II	COMET	n.a.	Baltic Sea (Central)	2017 October 19	2017 October 28
III	IFM03	EMC	Peru	2017 April 29	2017 May 23

TABLE 1. Dates and regions of the datasets used.

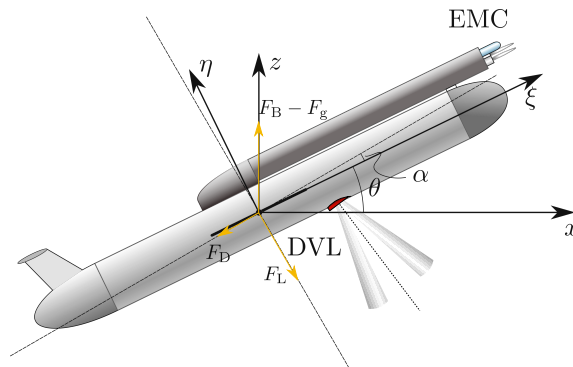
LIST OF FIGURES

639		
640	Fig. 1.	Schematic representation of a glider, defining an orthogonal coordinate system with horizontal and vertical axes (x, z) , and an orthogonal glider referenced coordinate system (ξ, η) . The latter originates from the former after a rotation of the pitch angle θ . The glider path, indicated by the dashed line, makes a small angle, equal to the angle of attack α , with the glider's principal axis, which coincides with the ξ -axis. The forces due to drag, lift and net buoyancy are drawn by the yellow vectors. The positions of the electromagnetic current sensor (EMC) and the Doppler Velocity Log (DVL) are drawn in blue and red, respectively. The DVL is mounted such that the principal axis of the DVL (dashed) makes an 11° angle with the η -axis. 36
641		
642		
643		
644		
645		
646		
647		
648		
649	Fig. 2.	Maps of where glider missions were carried out. Left panel: the Baltic Sea, where two missions were carried out with glider COMET, yielding dataset I (2016, inside orange box) and Data set II (2017, inside red box). Right panel: coastal shelf of Peru (Pacific Ocean), yielding dataset III (2017). 37
650		
651		
652		
653	Fig. 3.	Construction of water current profiles, expressed in a geographic Cartesian reference frame, as measured by a profiling glider. The dashed line represents the glider's path. Current profiles are measured during the down cast only (left panel). The right-hand side panel is a zoom of the rectangle in the left-hand side panel. At $t = 200$ s, the glider (indicated by the open circle) collects an absolute velocity profile, of which usable data are delineated by the dashed rectangle. Some 100 seconds later, the absolute velocity at the depth of the glider (solid circle) can be estimated from previous measurements (marked shaded rectangle). Subtracting the bottom track velocity from the thus estimated absolute velocity yields the relative velocity. 38
654		
655		
656		
657		
658		
659		
660		
661		
662	Fig. 4.	Dependency of the calibration parameters m_g and C_{D_0} on the lift angle coefficient a (left ordinate axes), and corresponding mean and standard deviations of the difference between the modelled and measured (DVL) incident velocity, as well as the cost-function R_1 (right ordinate axes). Results are shown for the steady-state model (solid lines) and the dynamic model (dashed lines). The mean of the incident velocity difference is denoted by $\mu_{\Delta U}$ 39
663		
664		
665		
666		
667	Fig. 5.	Dependency of the calibration parameters m_g and C_{D_0} on the lift angle coefficient a (left ordinate axes), and corresponding mean and standard deviations of the difference between the modelled and measured (EMC) incident velocity, as well as the cost-function R_1 (right ordinate axes). The cross symbols indicate the results of a minimisation of cost-function R_2 40
668		
669		
670		
671	Fig. 6.	Left panel: total drag as a function of the angle of attack. Right panel: a histogram of realised pitch angles during a number of dives (dataset II). The coloured bars indicate the three time subintervals, selected from this dataset: gentle dives (orange), nominal dives (green) and steep dives (red). 41
672		
673		
674		
675	Fig. 7.	A comparison of incident water velocities measured with the DVL and computed by the calibrated steady-state model and dynamic model for two half-hour intervals. 42
676		
677	Fig. 8.	A comparison of incident water velocities measured with the EMC (blue) and computed by the calibrated dynamic model (red). 43
678		
679	Fig. 9.	A comparison of the glider speed through water, computed from DVL measurements and from the dynamic flight model (down casts only). The panels show profile averages of difference between the velocities (left), the squared ratio of the velocities (middle) and the ratio of the velocity raised to the fourth power (right) as solid lines. The shaded region in
680		
681		
682		

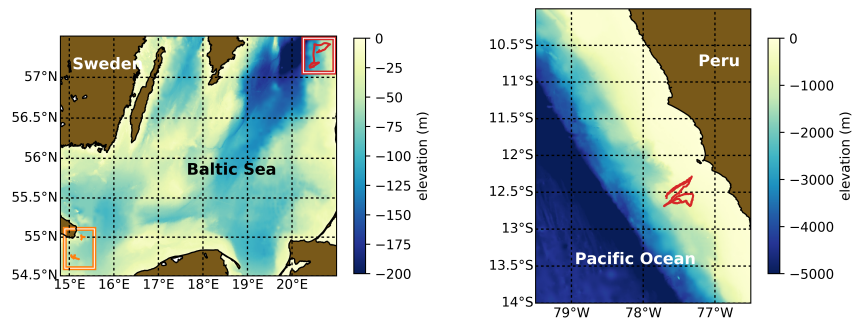
683 the corresponding colour indicates the spread in the data, computed from twice the standard
 684 deviation. The histograms above the profiles show the distribution of the corresponding
 685 observations. The data in purple represent a 4-hour deployment period (23 June 2017 17:51
 686 – 21:58) whereas the data in blue represent a 9-hour deployment period (24 June 2017 17:54
 687 – 25 2017 June 3:04). The dashed lines represent the 5 and 95 percentiles, computed over
 688 both datasets. 44

689 **Fig. 10.** A comparison of the glider speed through water, computed from EMC measurements and
 690 from the dynamic flight model. The panels show profile averages of difference between
 691 the velocities (left), the squared ratio of the velocities (middle) and the ratio of the velocity
 692 raised to the fourth power (right) as solid lines. The shaded region in the corresponding
 693 colour indicates the spread in the data, computed from twice the standard deviation. The
 694 down casts and up casts are drawn in blue and red, respectively. The histograms above the
 695 profiles show the distribution of the corresponding observations. The dashed lines represent
 696 the 5 and 95 percentiles, computed over both the down and up casts combined. The data
 697 encompasses a 45-hour period (12 May 2017 00:20 – 13 May 2017 21:13). 45

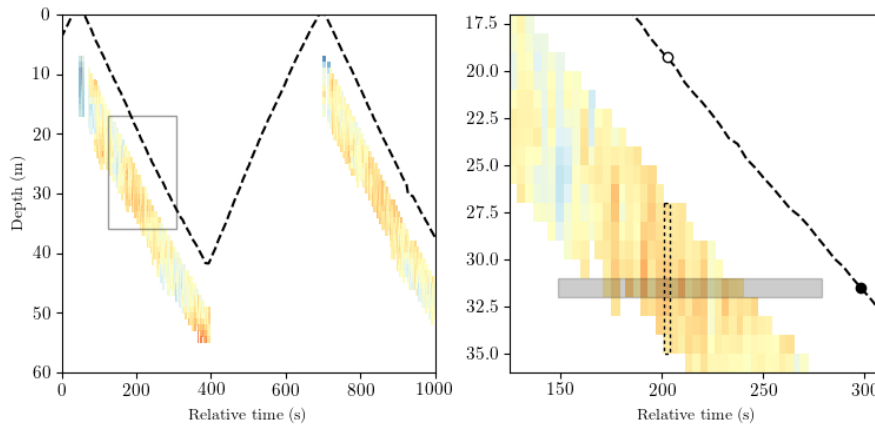
698 **Fig. 11.** A section of a time series showing the speed through water as measured by the EMC (blue
 699 curve), the steady-state model (orange), and a dynamic glider flight model (red). The inset
 700 is a zoom of a deep transition from dive to climb. 46



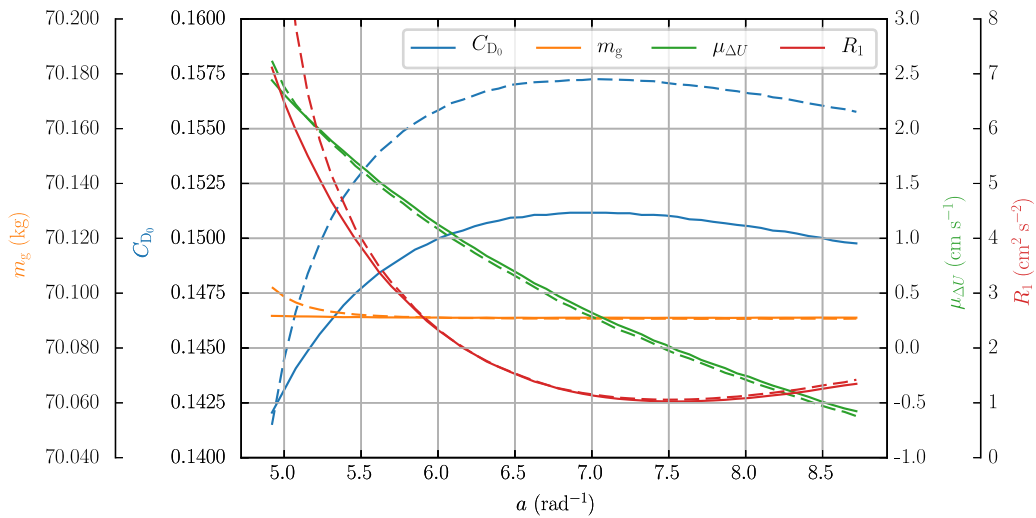
701 FIG. 1. Schematic representation of a glider, defining an orthogonal coordinate system with horizontal and
 702 vertical axes (x, z) , and an orthogonal glider referenced coordinate system (ξ, η) . The latter originates from the
 703 former after a rotation of the pitch angle θ . The glider path, indicated by the dashed line, makes a small angle,
 704 equal to the angle of attack α , with the glider's principal axis, which coincides with the ξ -axis. The forces due to
 705 drag, lift and net buoyancy are drawn by the yellow vectors. The positions of the electromagnetic current sensor
 706 (EMC) and the Doppler Velocity Log (DVL) are drawn in blue and red, respectively. The DVL is mounted such
 707 that the principal axis of the DVL (dashed) makes an 11° angle with the η -axis.



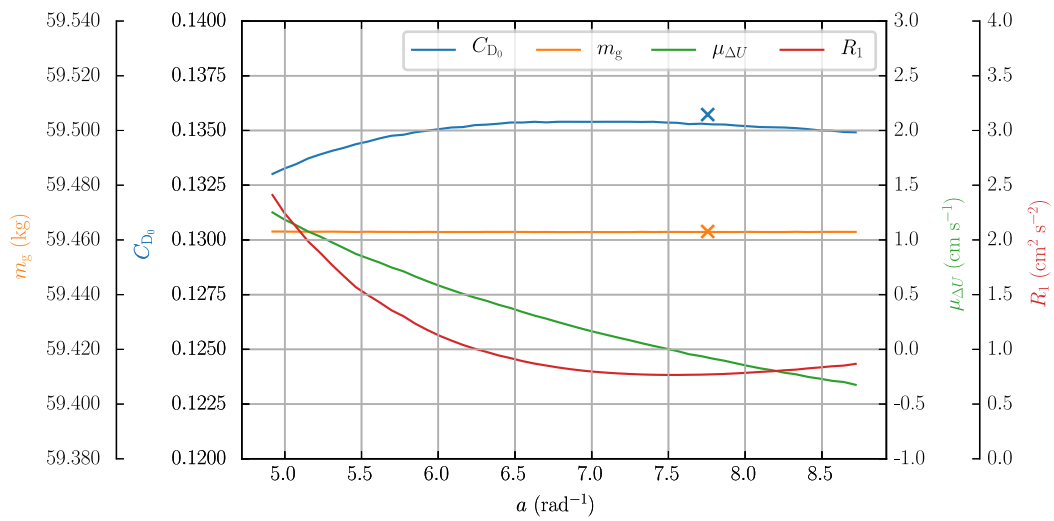
708 FIG. 2. Maps of where glider missions were carried out. Left panel: the Baltic Sea, where two missions were
 709 carried out with glider COMET, yielding dataset I (2016, inside orange box) and Data set II (2017, inside red
 710 box). Right panel: coastal shelf of Peru (Pacific Ocean), yielding dataset III (2017).



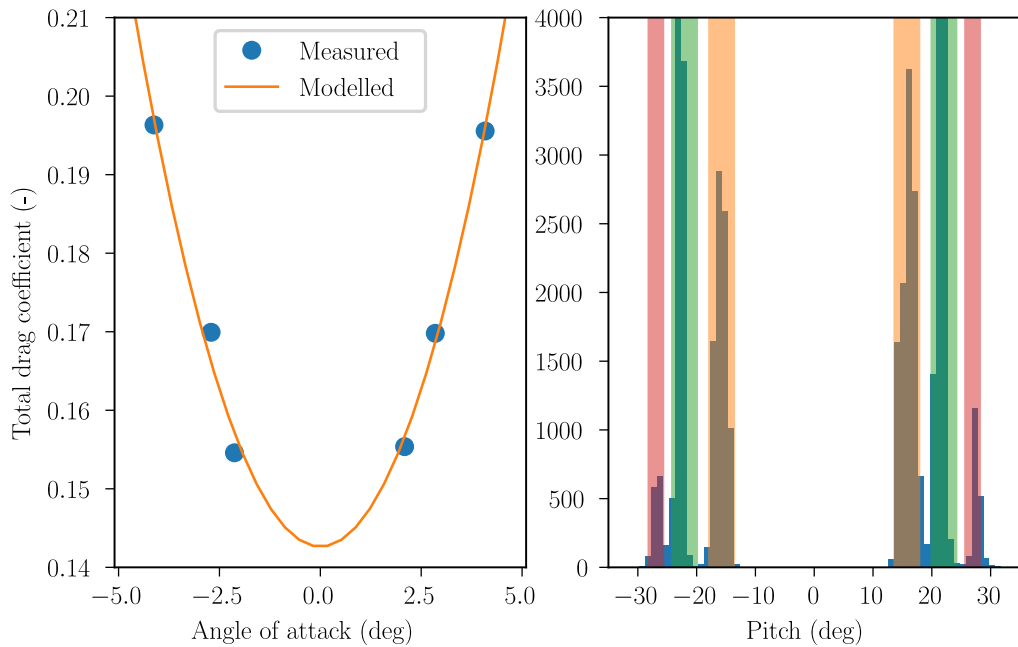
711 FIG. 3. Construction of water current profiles, expressed in a geographic Cartesian reference frame, as mea-
 712 sured by a profiling glider. The dashed line represents the glider's path. Current profiles are measured during
 713 the down cast only (left panel). The right-hand side panel is a zoom of the rectangle in the left-hand side panel.
 714 At $t = 200$ s, the glider (indicated by the open circle) collects an absolute velocity profile, of which usable data
 715 are delineated by the dashed rectangle. Some 100 seconds later, the absolute velocity at the depth of the glider
 716 (solid circle) can be estimated from previous measurements (marked shaded rectangle). Subtracting the bottom
 717 track velocity from the thus estimated absolute velocity yields the relative velocity.



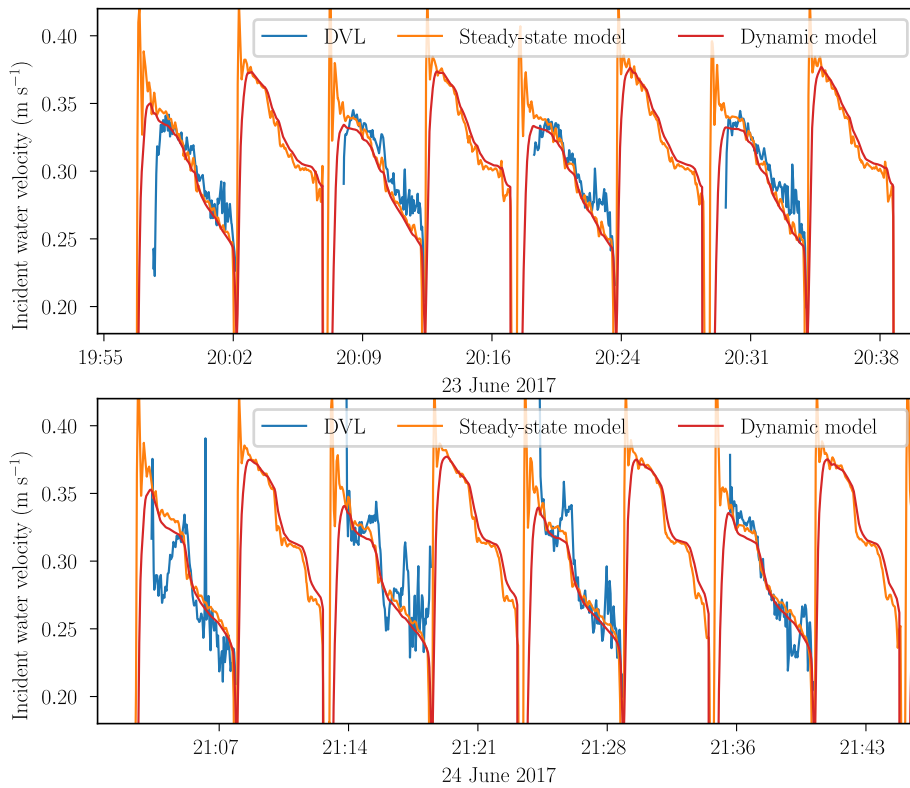
718 FIG. 4. Dependency of the calibration parameters m_g and C_{D_0} on the lift angle coefficient a (left ordinate
719 axes), and corresponding mean and standard deviations of the difference between the modelled and measured
720 (DVL) incident velocity, as well as the cost-function R_1 (right ordinate axes). Results are shown for the steady-
721 state model (solid lines) and the dynamic model (dashed lines). The mean of the incident velocity difference is
722 denoted by $\mu_{\Delta U}$.



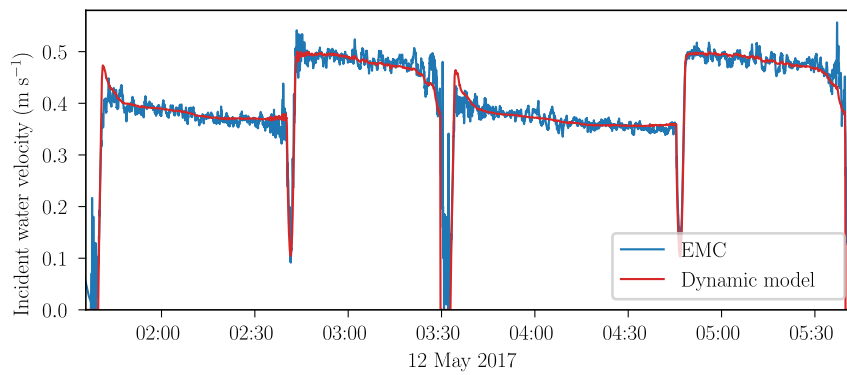
723 FIG. 5. Dependency of the calibration parameters m_g and C_{D_0} on the lift angle coefficient a (left ordinate
 724 axes), and corresponding mean and standard deviations of the difference between the modelled and measured
 725 (EMC) incident velocity, as well as the cost-function R_1 (right ordinate axes). The cross symbols indicate the
 726 results of a minimisation of cost-function R_2 .



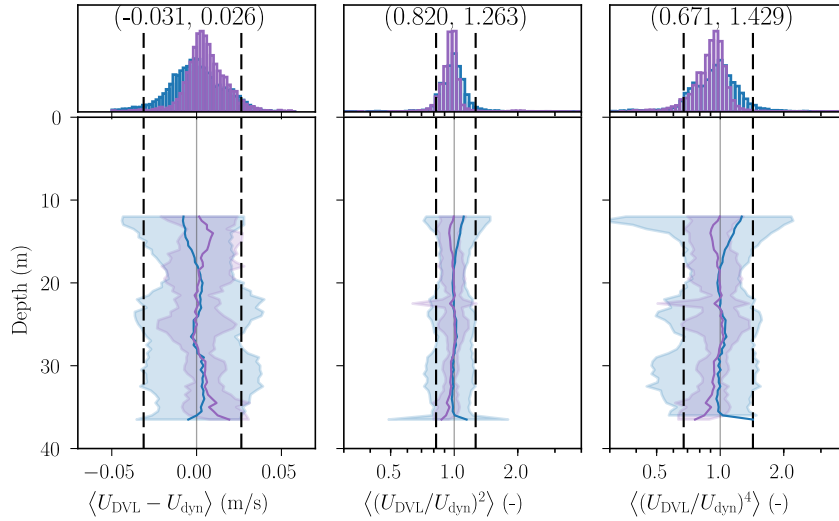
727 FIG. 6. Left panel: total drag as a function of the angle of attack. Right panel: a histogram of realised pitch
 728 angles during a number of dives (dataset II). The coloured bars indicate the three time subintervals, selected
 729 from this dataset: gentle dives (orange), nominal dives (green) and steep dives (red).



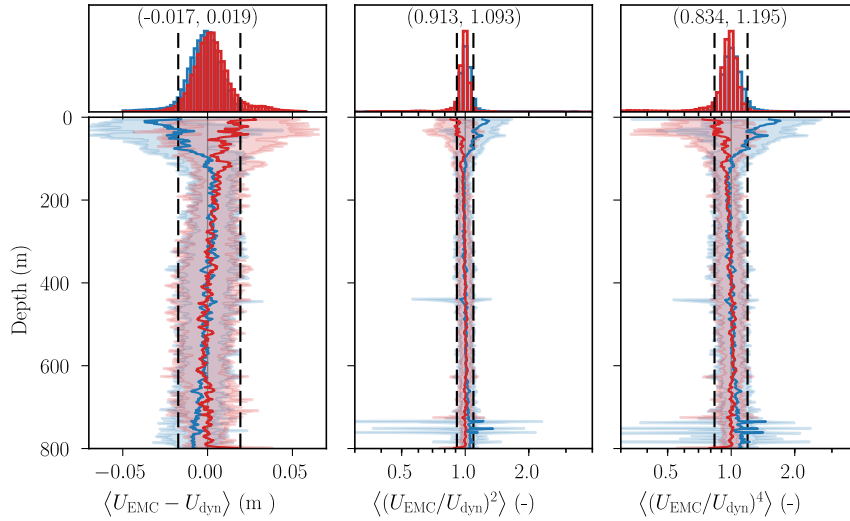
730 FIG. 7. A comparison of incident water velocities measured with the DVL and computed by the calibrated
 731 steady-state model and dynamic model for two half-hour intervals.



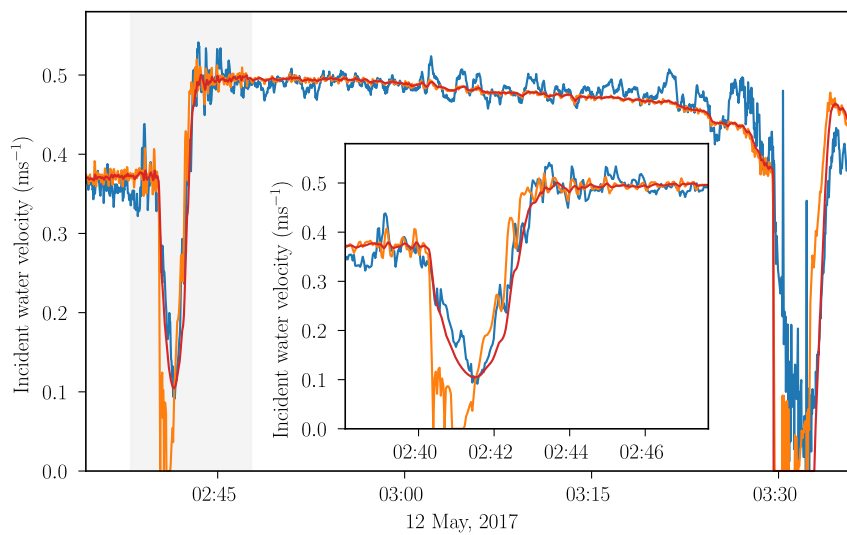
732 FIG. 8. A comparison of incident water velocities measured with the EMC (blue) and computed by the
733 calibrated dynamic model (red).



734 FIG. 9. A comparison of the glider speed through water, computed from DVL measurements and from the
735 dynamic flight model (down casts only). The panels show profile averages of difference between the velocities
736 (left), the squared ratio of the velocities (middle) and the ratio of the velocity raised to the fourth power (right)
737 as solid lines. The shaded region in the corresponding colour indicates the spread in the data, computed from
738 twice the standard deviation. The histograms above the profiles show the distribution of the corresponding
739 observations. The data in purple represent a 4-hour deployment period (23 June 2017 17:51 – 21:58) whereas
740 the data in blue represent a 9-hour deployment period (24 June 2017 17:54 – 25 2017 June 3:04). The dashed
741 lines represent the 5 and 95 percentiles, computed over both datasets.



742 FIG. 10. A comparison of the glider speed through water, computed from EMC measurements and from the
 743 dynamic flight model. The panels show profile averages of difference between the velocities (left), the squared
 744 ratio of the velocities (middle) and the ratio of the velocity raised to the fourth power (right) as solid lines. The
 745 shaded region in the corresponding colour indicates the spread in the data, computed from twice the standard
 746 deviation. The down casts and up casts are drawn in blue and red, respectively. The histograms above the profiles
 747 show the distribution of the corresponding observations. The dashed lines represent the 5 and 95 percentiles,
 748 computed over both the down and up casts combined. The data encompasses a 45-hour period (12 May 2017
 749 00:20 – 13 May 2017 21:13).



750 FIG. 11. A section of a time series showing the speed through water as measured by the EMC (blue curve),
751 the steady-state model (orange), and a dynamic glider flight model (red). The inset is a zoom of a deep transition
752 from dive to climb.